

**Lamellar-to-Onion Transition with
Increasing Temperature under Shear Flow
In Nonionic Surfactant Systems**

Tadashi Kato

**Department of Chemistry
Tokyo Metropolitan University**

Coworkers:

Rheo-SALS and Rheo-SAXS

Yuriko Kosaka (Graduate Student)

Makiko Ito (Graduate Student)

Daijiro Sato (Graduate Student)

Phase Behaviors and Viscosity

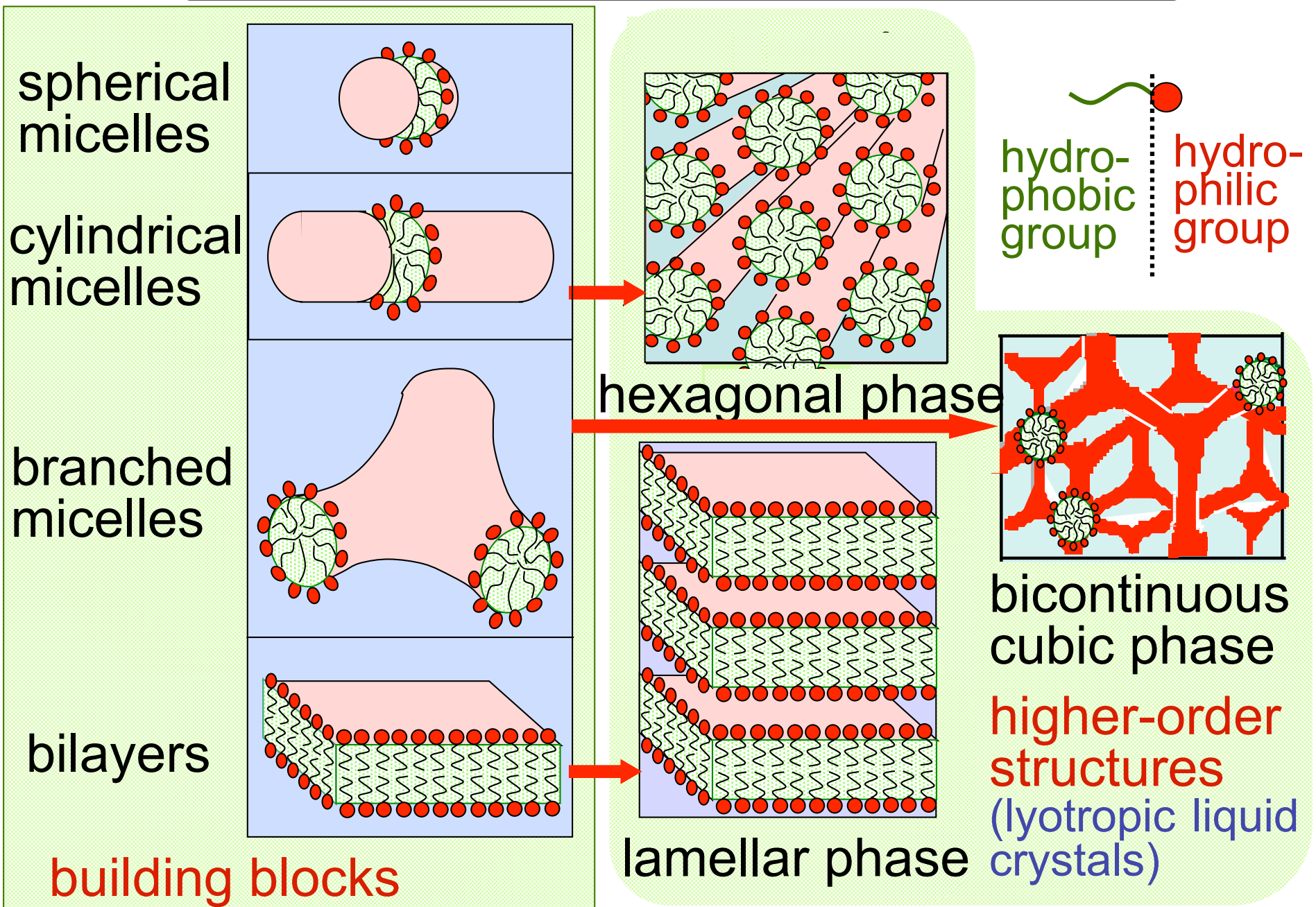
Michiko Matsu-ura (Under Graduate Student)

Mari Okamoto (Under Graduate Student of Kitasato Univ.)

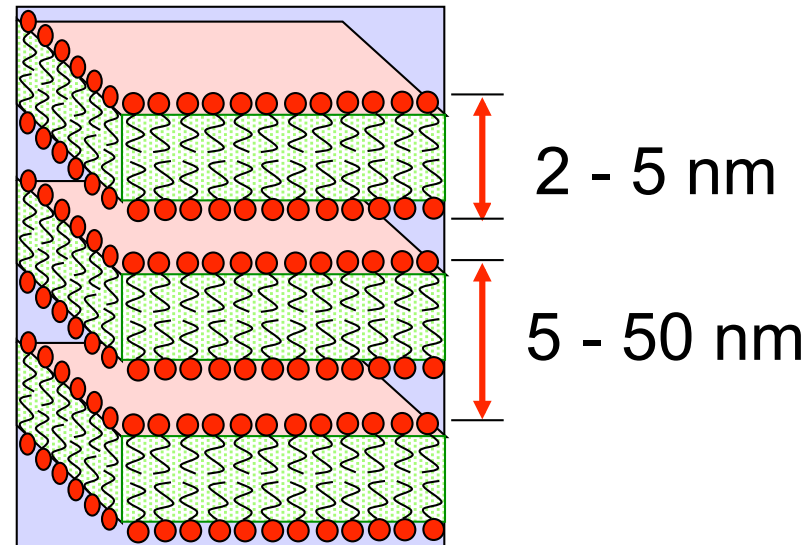
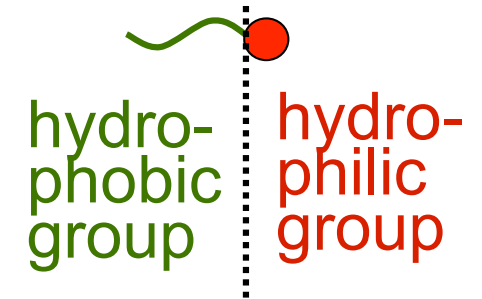
Kahoru Obara (Under Graduate Student of Kitasato
Univ.)

Dr. Youhei Kawabata (Assistant Professor)

Surfactant Self-Assemblies in Water



Surfactant Self-Assemblies in Water



lamellar phase

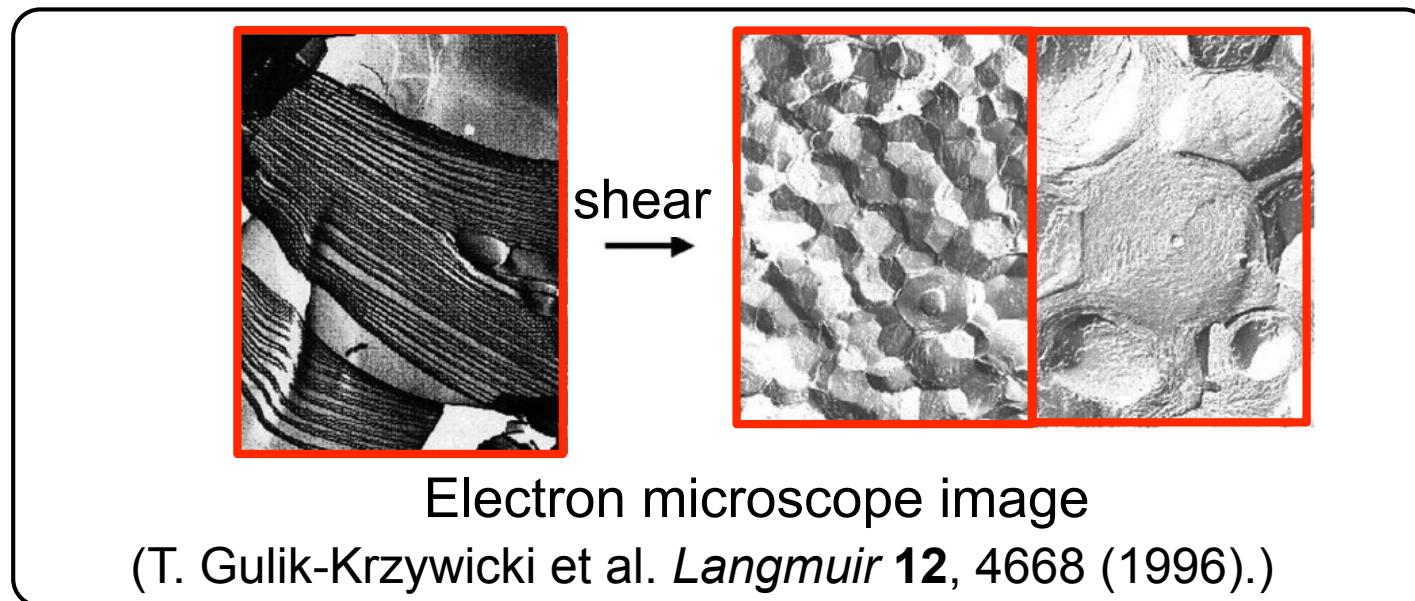
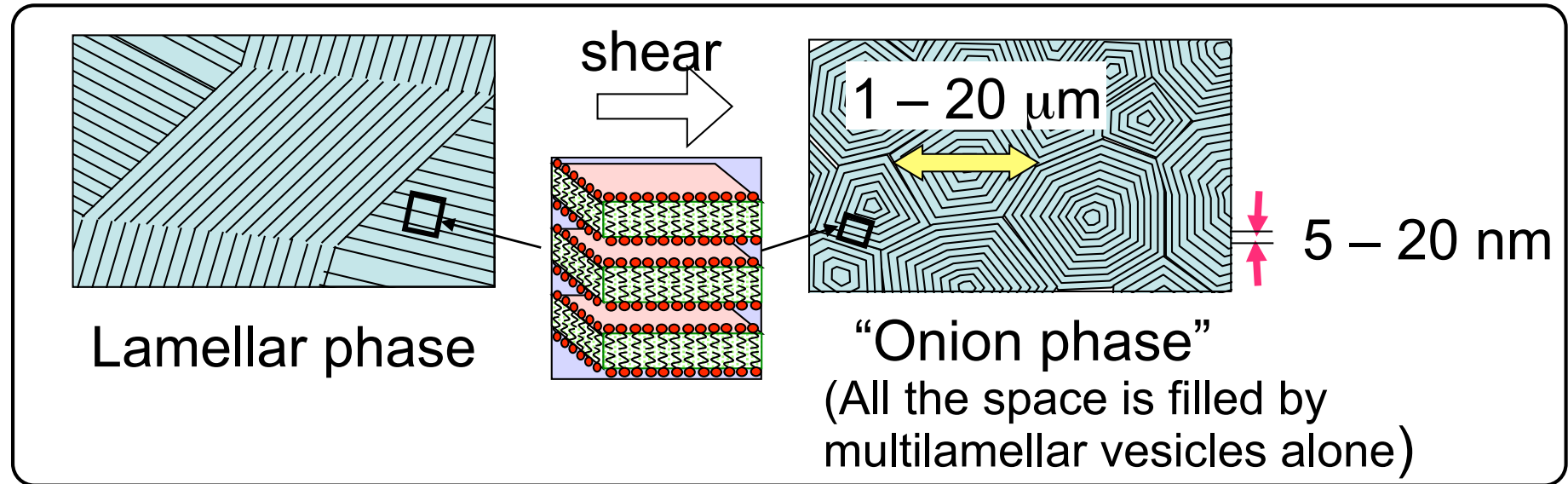
Effects of Shear Flow on Structures of Surfactant Lamellar Phase

- small-angle light, X-ray, and neutron scattering studies -

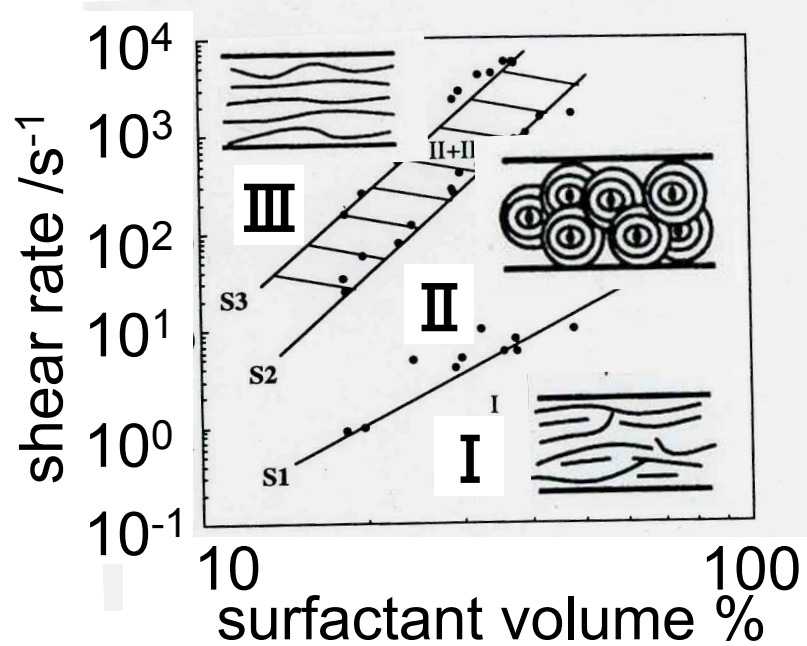
- Lamellar→ Onion transition
O. Diat et al. *J. Phys II France* **3**, 1427 (1993),.
- Sponge→lamellar transition
J. Yamamoto and H. Tanaka, *Phys. Rev. Lett.* **77**, 4390 (1996).
L. Porcar et al. *Langmuir* **19**, 10779 (2003).
- Multi-lamellar vesicle→unilamellar vesicle transition
M. Bergmeier et al., *J. Phys. Chem. B* **102**, 2837 (1998).
- Collapse of membranes
A. Al kahwaji et al., *Phys. Rev. Lett.* **84**, 3073 (2000).
L. Porcar et al. *Phys. Rev. Lett.* **95**, 078302 (2005).
- Formation of multi-lamellar cylinders as intermediate structures between lamellae and onions.
J. Zipfel et al., *Europhys. Lett.* **53**, 335 (2001).
- Anomalous Decrease in Lamellar Spacing
T. Kato et al. *Langmuir*, **20**, 3504 (2004).

Transition from Lamellar to Onion Phase by Shear Flow

O. Diat, D. Roux, and F. Nallet, *J. Phys. II (France)* **3**, 1427 (1993).



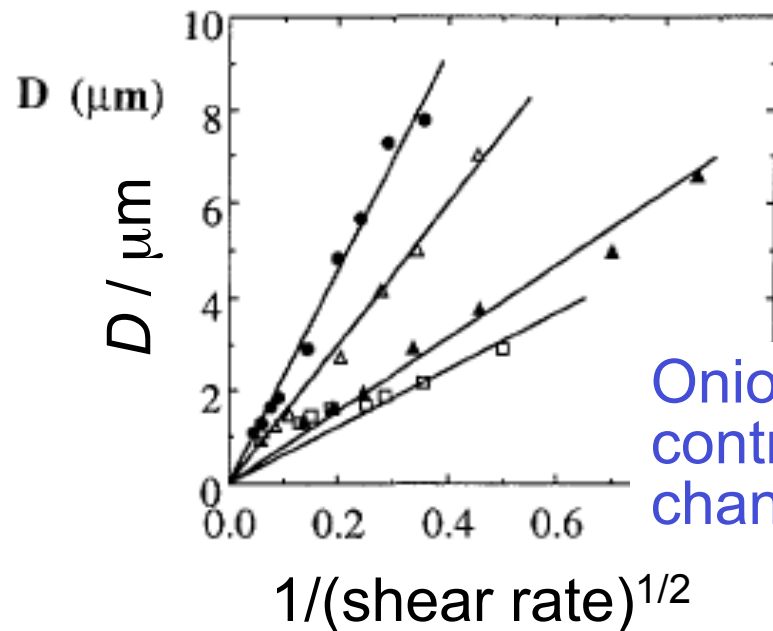
Transition from Lamellar to Onion Phase by Shear Flow



Dynamic phase diagram

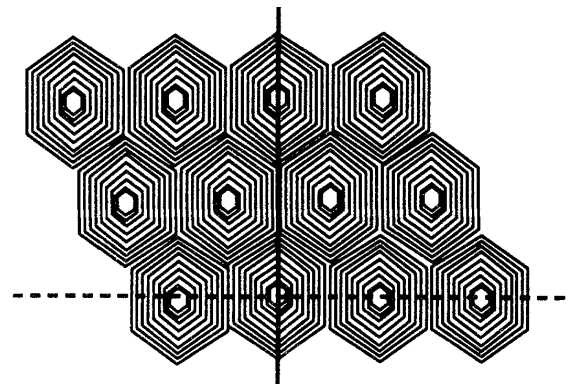
O. Diat, D. Roux, and F. Nallet,
J. Phys. II (France) **3**, 1427 (1993),
Phys. Rev. E **51**, 3296 (1995).

P. Sierro and D. Roux
Phys. Rev. Lett. **78**, 1496 (1997).



Onion size can be controlled by changing shear rate

Long-range order in orientation above a critical shear rate



Transition from Lamellar to Onion Phase by Shear Flow

Experiments

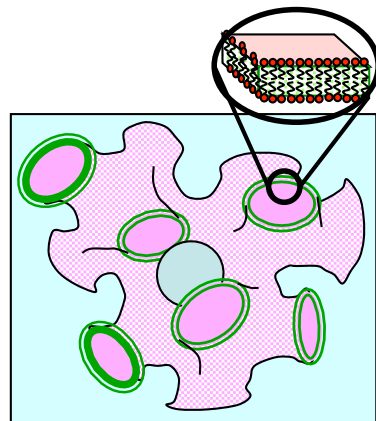
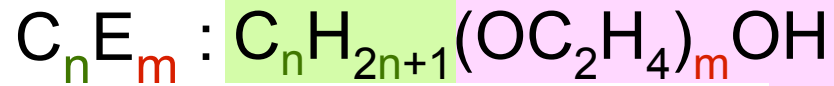
- SDS/Pentanol/Water/Decane (Diat et al., 1993)
- SDS/Pentanol/Water/Dodecane (Courbin et al., 2002)
- SDS/Octanol/Brine (Sierro and Roux, 1997)
- AOT/Brine (Bergenholtz & Wagner, 1996, Courbin & Panniza, 2004)
- TDMAO/hexanol/water (Escalante et al., 2000).
- $C_{10}E_3$ /Water, $C_{12}E_4$ /Water (Müller et al, 1999, Zipfel et al., 2001, Lee et al., 2001, Nettesheim et al., 2003)

Theories

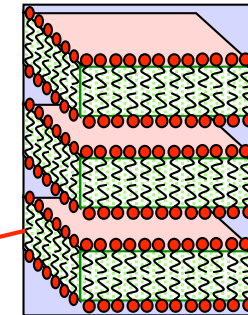
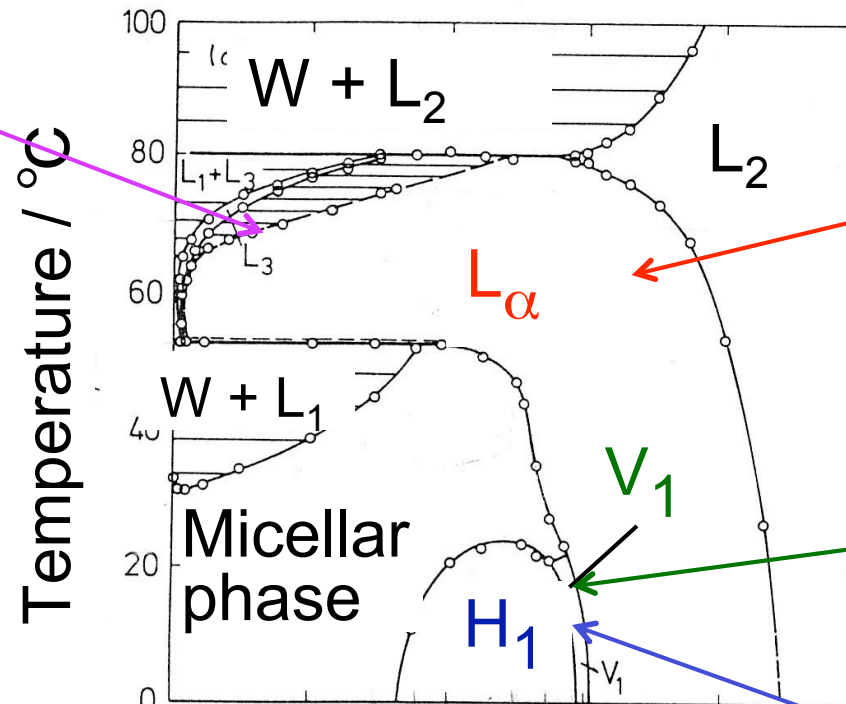
- O. Diat et al. *J. Phys. II (France)* **3**, 1427 (1993),
- E. van der Linden et al, *Langmuir* **12**, 3127 (1996)
- A.G. Zilman and R. Granek, *Eur. Phys. J. B* **11**, 593 (1999).
- S. W. Marlow and P. D. Olmsted, *Eur. Phys. J. E* **8**, 485 (2002).

Conditions necessary for onion formation and transition mechanism remain still unclear. .

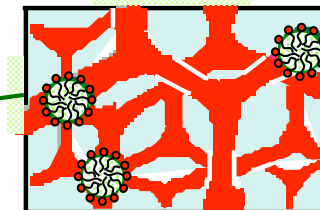
Typical Phase Behaviors of C_nE_m /Water Systems at Rest



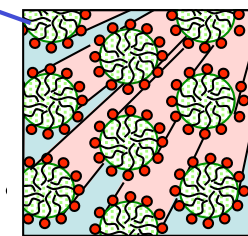
Sponge
(L_3) phase



lamellar
(L_α) phase



bicontinuous
cubic (V_1) phase



hexagonal
(H_1) phase

- (1) Composed of only surfactant and water
- (2) No electrostatic interaction.
- (3) A variety of phases can be observed just by changing temperature

→ Useful for studying transition mechanism not only at rest but also under shear

Lamellar-to-Onion Transition under Shear Flow in Nonionic Surfactant ($C_n E_m$) Systems

$C_{10}E_3$ /water, $C_{12}E_4$ /water

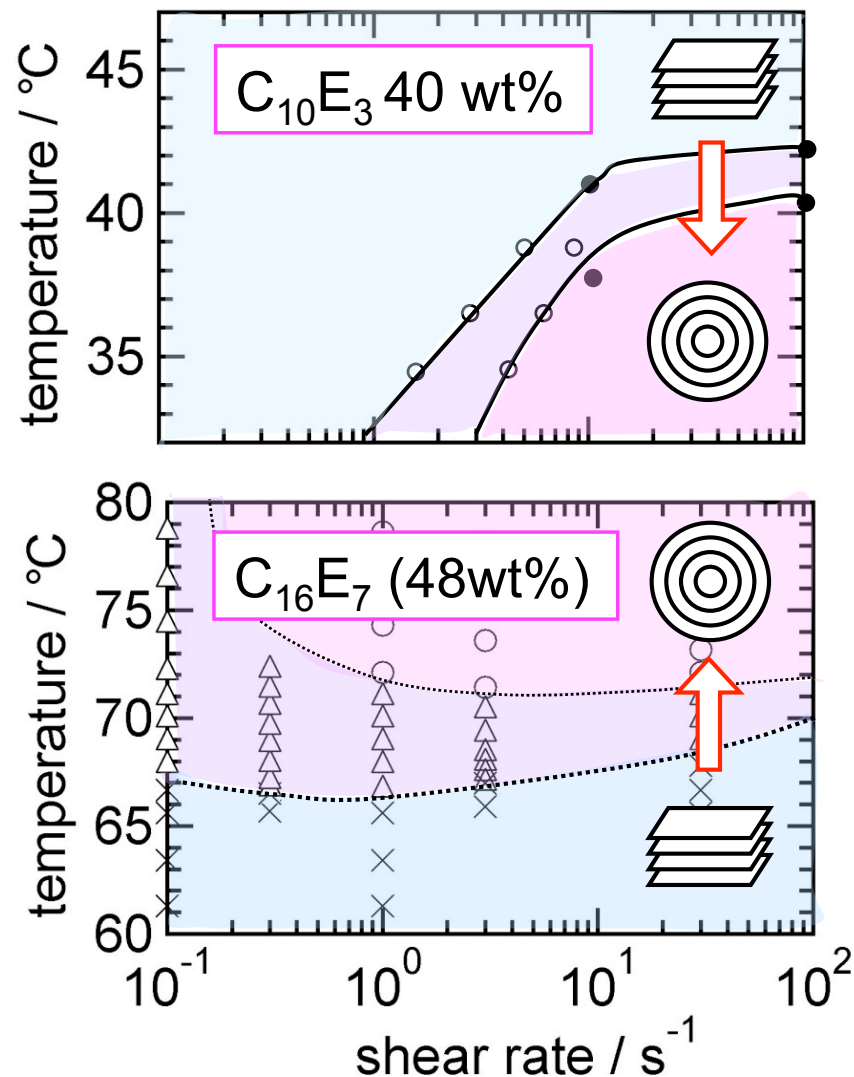
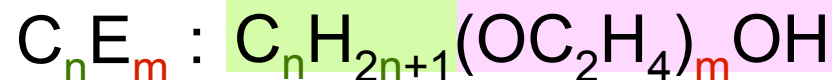
- T. D. Le et al., *Langmuir* **17**, 999 (2001), *Phys. Chem. Chem. Phys.* **3**, 1310 (2001).
- C. Oliviero et al., *Col. Surf. A* **228**, 85 (2003).

Lamellar-to-onion transition with **decreasing** temperature

$C_{16}E_7$ /water

- Y. Kosaka et al., *Langmuir*, **26**, 3835 (2010).

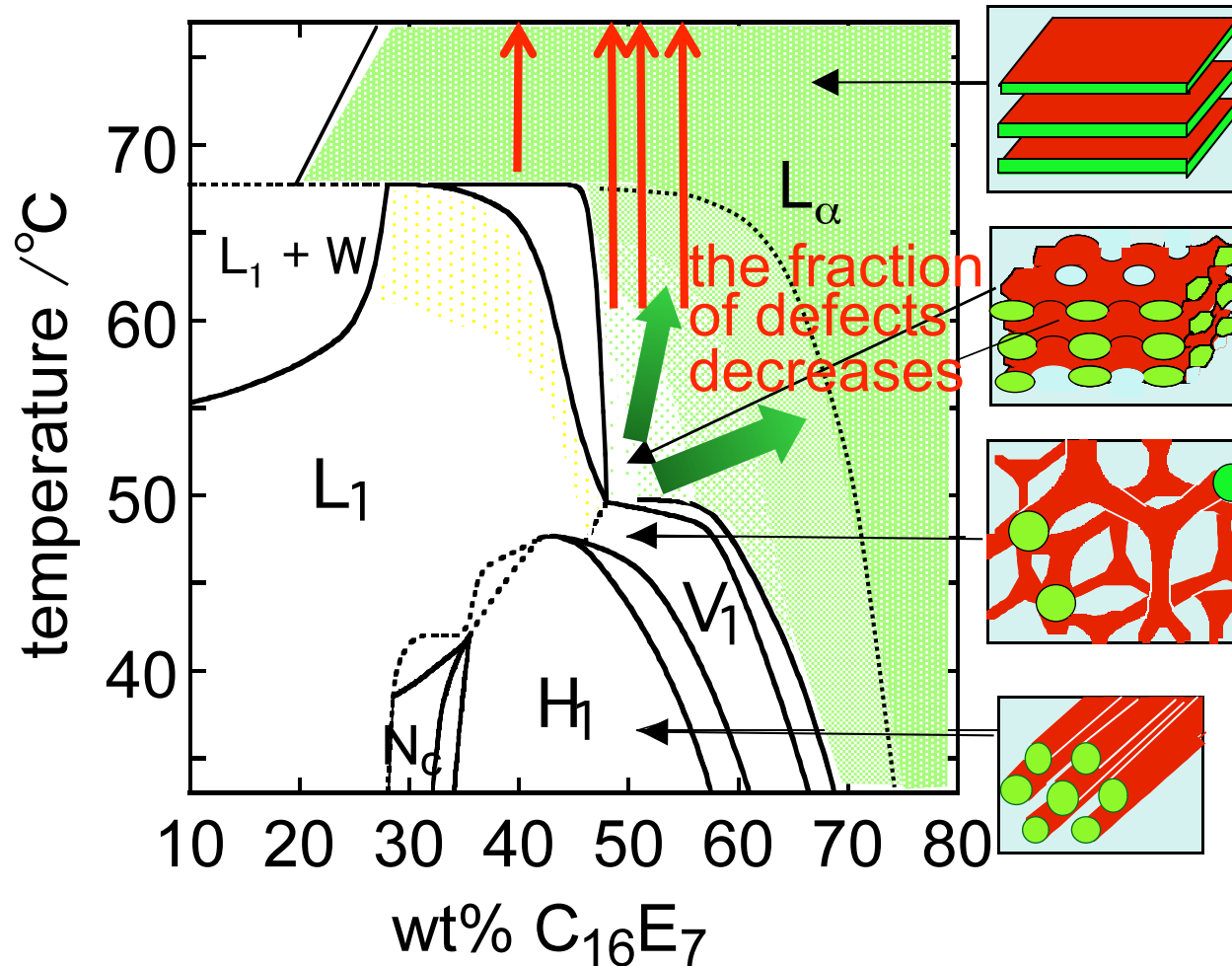
Lamellar-to-onion transition with **Increasing** temperature



Outline of the Present Talk

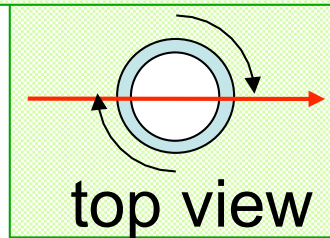
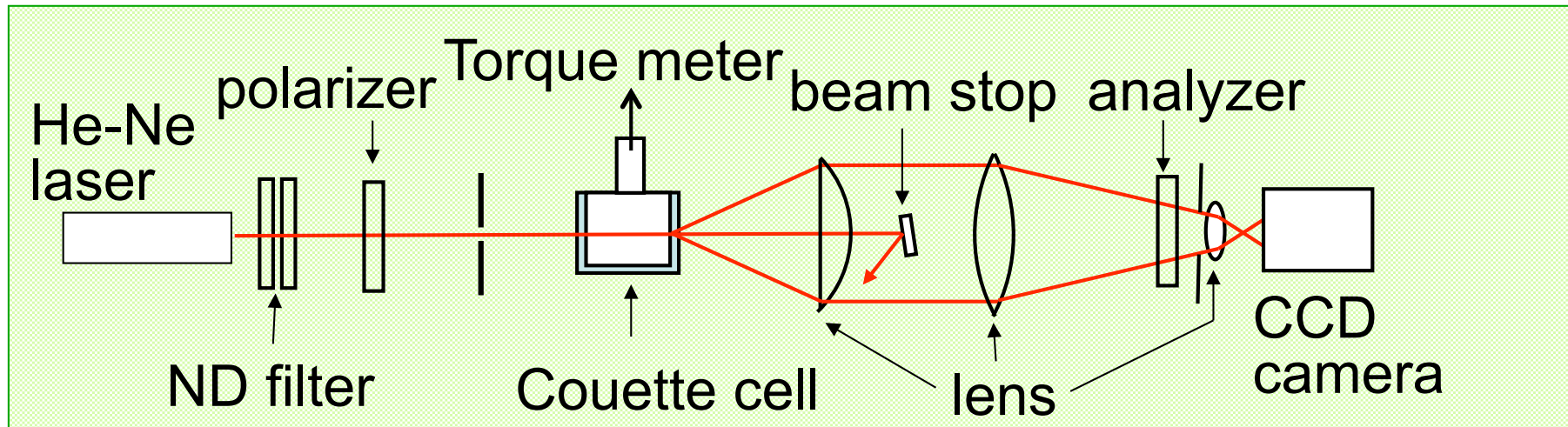
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2. **Reentrant** lamellar-onion transition with varying temperature at a constant shear rate in $C_{14}E_5$ /water System

Phase Diagram of $C_{16}E_7 / D_2O$ System at Rest¹⁾

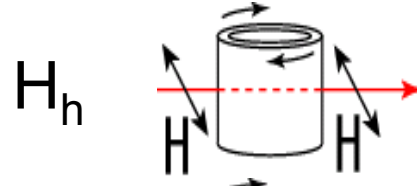


1) K. Minewaki, T. Kato, H. Yoshida, and M. Imai, *Langmuir* **17**, 1864 (2001).

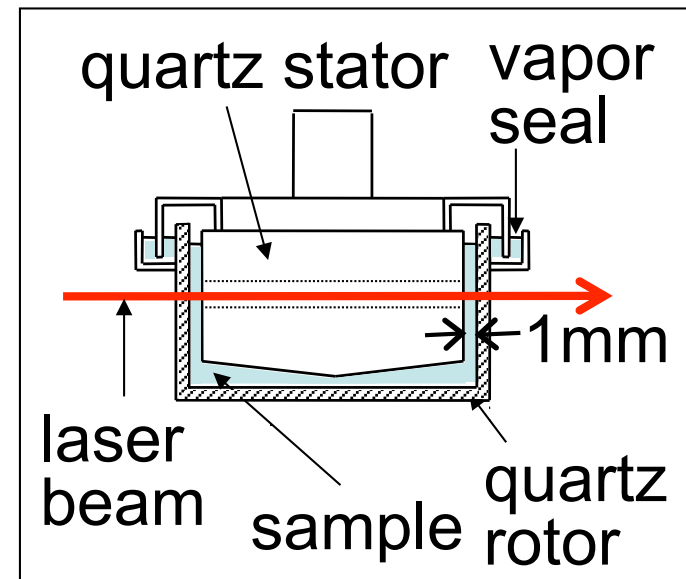
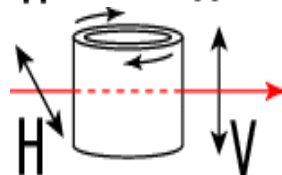
Apparatus for Rheo-SALS¹⁾



Polarized scattering H_h

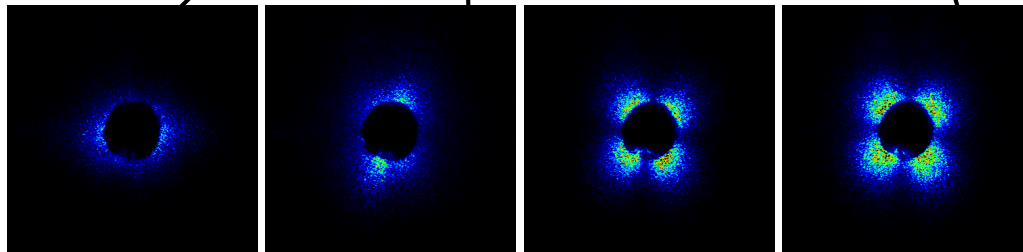
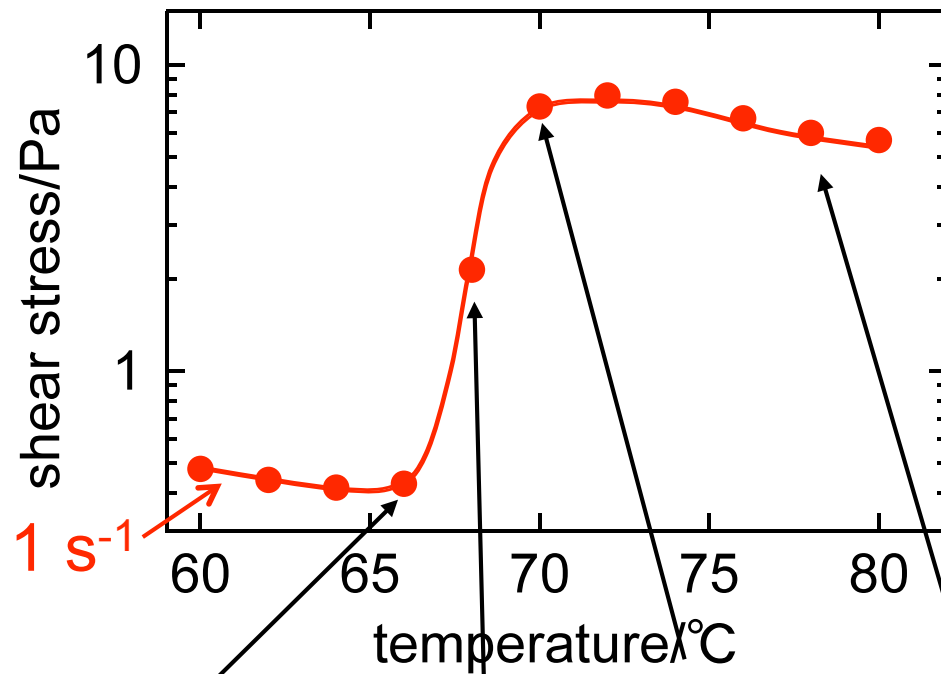


Depolarized scattering V_h



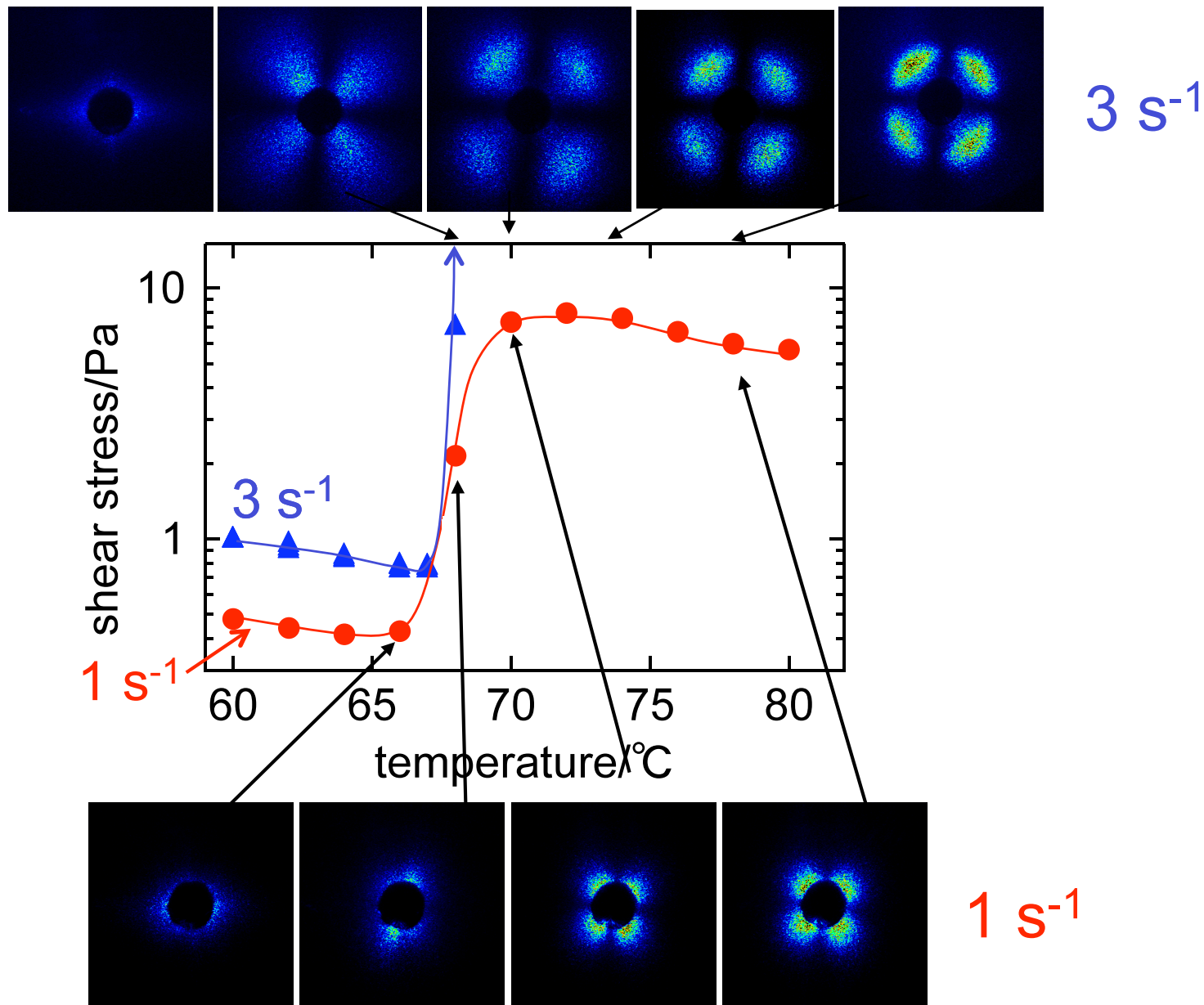
- 1) T. Kato et al., *Prog. Colloid Polym. Sci.*, **129**, 9-15 (2004), *J. Phys. Condens. Matter* **17**, S2923 (2005).

Temperature Dependences of Shear Stress and Depolarized SALS Patterns (48 wt%)

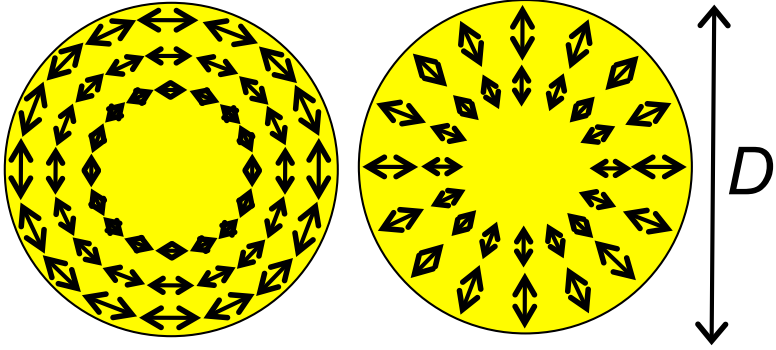


1 s^{-1}

Temperature Dependences of Shear Stress and Depolarized SALS Patterns (48 wt%)

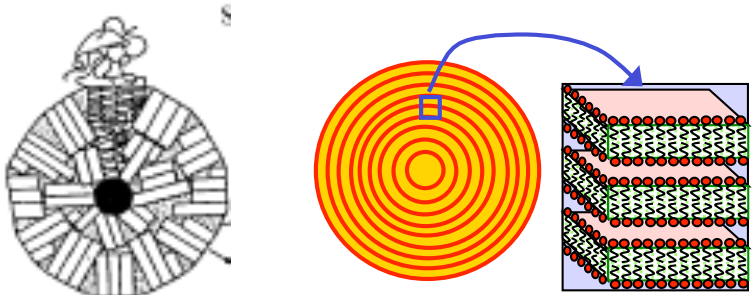


Depolarized SALS from optically anisotropic spheres



\leftrightarrow : direction of polarization

R.J.Samuels, *J.Polymer Sci.*,
9, 2165 (1971)

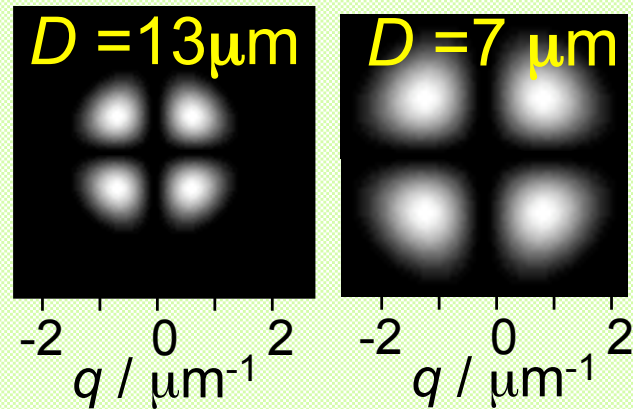


polymer spherulites

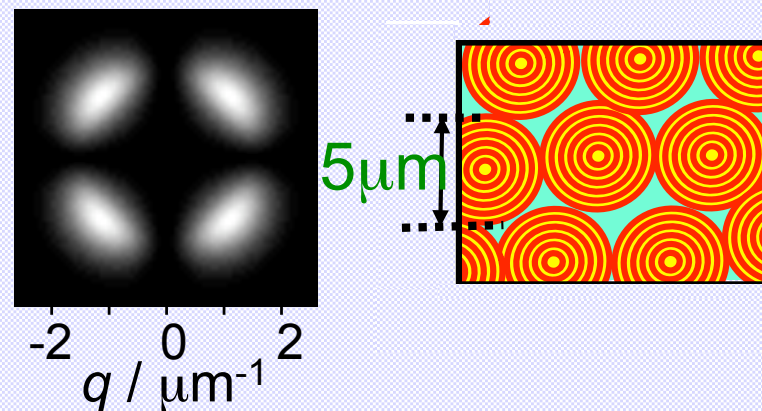
multilamellar vesicles (onions)

Calculated patterns

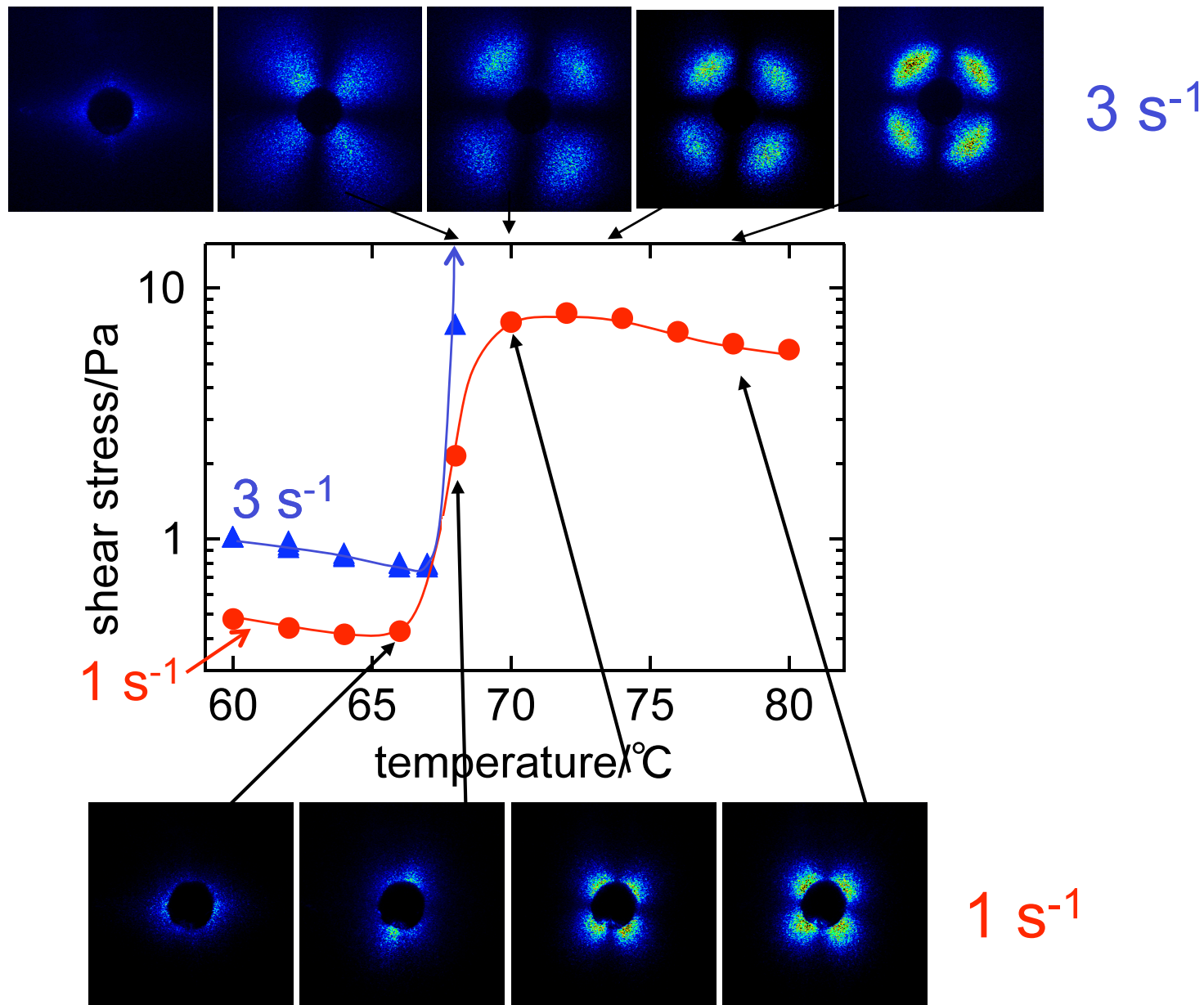
Isolated onions



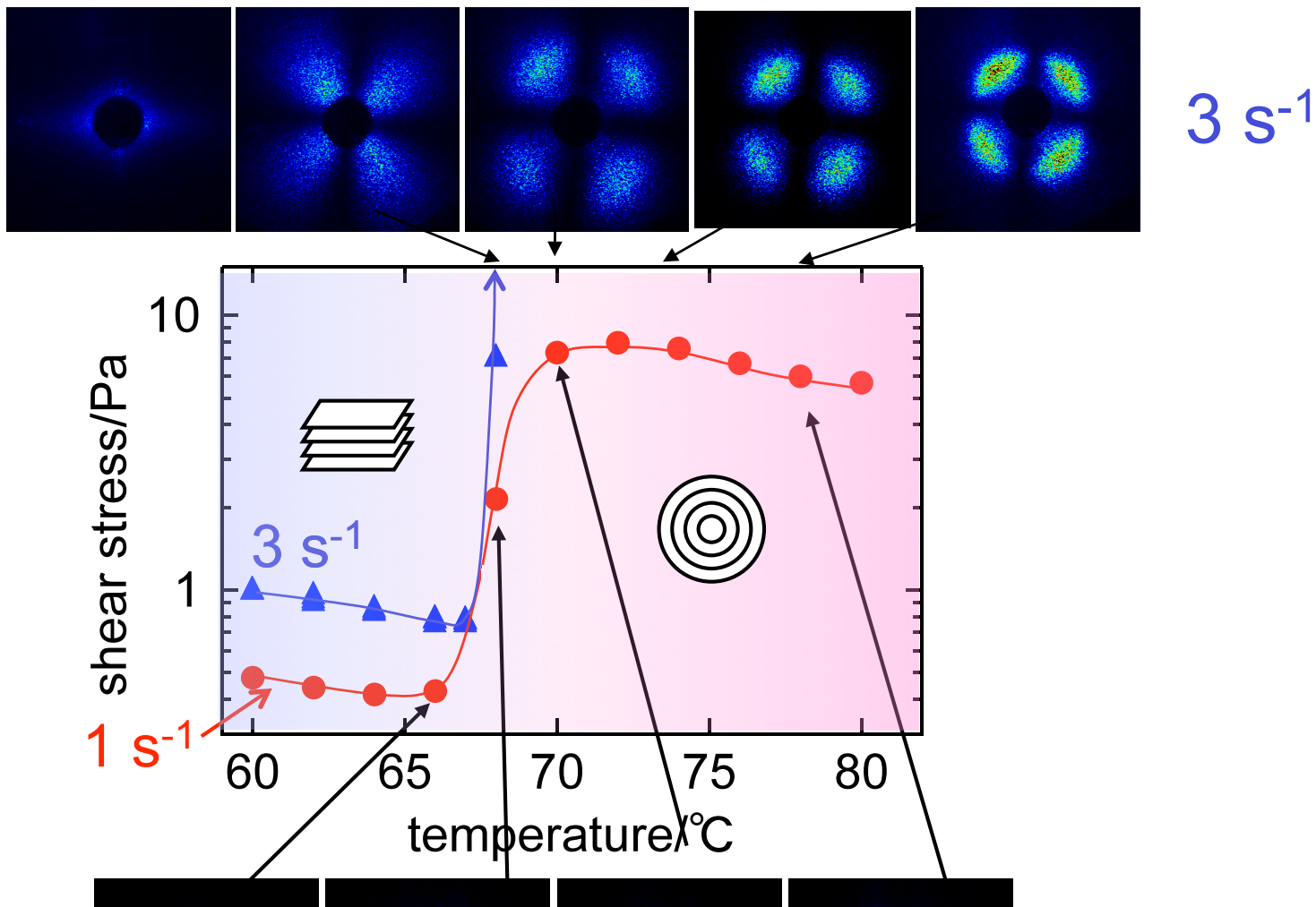
Randomly close-packed onions



Temperature Dependences of Shear Stress and Depolarized SALS Patterns (48 wt%)

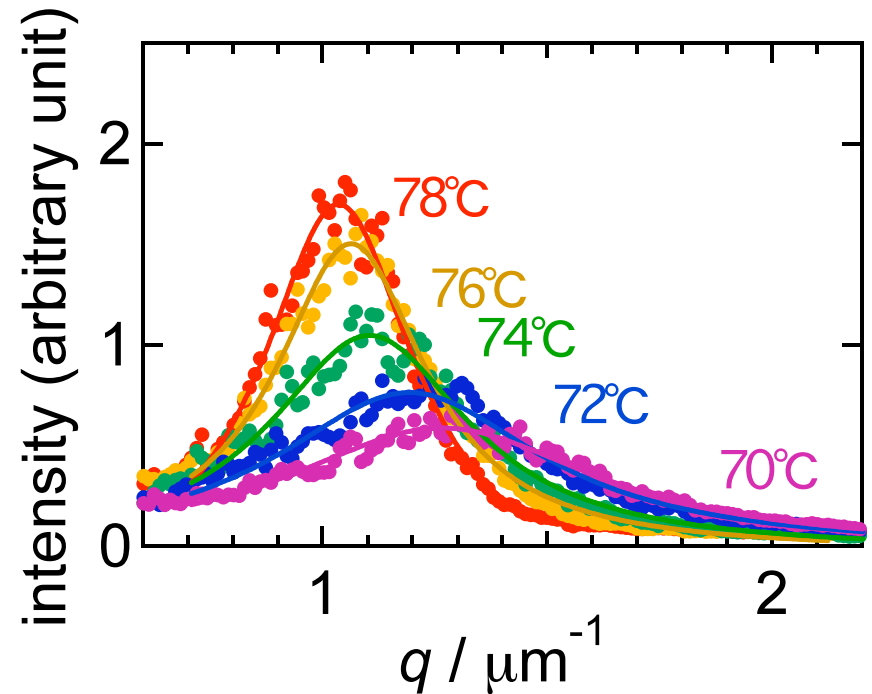
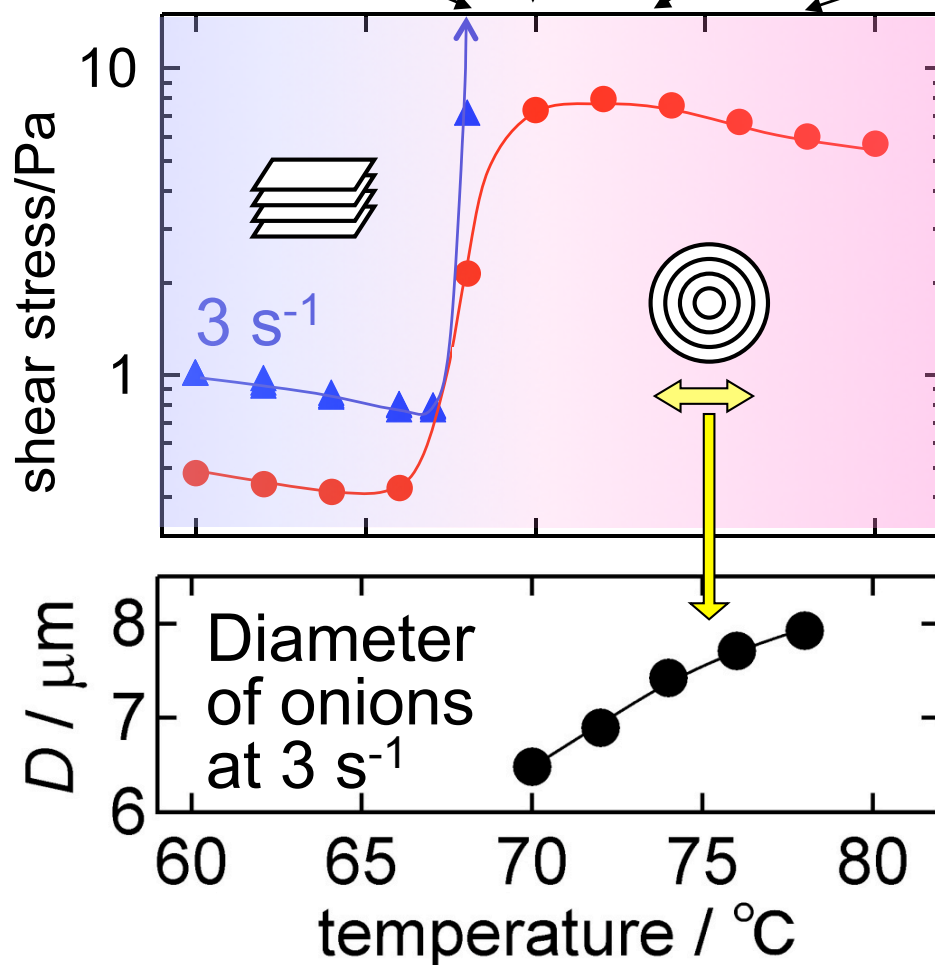
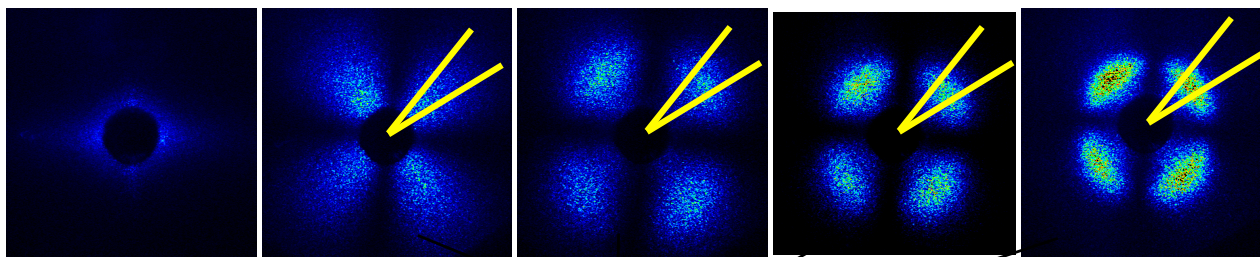


Temperature Dependences of Shear Stress and Depolarized SALS Patterns (48 wt%)



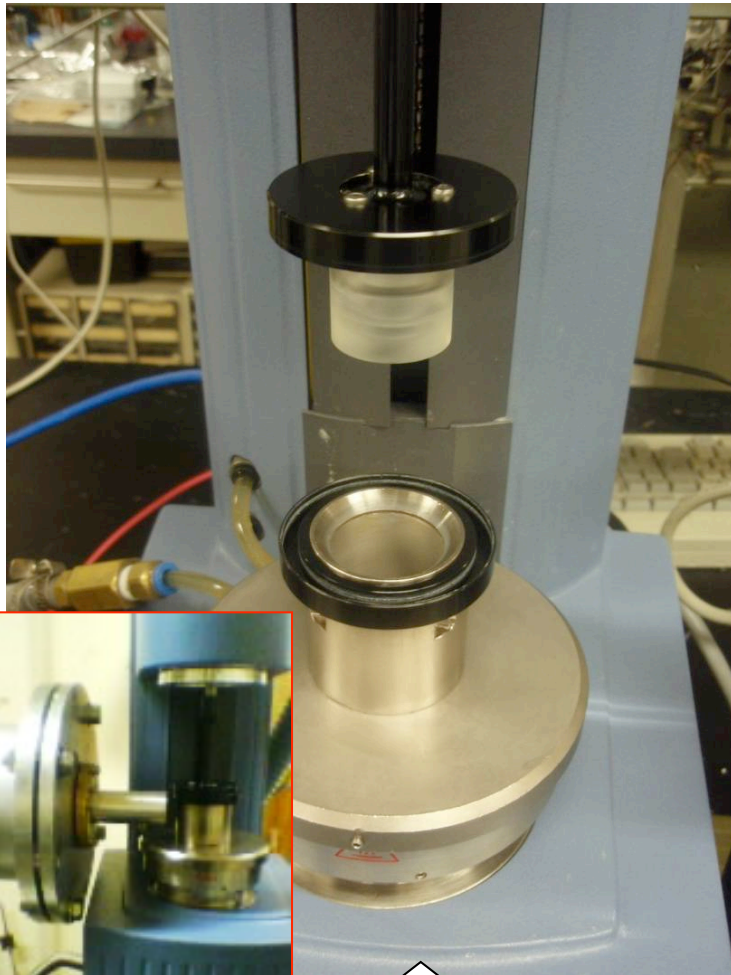
It is well known that the transition to the onion accompanies significant increase in shear stress. But in this case such an increment is observed when the temperature is **increased** !

Temperature Dependences of Shear Stress and Depolarized SALS Patterns (48 wt%)

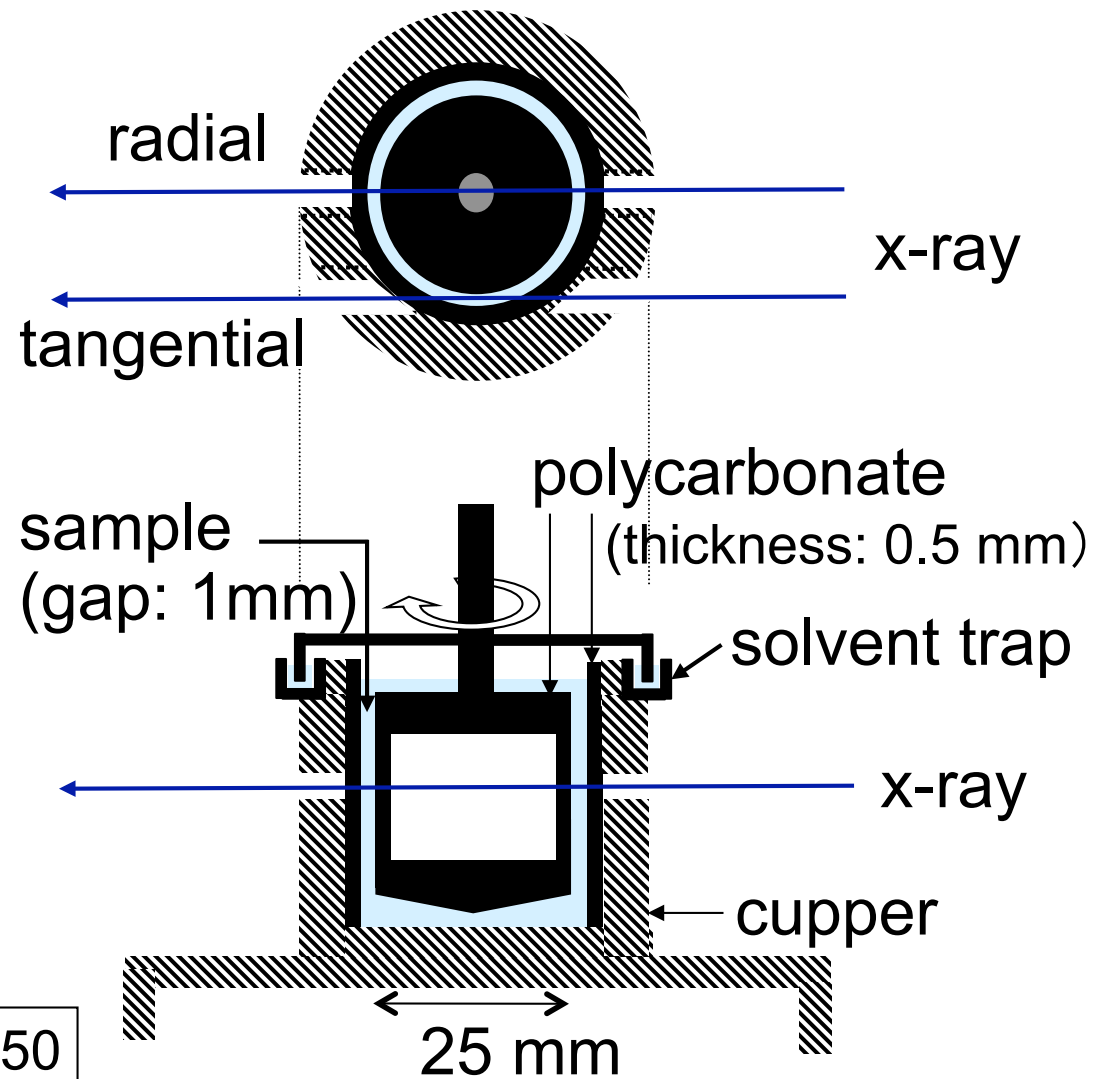


The onion size increases and size distribution becomes narrow with T

Apparatus for Rheo-SAXS¹⁾



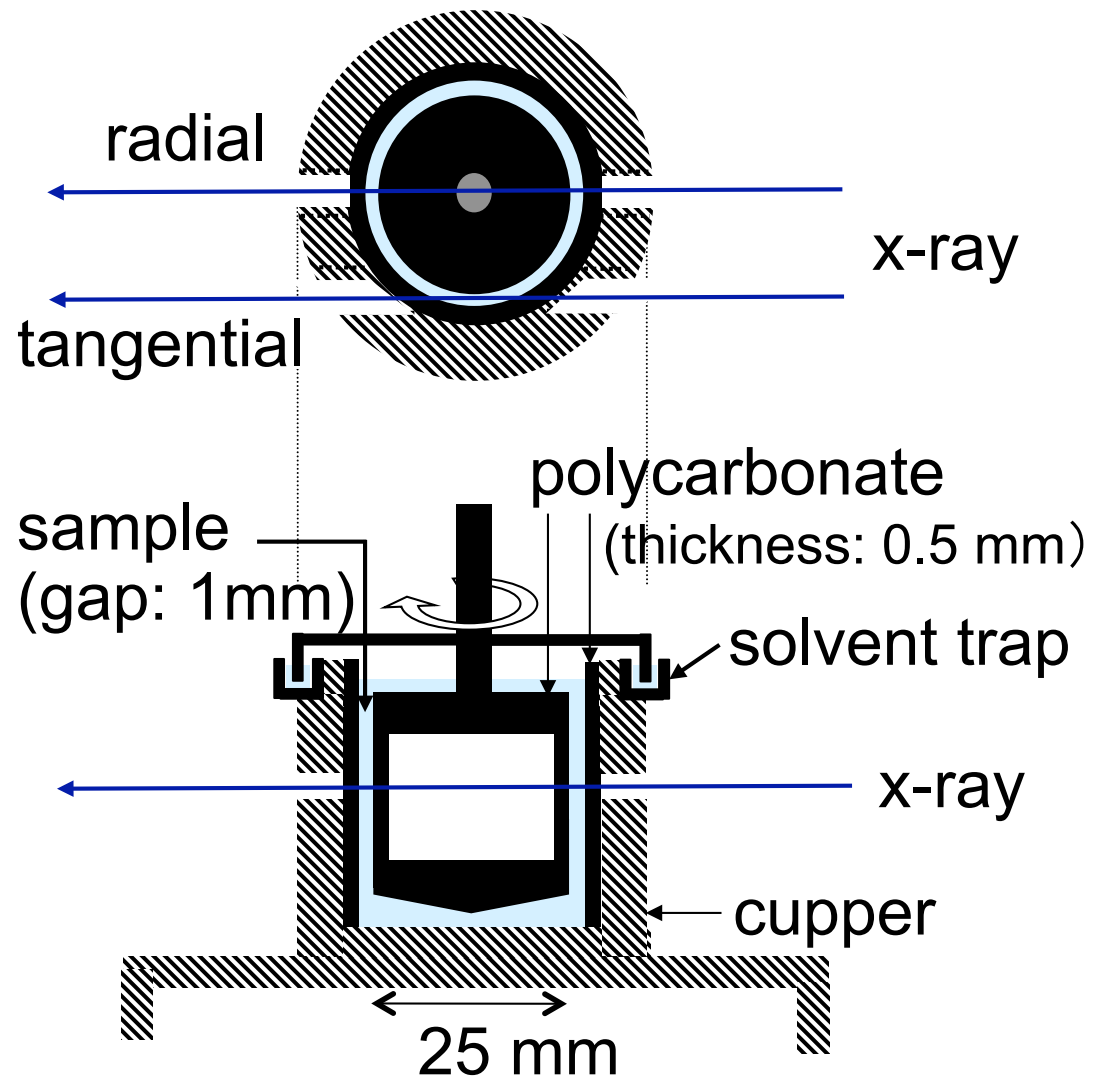
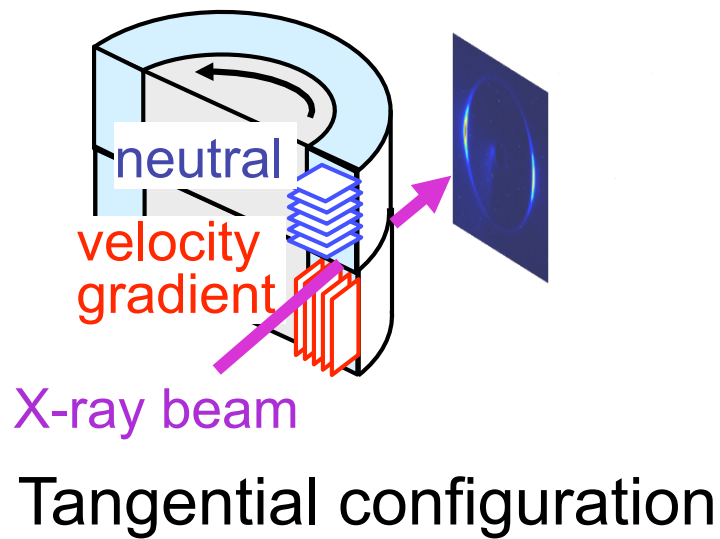
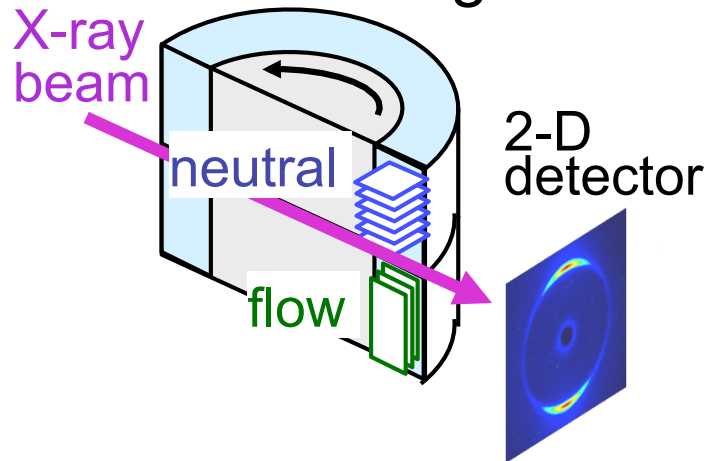
Rheometer: TA Instruments AR550



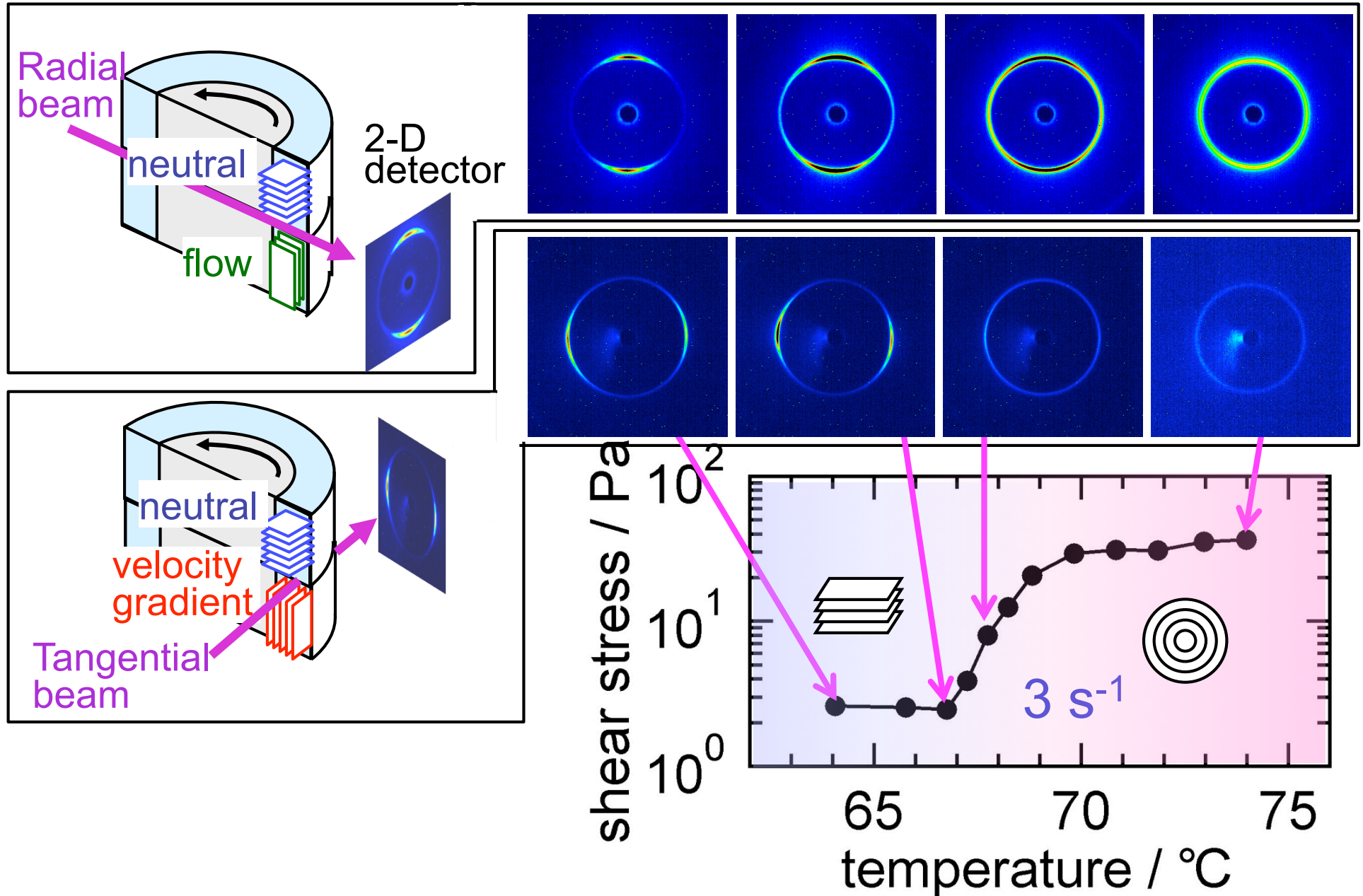
1) T. Kato et al. *Photon Factory Activity Report 2005 #23 Part B* (2006).
Y. Kosaka, M. Ito, Y. Kawabata, and T. Kato, *Langmuir*, **26**, 3835 (2010).

Apparatus for Rheo-SAXS

Radial configuration



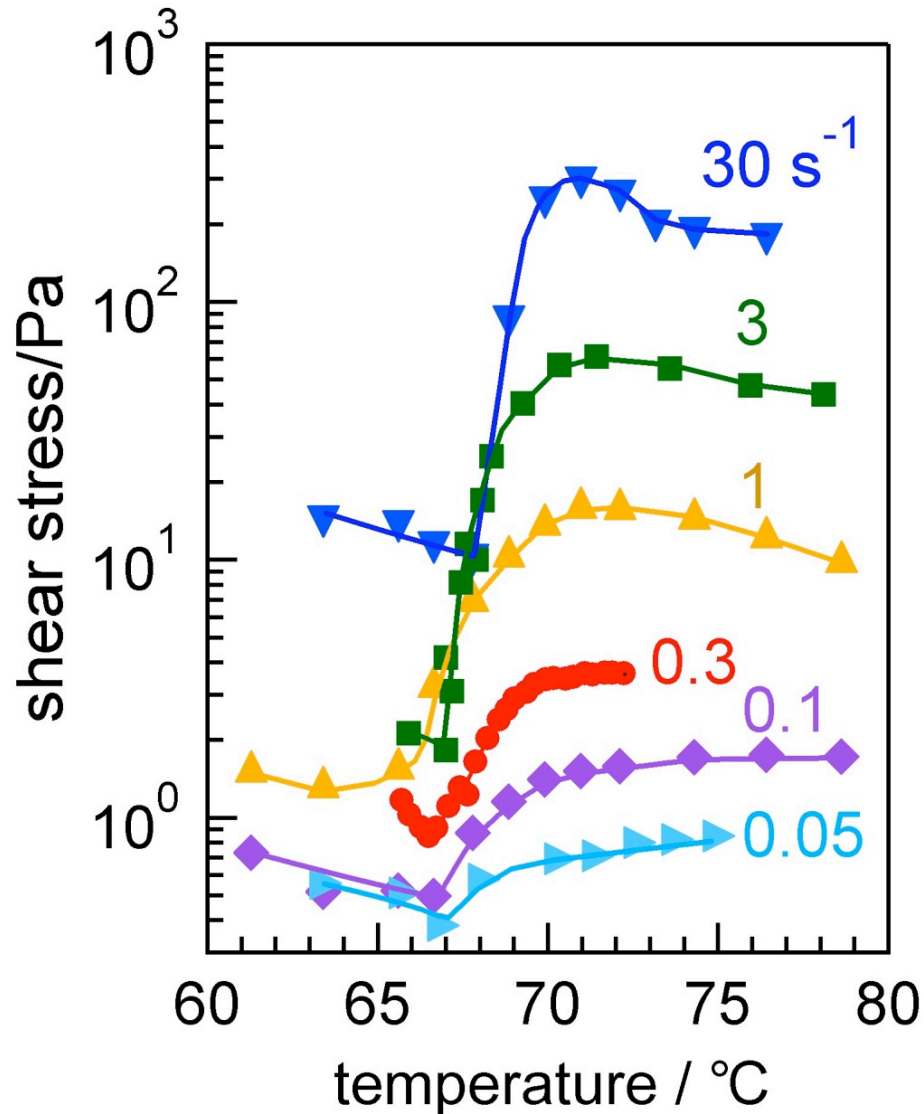
Temperature Dependences of Shear Stress and 2-D SAXS Patterns at 3 s^{-1} (48wt%)



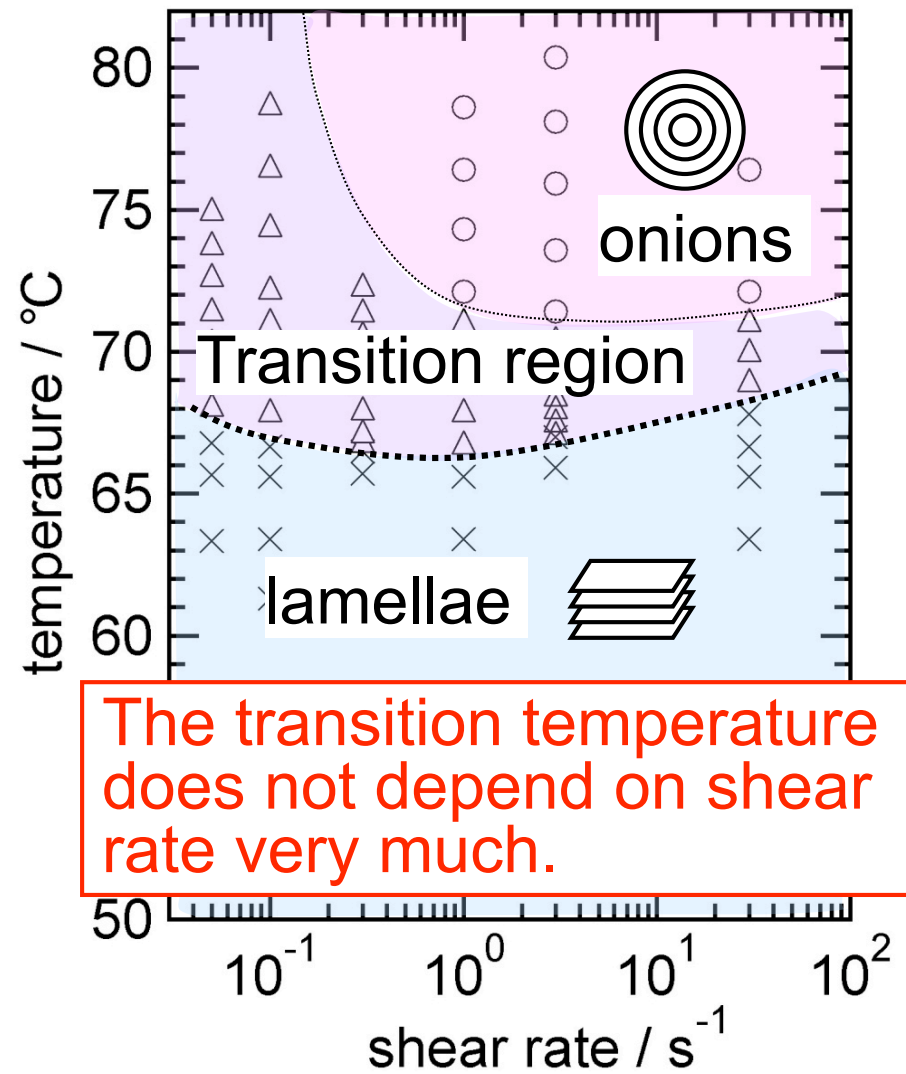
Outline of the Present Talk

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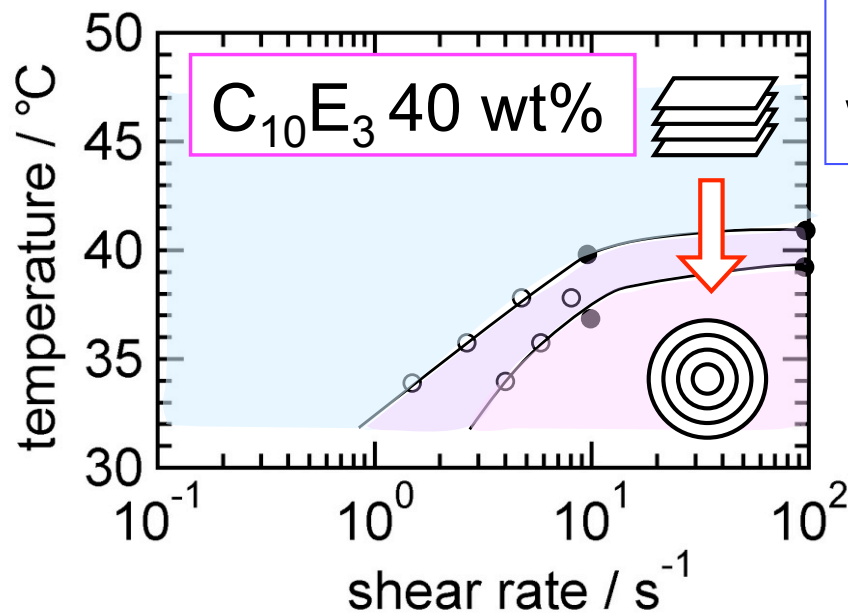
Temperature Dependences of Shear Stress (48wt%)



Temperature - Shear Rate Diagram (48wt%)



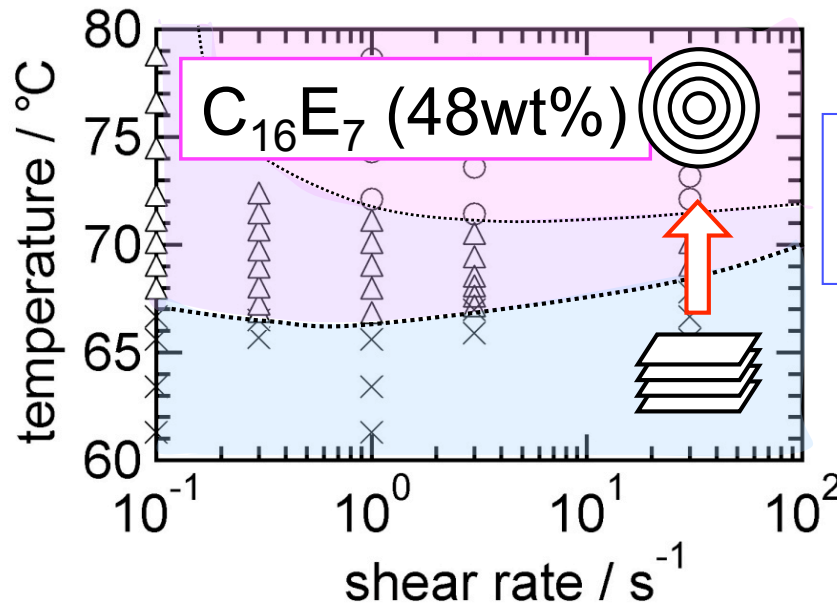
Comparison with Other Homologous Systems



Lamellar-to-onion transition with **decreasing** temperature

T. D. Le et al., *Langmuir* **17**, 999 (2001), C. Oliviero et al., *Col. Surf. A* **228**, 85 (2003).

Temperature dependence of elastic properties of isolated membranes



Lamellar-to-onion transition with **increasing** temperature

Y. Kosaka et al., *Langmuir*, **26**, 3835 (2010).

Elastic Properties of Isolated Membranes

Elastic free energy of bilayer relative to a flat state (L_α)

$$\frac{F - F(L_\alpha)}{A} = 2\kappa \langle H^2 \rangle + \bar{\kappa} \langle G \rangle \quad H \equiv \frac{1}{2} \left(\frac{1}{R_1} + \frac{1}{R_2} \right) \quad G \equiv \frac{1}{R_1} \frac{1}{R_2}$$

κ : bending modulus of a bilayer

$\bar{\kappa}$: saddle splay modulus of a bilayer

L_3 phase

$$\frac{F(L_3) - F(L_\alpha)}{A} = \bar{\kappa} \langle G \rangle \quad \langle G \rangle < 0$$

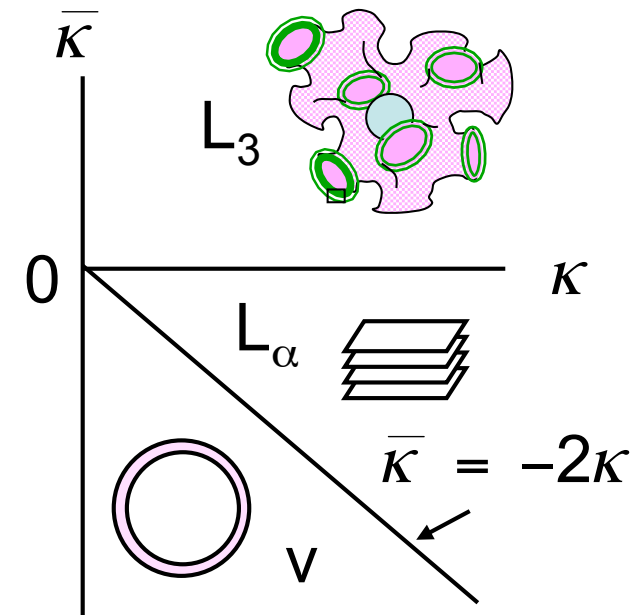
$$\bar{\kappa} > 0 \quad \rightarrow \quad F(L_3) < F(L_\alpha)$$

A vesicle of radius R

$$\frac{F(V) - F(L_\alpha)}{A} = (2\kappa + \bar{\kappa}) \frac{1}{R^2}$$

$$2\kappa + \bar{\kappa} < 0$$

$$(\bar{\kappa} < -2\kappa < 0) \quad \rightarrow \quad F(V) < F(L_\alpha)$$



W. Helfrich, *J. Phys. Condens. Matter* **6**, A79 (1994).

Elastic Properties of Isolated Membranes

Saddle splay modulus of a bilayer

$$\bar{\kappa} = 2\bar{\kappa}_m - 4H_{0m}\delta_{hc}\kappa_m$$

$\bar{\kappa}_m$: saddle splay modulus of a monolayer

H_{0m} : spontaneous curvature of a monolayer

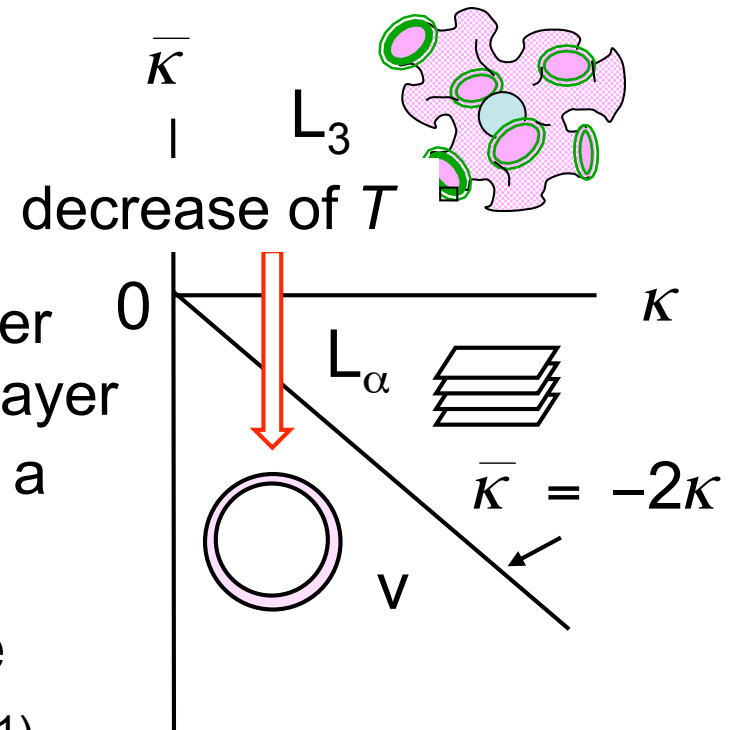
δ_{hc} : thickness of a hydrophobic part of a monolayer

Nonionic surfactants of the C_nE_m type

→ H_{0m} increases with decreasing T ¹⁾

→ $\bar{\kappa}$ decreases with decreasing T ²⁾

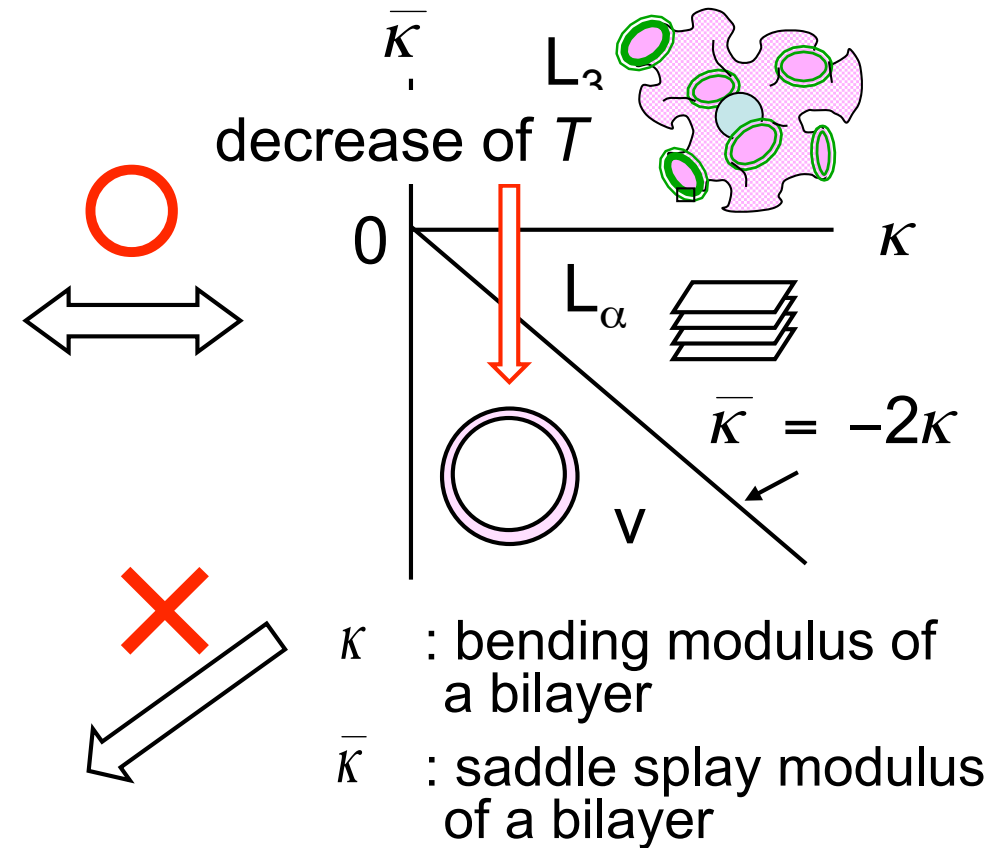
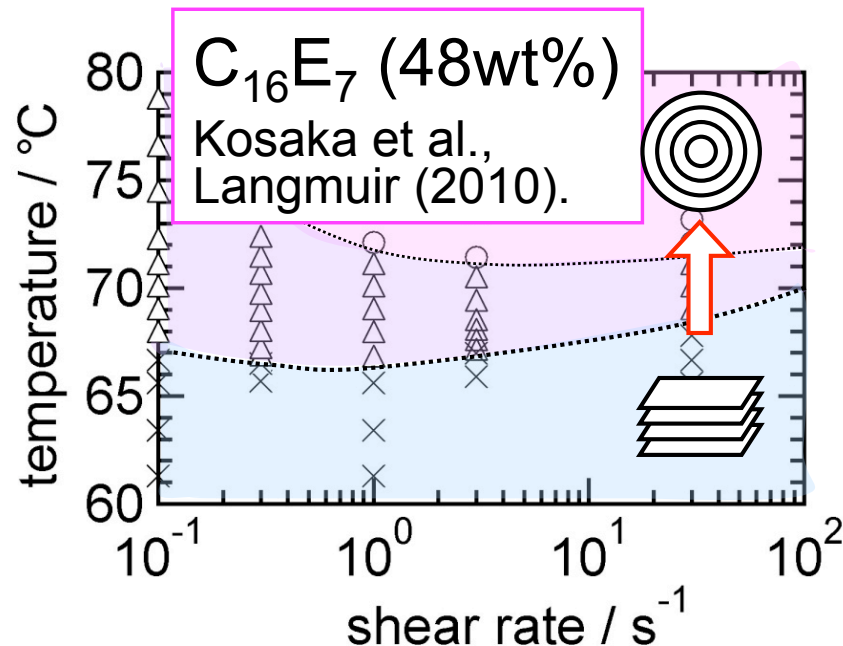
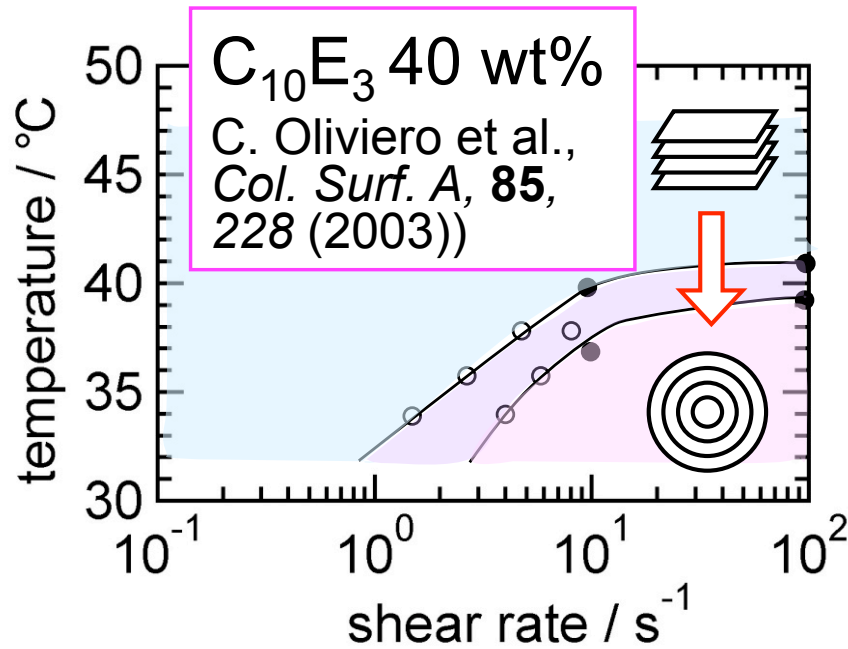
→ Vesicles become more stable than lamellae in the lower temperature range²⁾



1) R. Strey, *Colloid Polym. Sci.* **272**, 1005 (1994).

2) T. D. Le et al. *Langmuir* **17**, 999 (2001).

Comparison with Other Homologous Systems



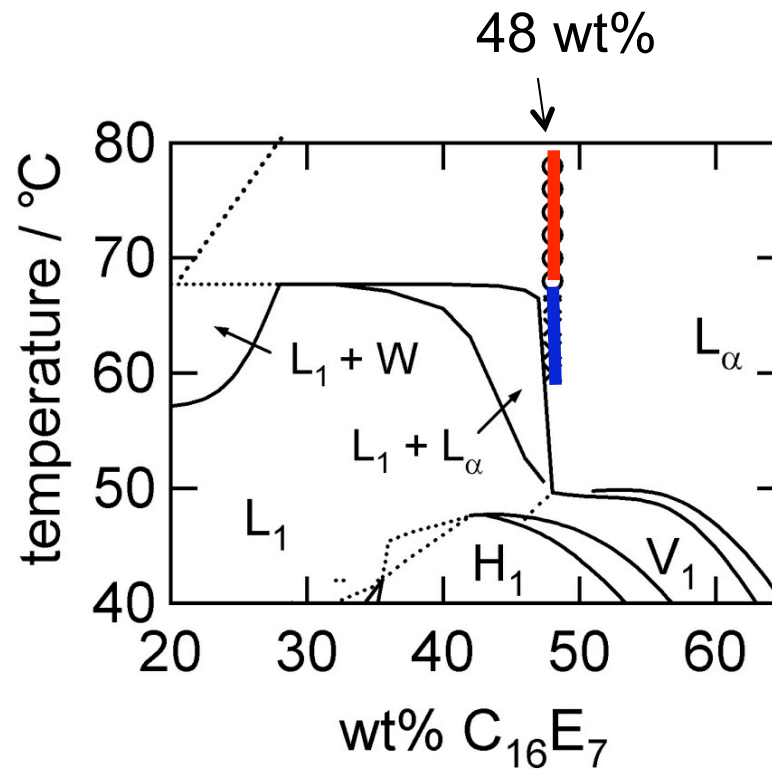
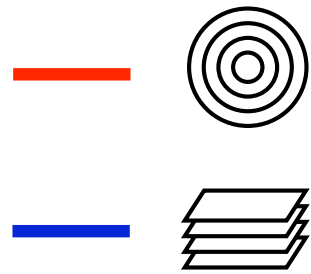
Our results cannot be explained by properties of isolated membranes

The transition should be dominated by other factors

Outline of the Present Talk

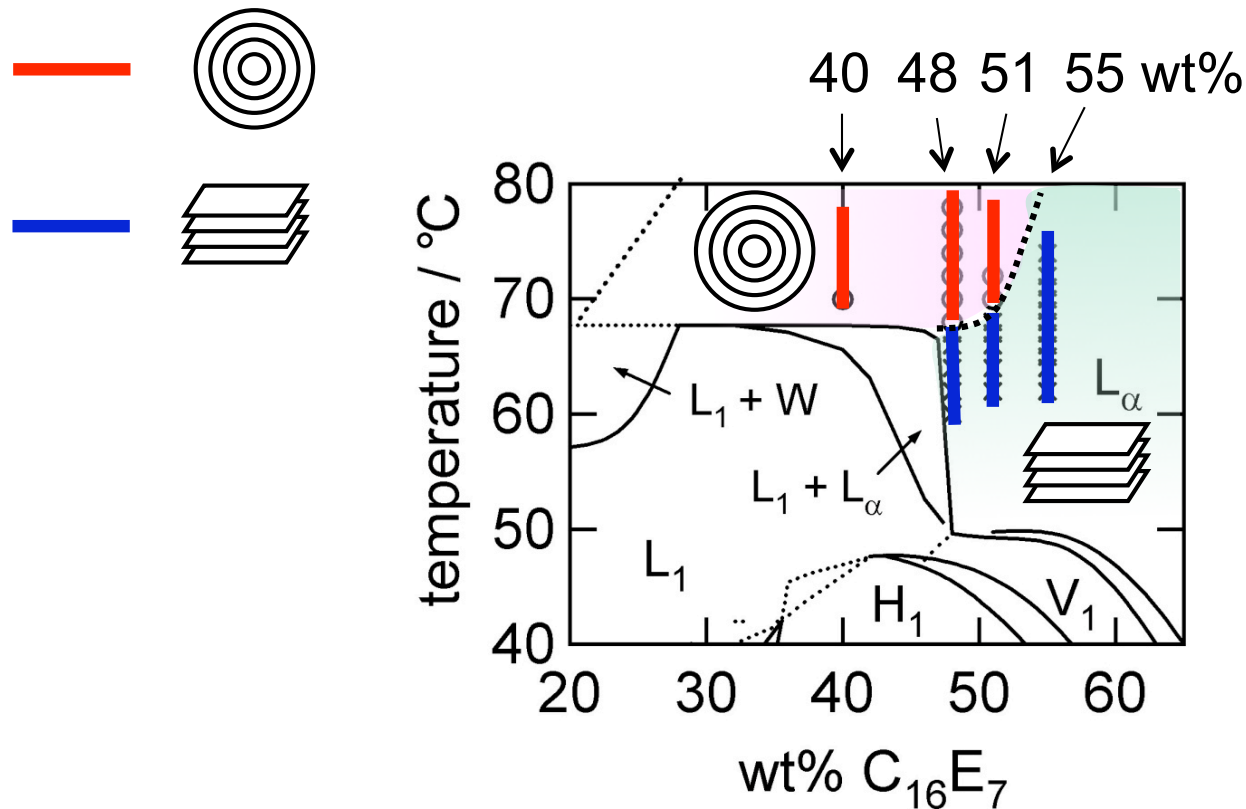
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Phase Behaviors of $C_{16}E_7 / D_2O$ System at Rest¹⁾ and the Lamellar-to-Onion Transition Temperature at $3 s^{-1}$



1) K. Minewaki, T. Kato, H. Yoshida, and M. Imai, *Langmuir* **17**, 1864 (2001).

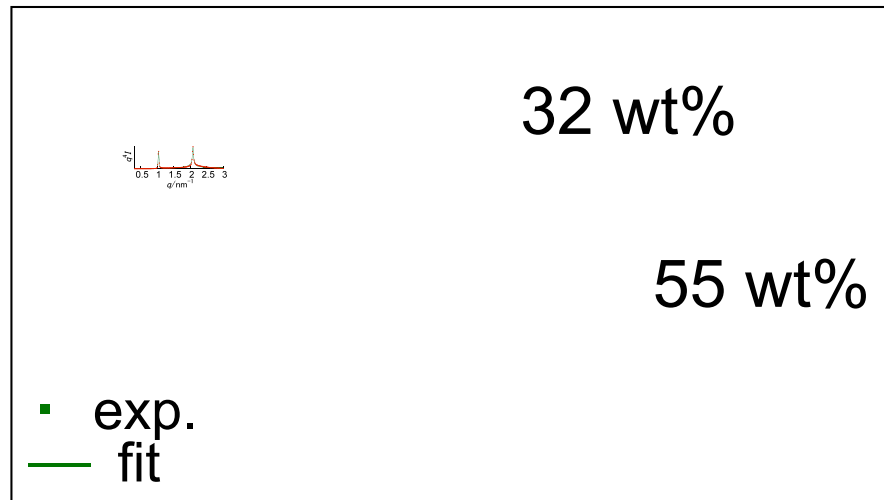
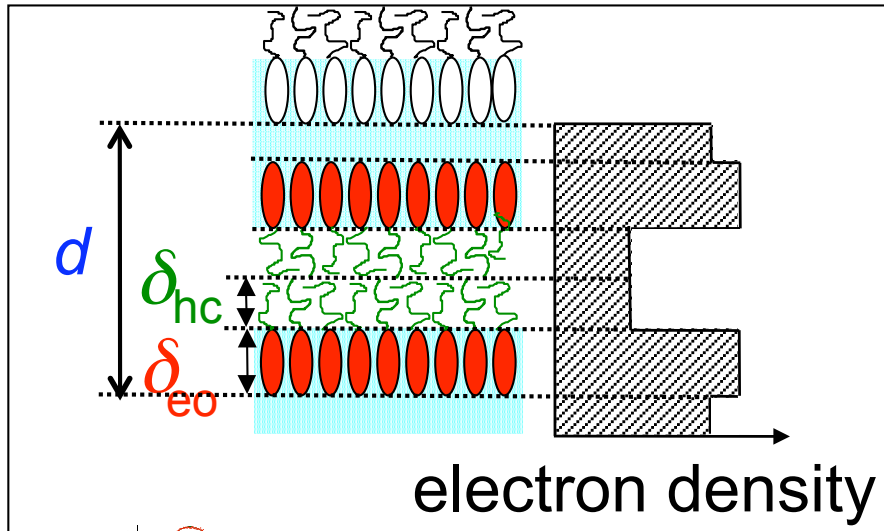
Phase Behaviors of $C_{16}E_7$ / D_2O System at Rest¹⁾ and the Lamellar-to-Onion Transition Temperature at 3 s^{-1}



The transition temperature increases with increasing surfactant concentration

1) K. Minewaki, T. Kato, H. Yoshida, and M. Imai, *Langmuir* **17**, 1864 (2001).

SAXS Study on the Lamellar Phase of $C_{16}E_7$ System at Rest¹⁾



$$I(q) = \frac{2\pi}{d} \frac{P(q)S(q)}{q^2}$$

$P(q)$: form factor of bilayers*

$$\Leftrightarrow \delta_{hc}, \delta_{eo}$$

$S(q)$: structure factor*

$$\Leftrightarrow d, \eta$$

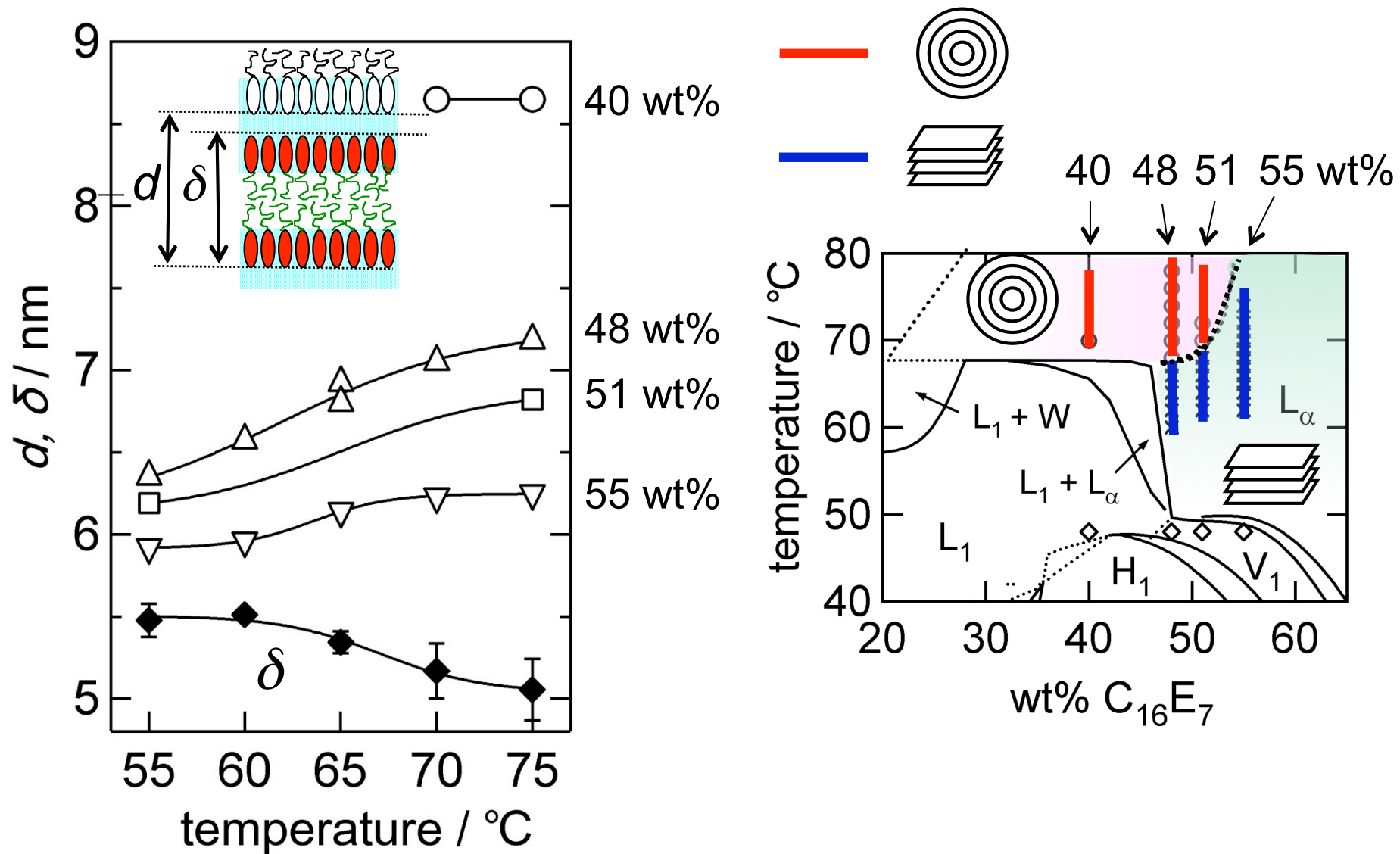
$\eta \Leftrightarrow$ fluctuations of d

* F. Nallet, R. Laversanne, and D. Roux, *J. Phys. II France*, **3**, 487 (1993)

(applied to AOT-water and DDAB-water)

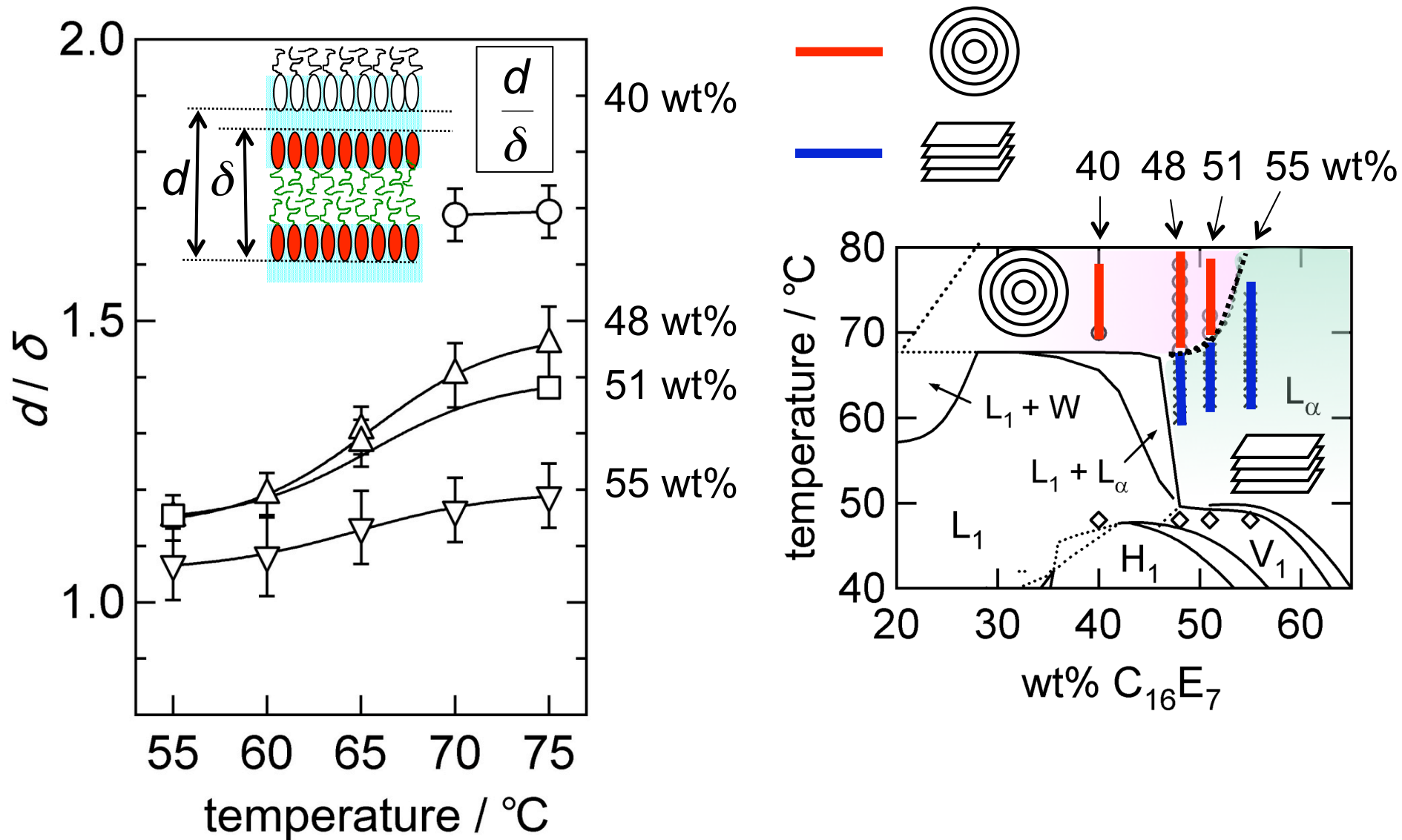
1) K. Minewaki, T. Kato, H. Yoshida, and M. Imai, *Langmuir* **17**, 1864 (2001).

Comparison with Temperature Dependence of Repeat Distance at Rest¹⁾



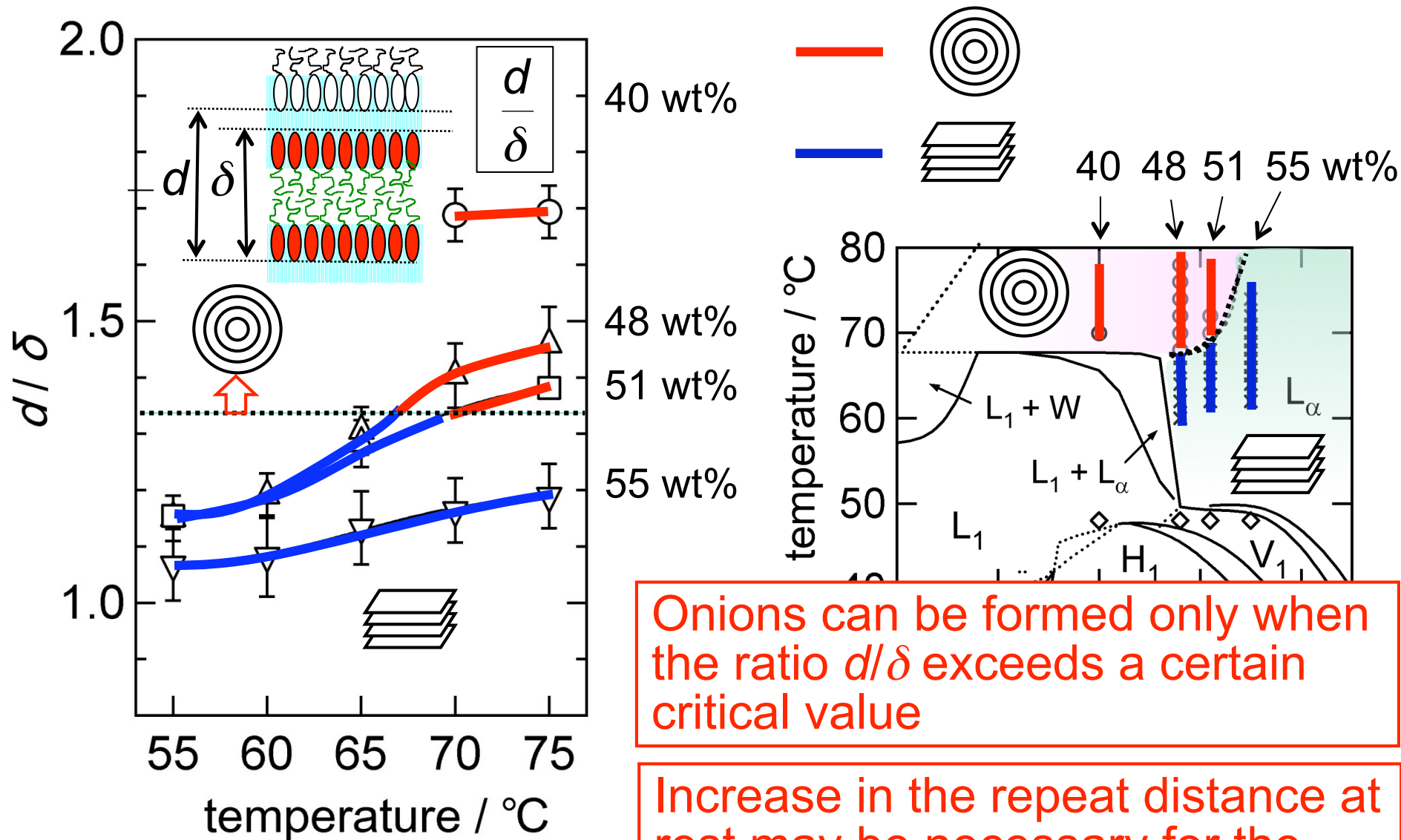
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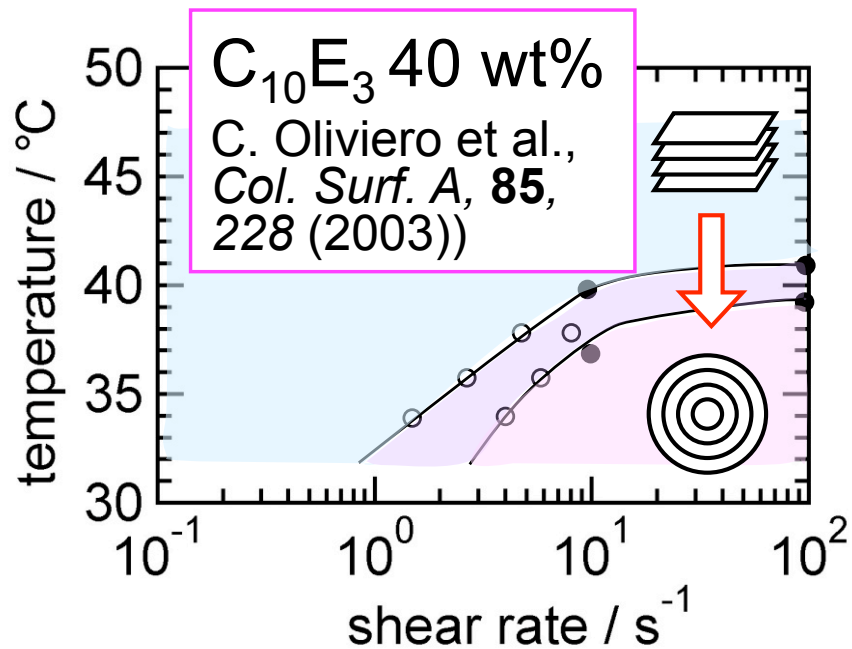


Onions can be formed only when the ratio d/δ exceeds a certain critical value

Increase in the repeat distance at rest may be necessary for the transition

1) K. Minewaki, T. Kato, H. Yoshida

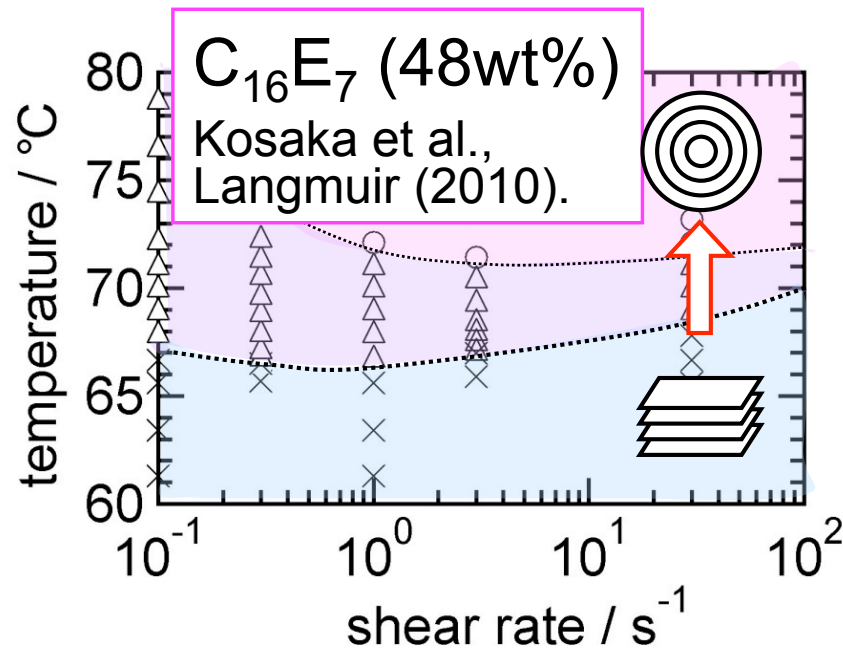
Comparison with Other Homologous Systems



Lamellar-to-onion transition
with **decreasing** temperature



Decrease in the saddle splay
modulus of a bilayer with
decreasing temperature ?



Lamellar-to-onion transition
with **increasing** temperature

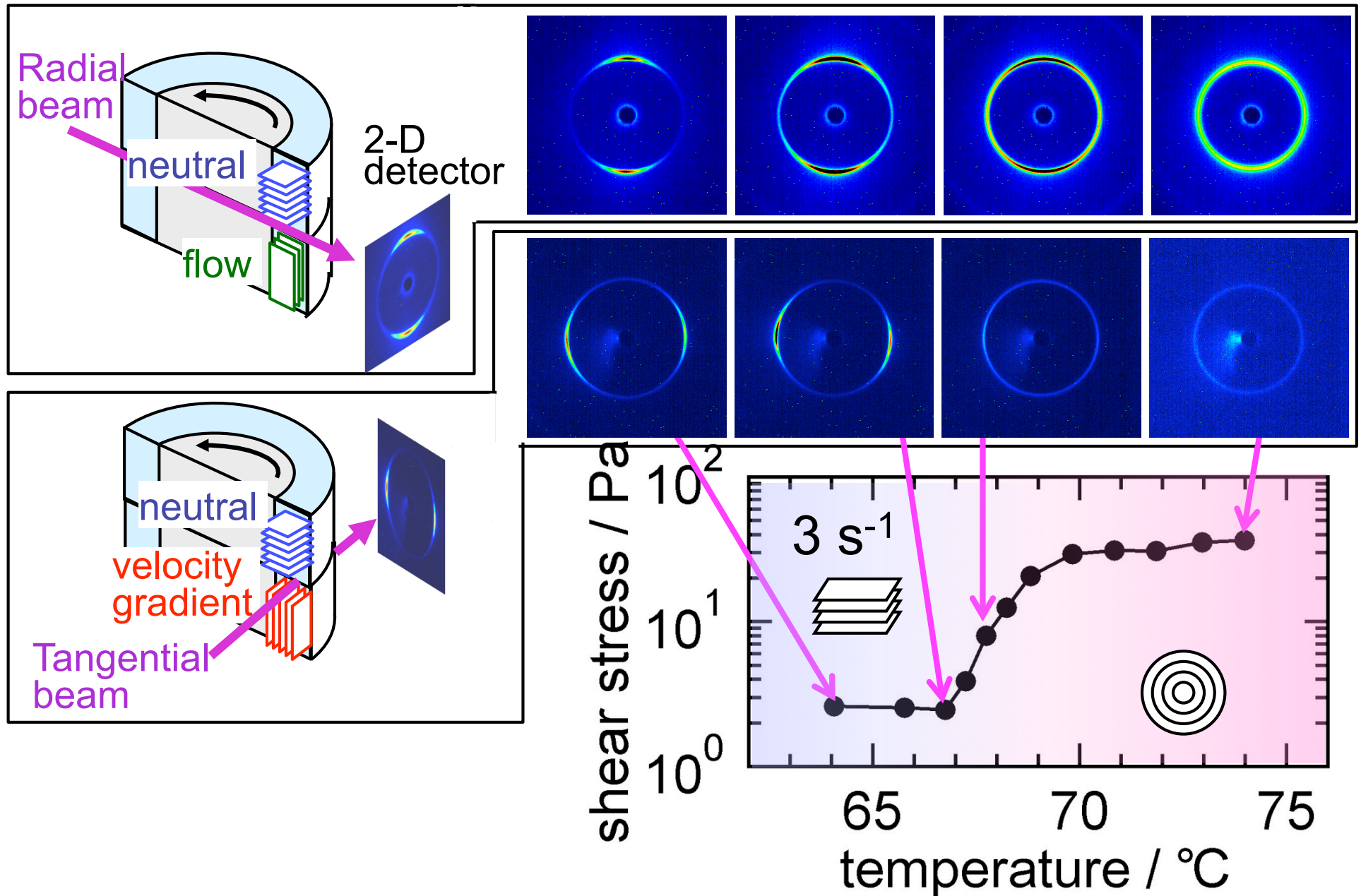


**Increase in the repeat
distance with increasing
temperature at rest**

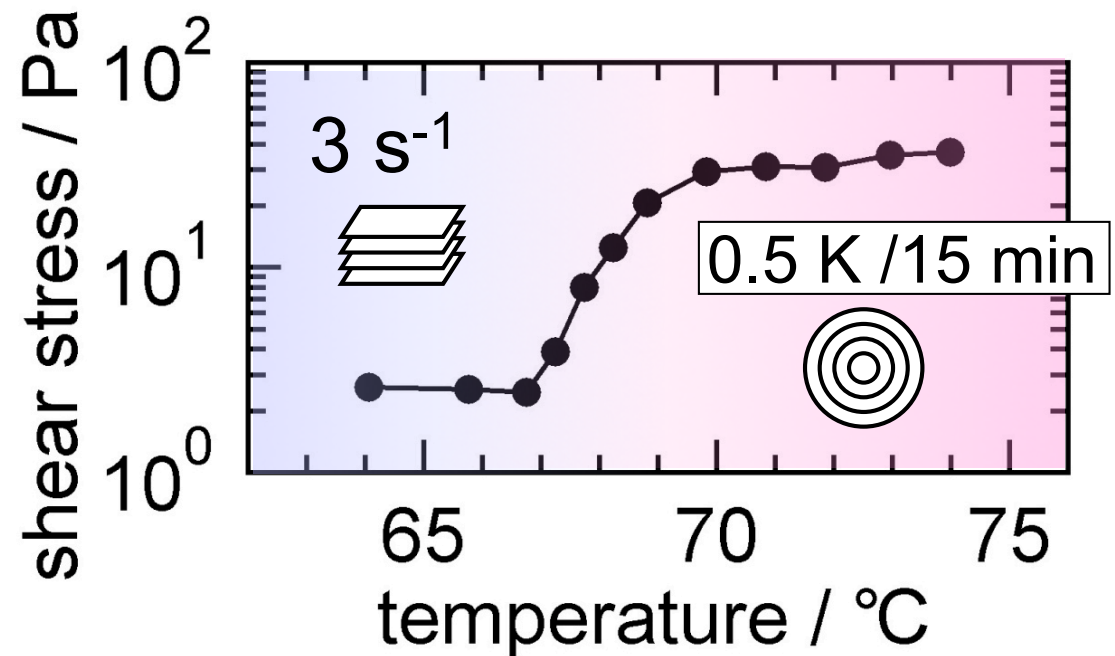
Outline of the Present Talk

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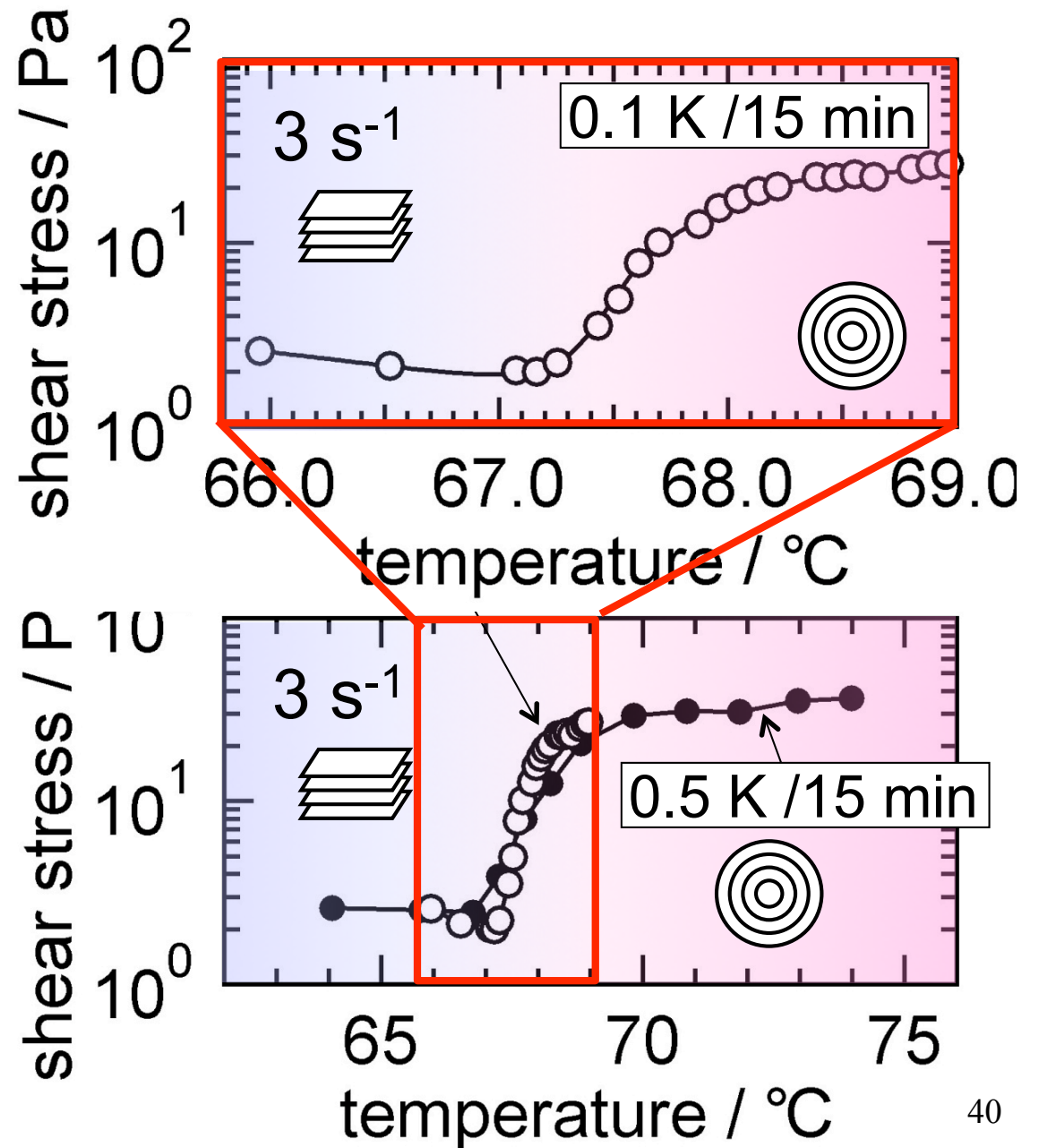
Temperature Dependences of Shear Stress and 2-D SAXS Patterns at 3 s^{-1} (48wt%)



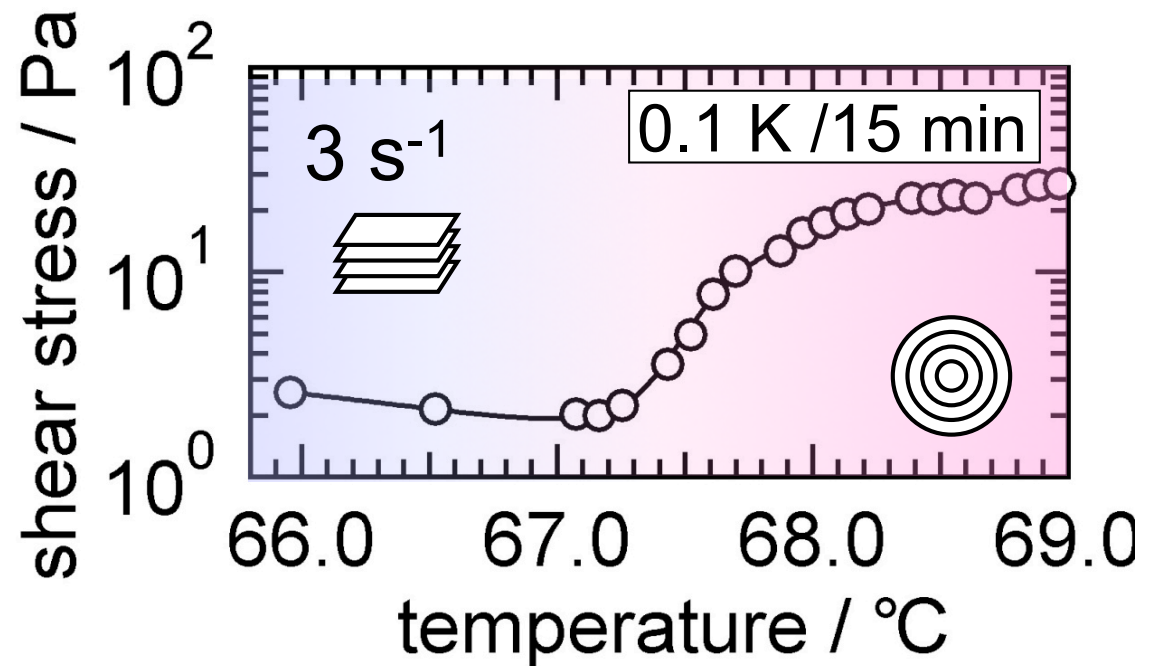
Temperature Dependences of Shear Stress and 2-D SAXS Patterns at 3 s^{-1} (48wt%)



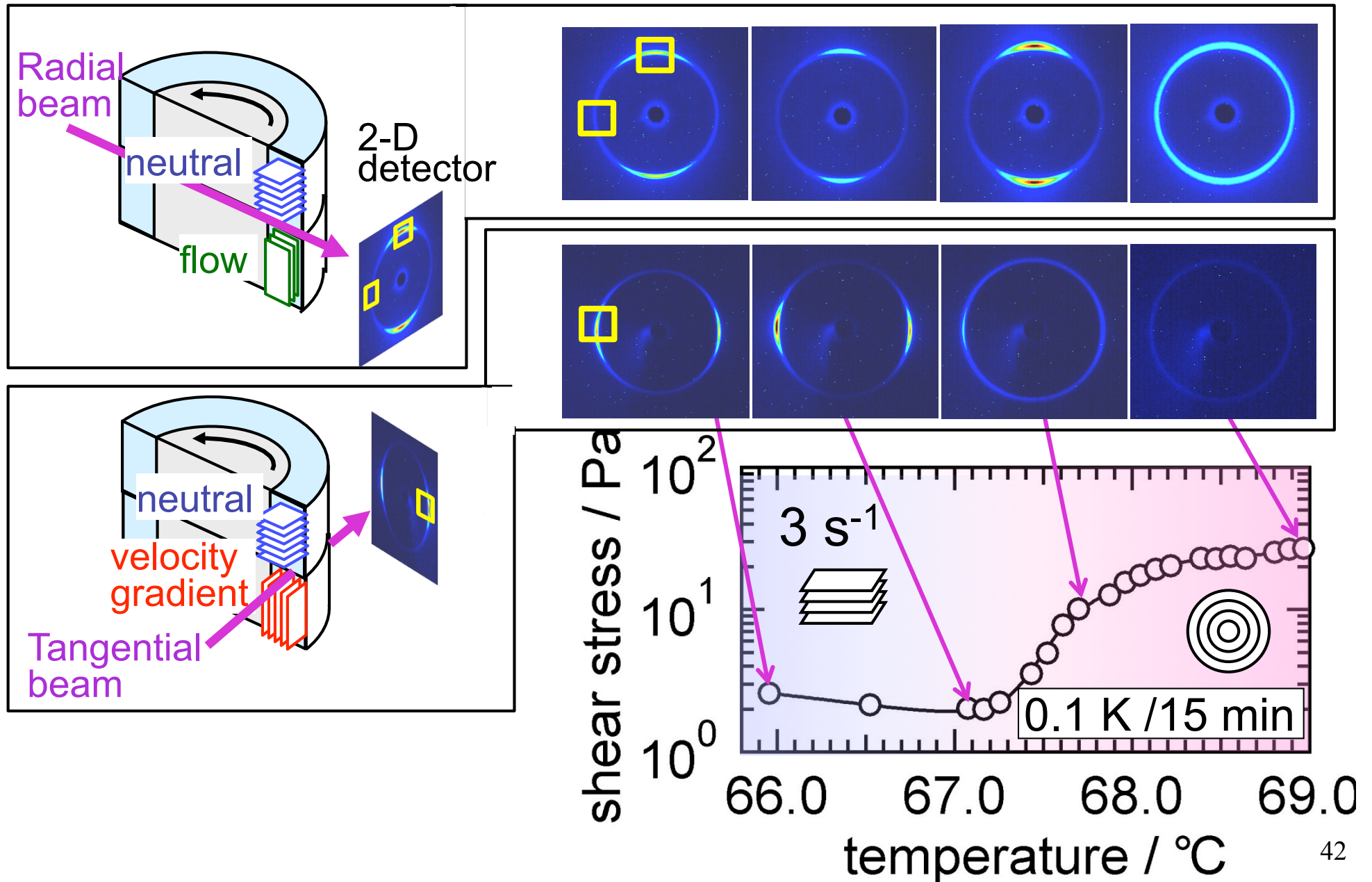
Temperature Dependences of Shear Stress and 2-D SAXS Patterns at 3 s^{-1} (48wt%)



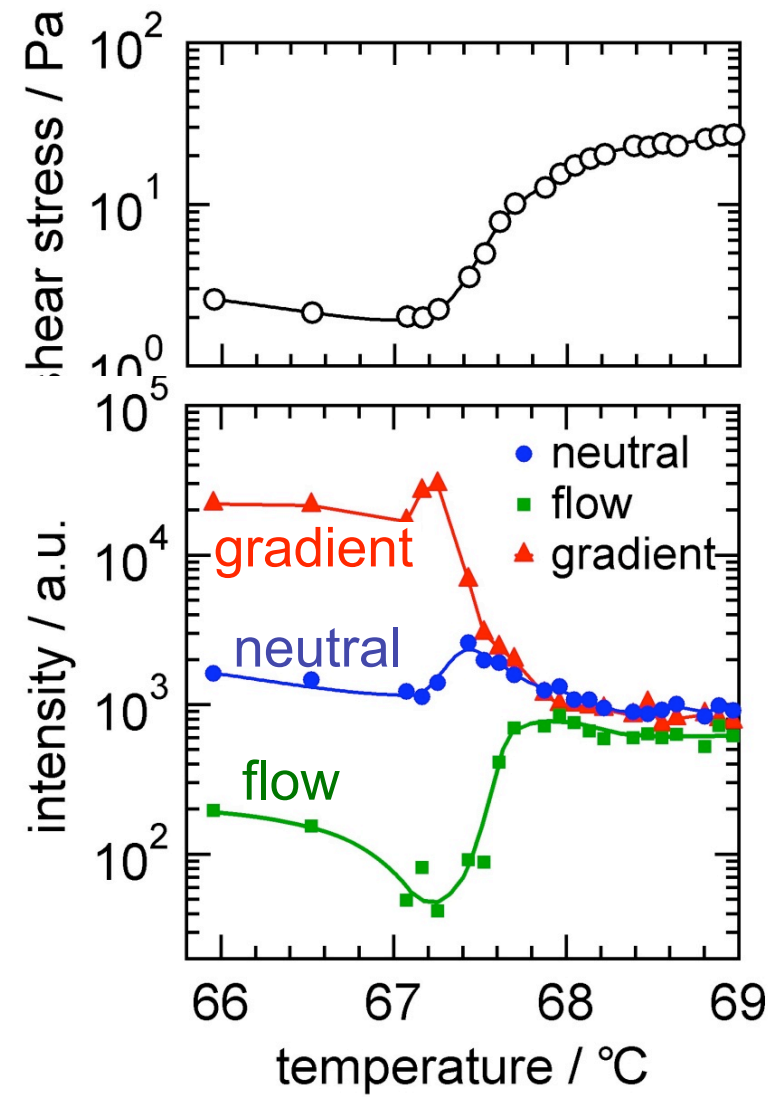
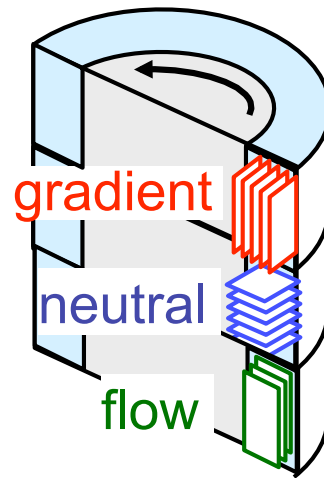
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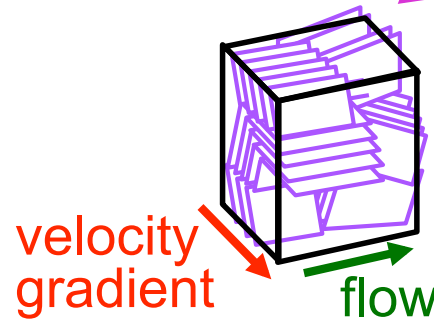
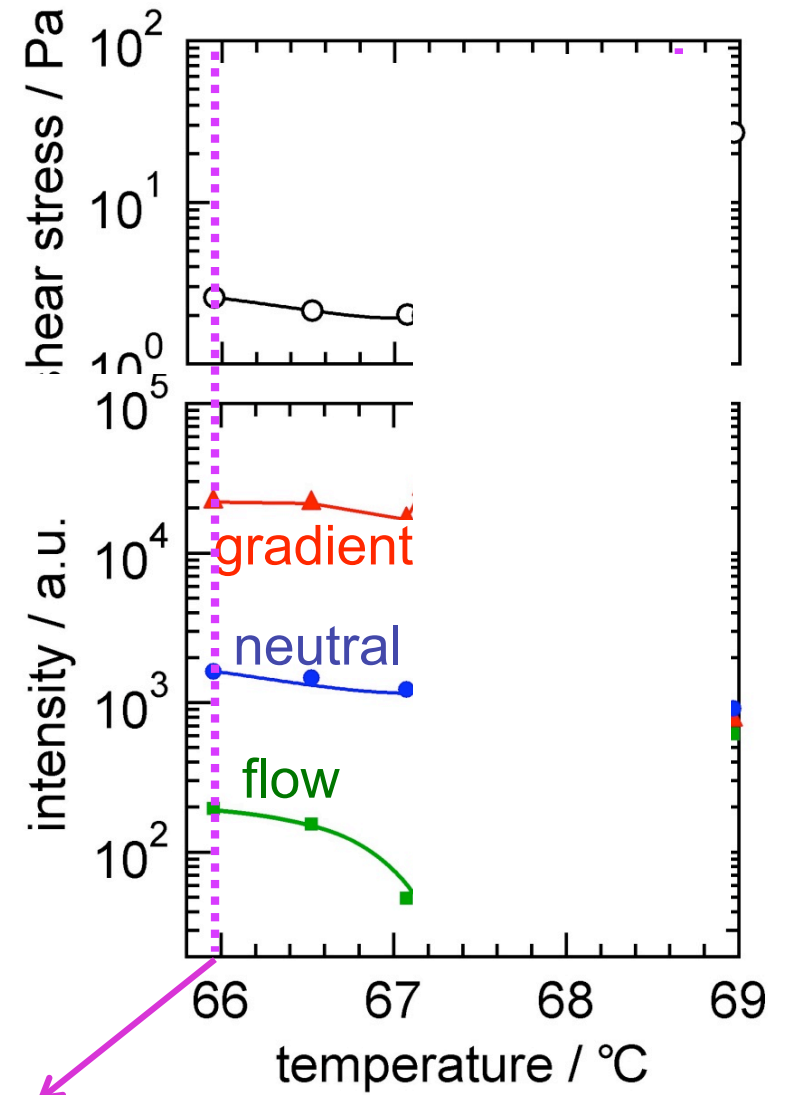
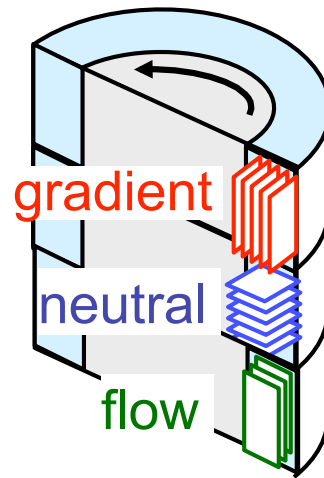
Temperature Dependences of Shear Stress and 2-D SAXS Patterns at 3 s^{-1} (48wt%)



Temperature Dependence of SAXS Peak Intensity

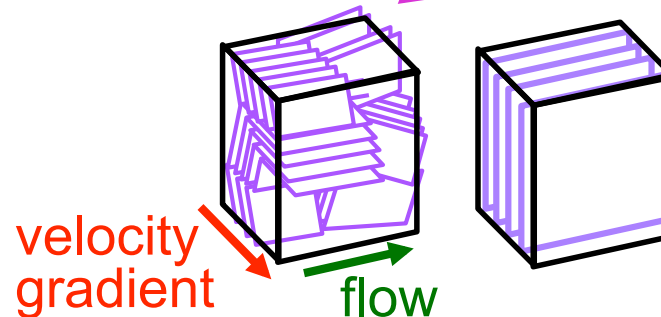
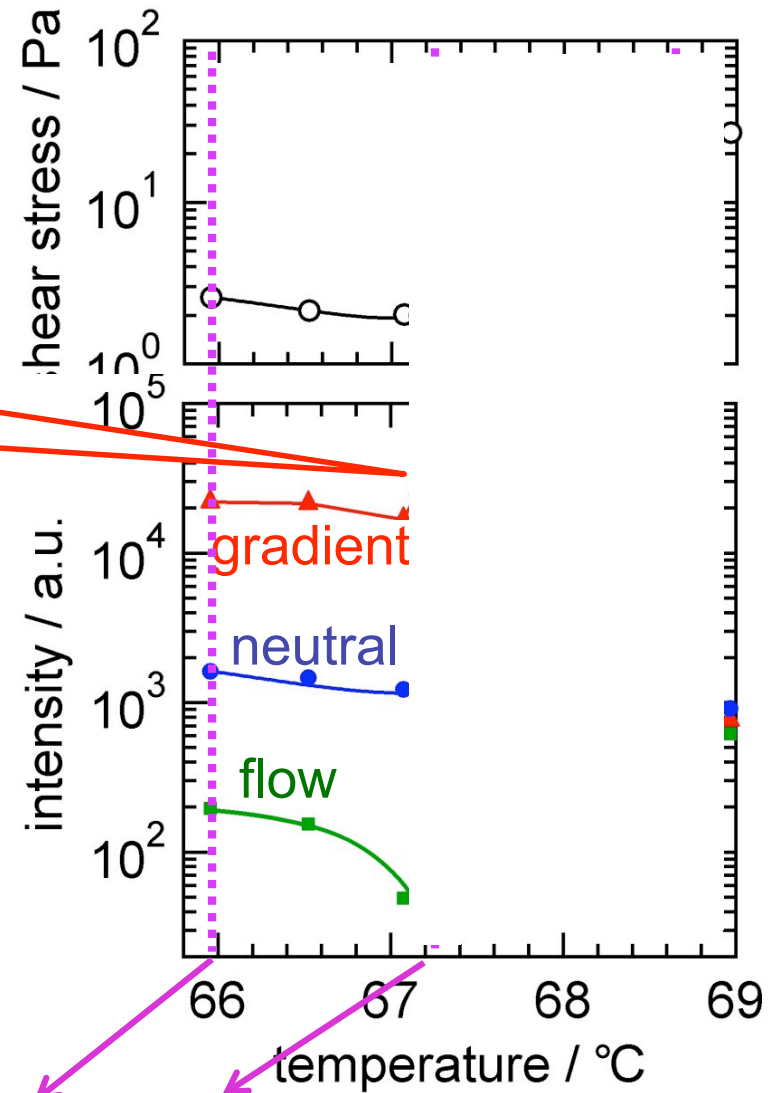
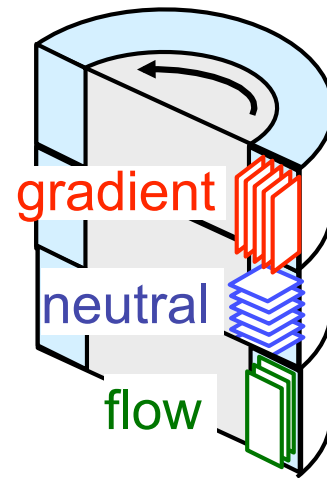


Temperature Dependence of SAXS Peak Intensity

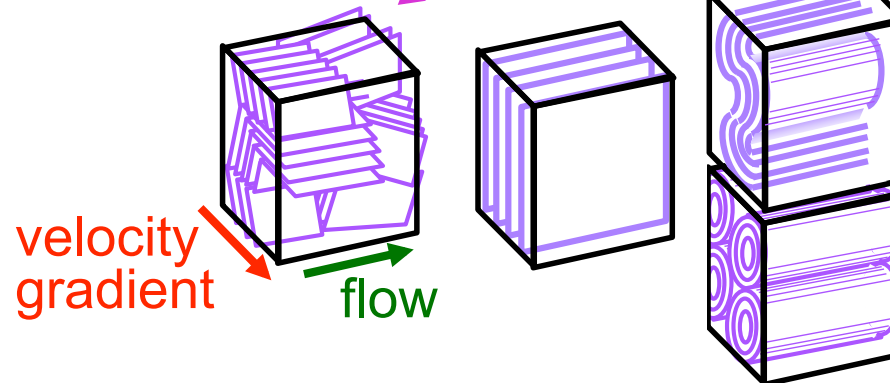
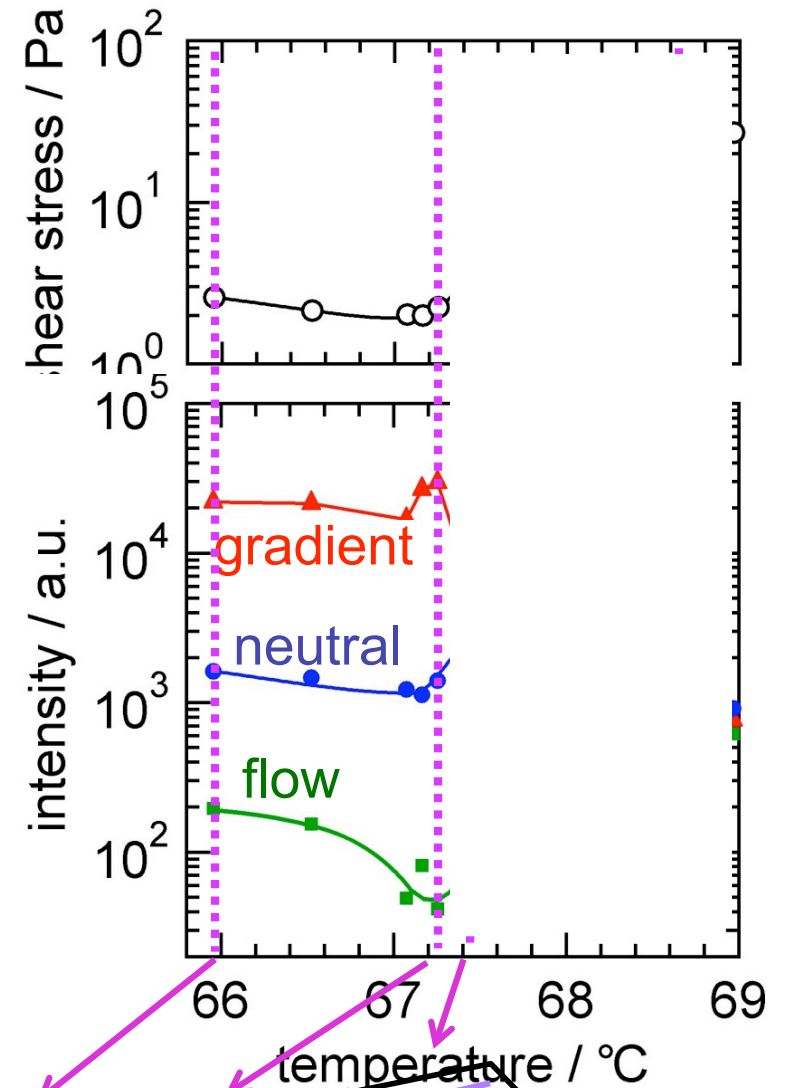
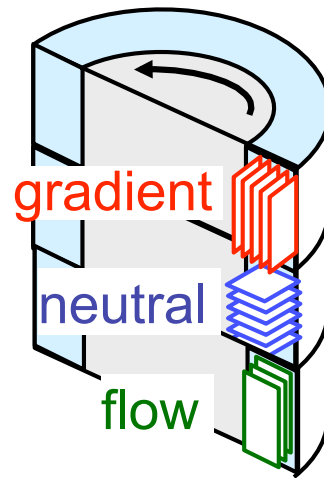


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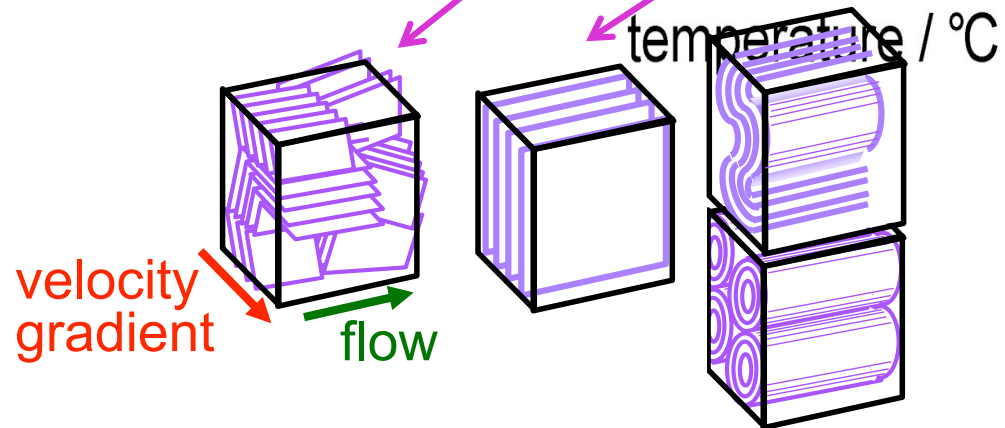
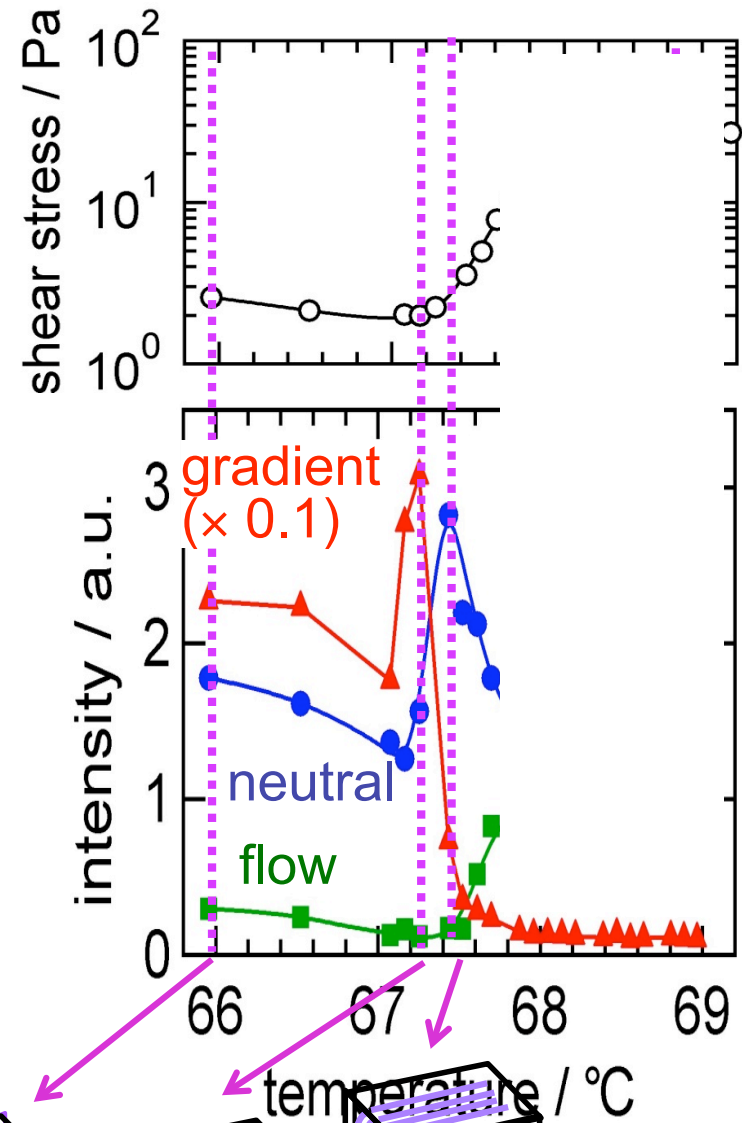
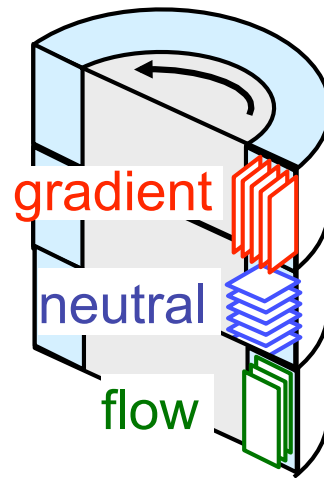
The orientation of lamellae to the velocity gradient direction is suddenly enhanced



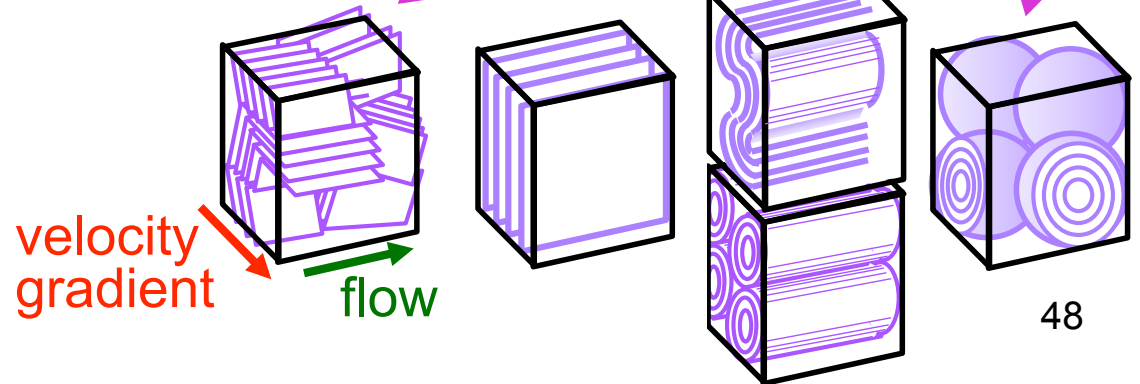
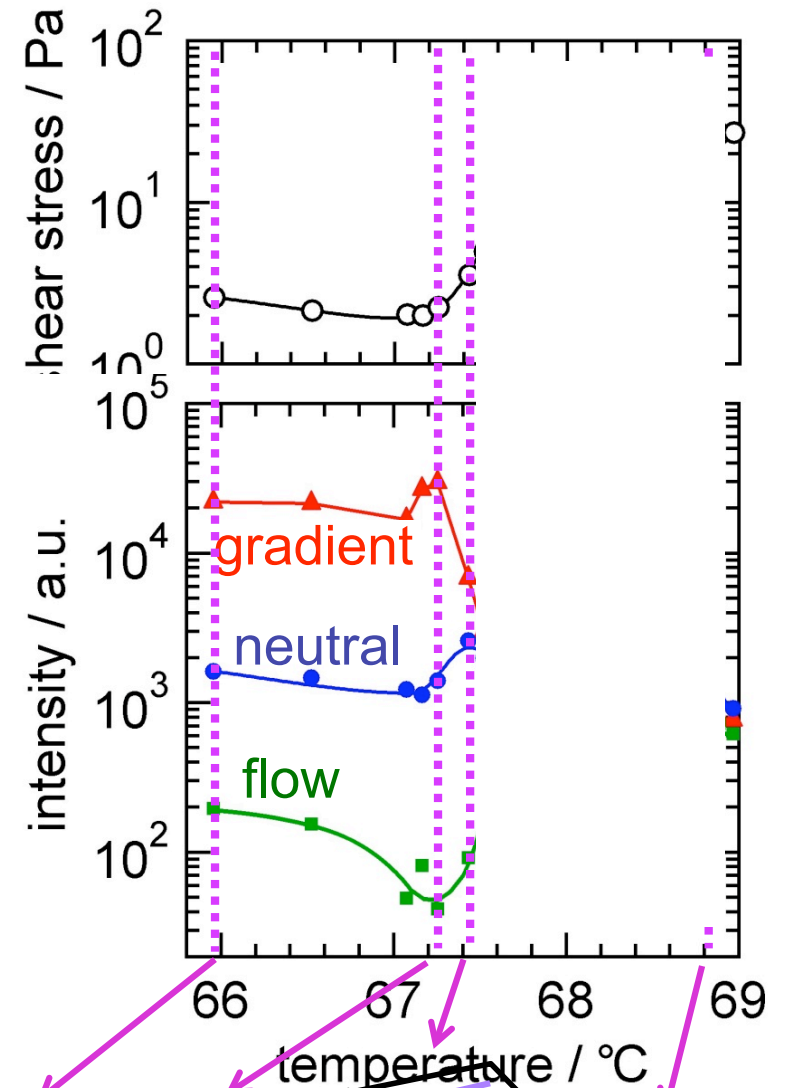
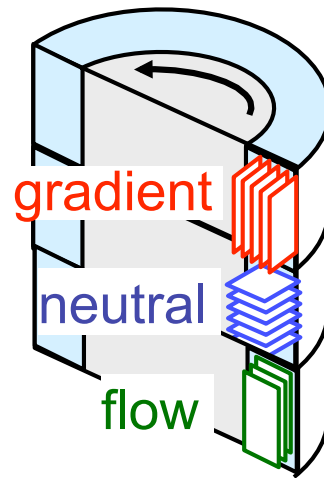
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Temperature Dependence of SAXS Peak Intensity

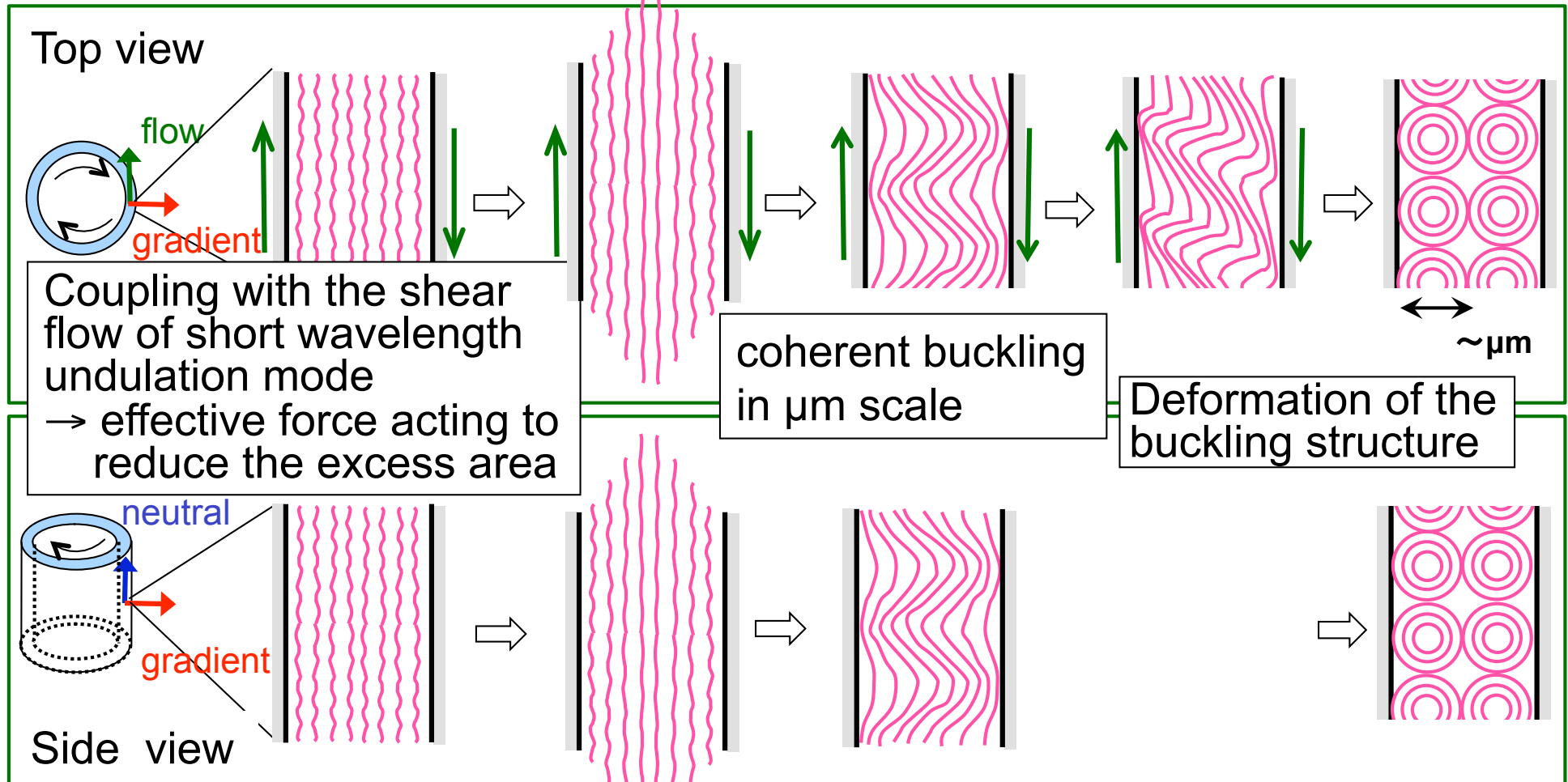


Temperature Dependence of SAXS Peak Intensity



Theory for Onion Formation

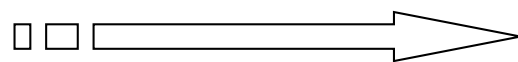
A.G. Zilman and R. Granek *Eur. Phys. J. B* 11, 593 (1999).



$$\dot{\gamma}_c \cong C \frac{(k_B T / \kappa) k_B T}{\eta d^{5/2} D^{1/2}}$$

$$\eta \sim 10^{-3} \text{ Pa s} \quad \kappa \sim k_B T$$

$$d \sim 10 \text{ nm} \quad D \sim 1 \text{ mm}$$



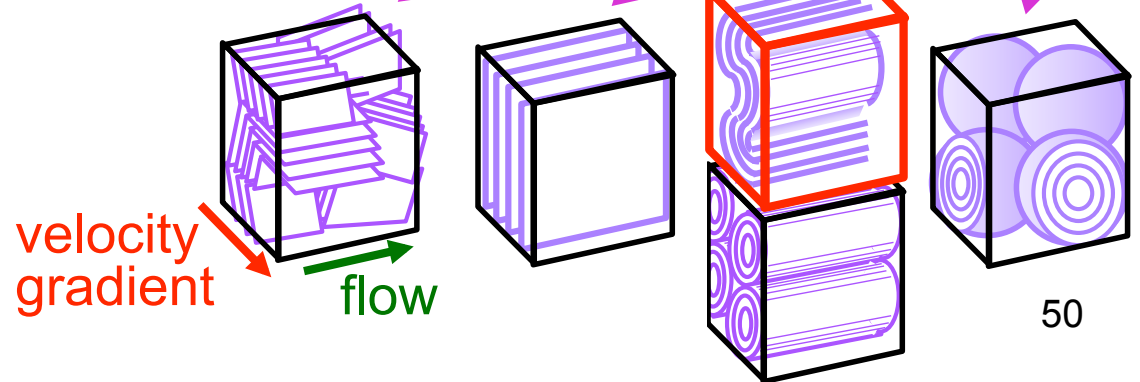
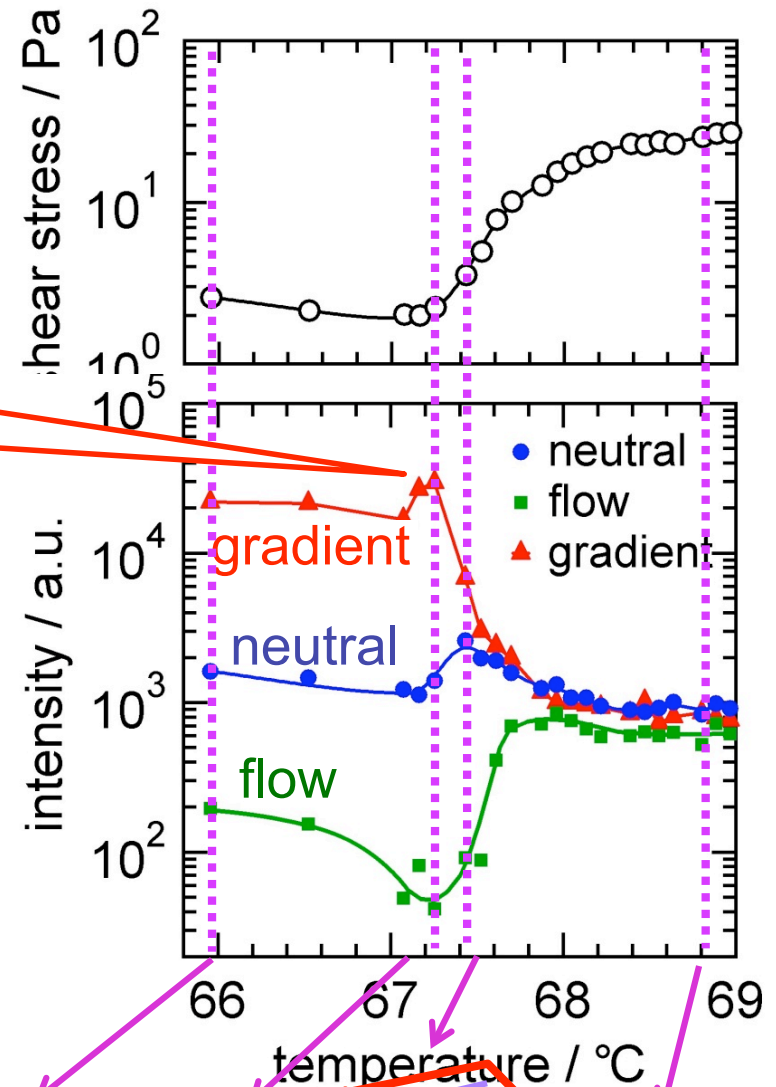
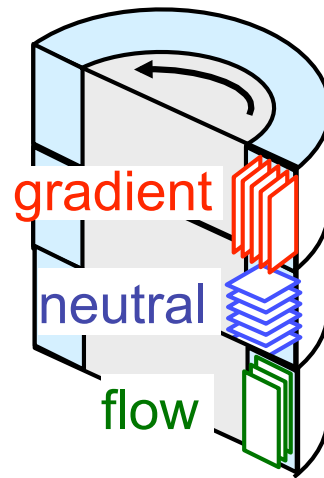
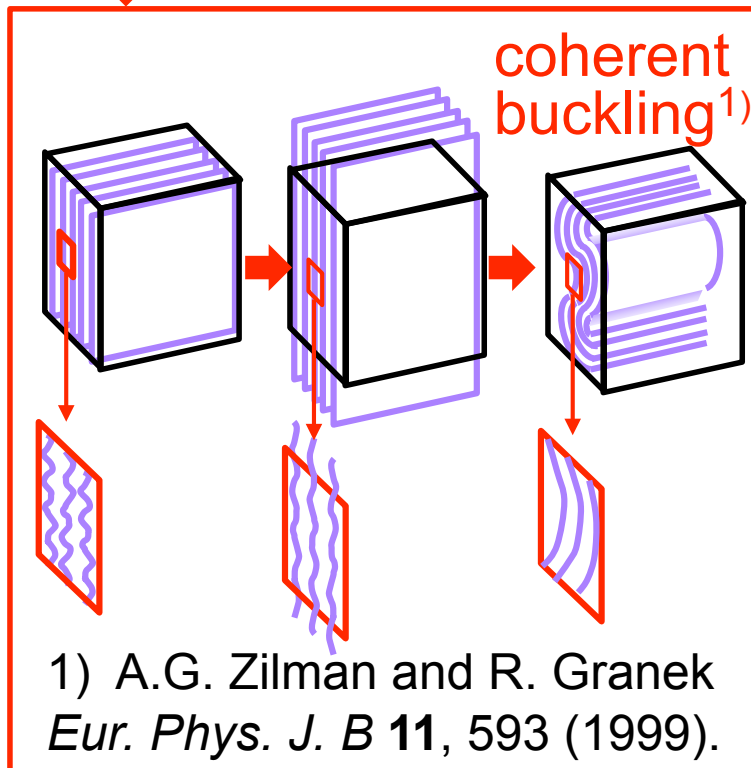
Theory itself may be wrong

$\dot{\gamma}_c \cong 10^6 \text{ s}^{-1} \gg \dot{\gamma}_c^{obsd}$

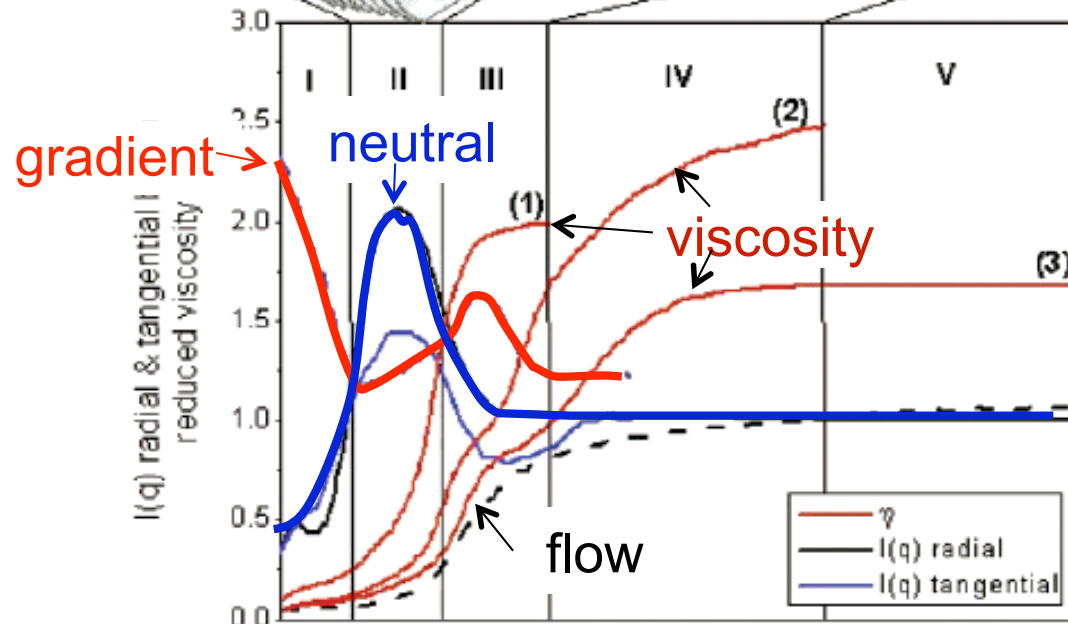
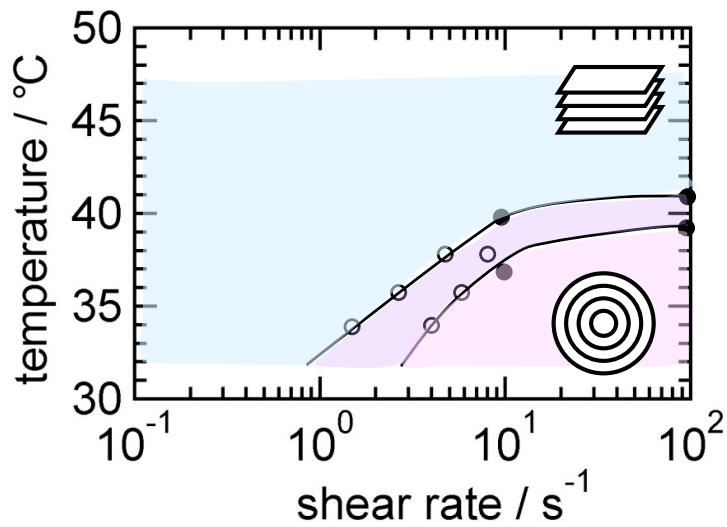
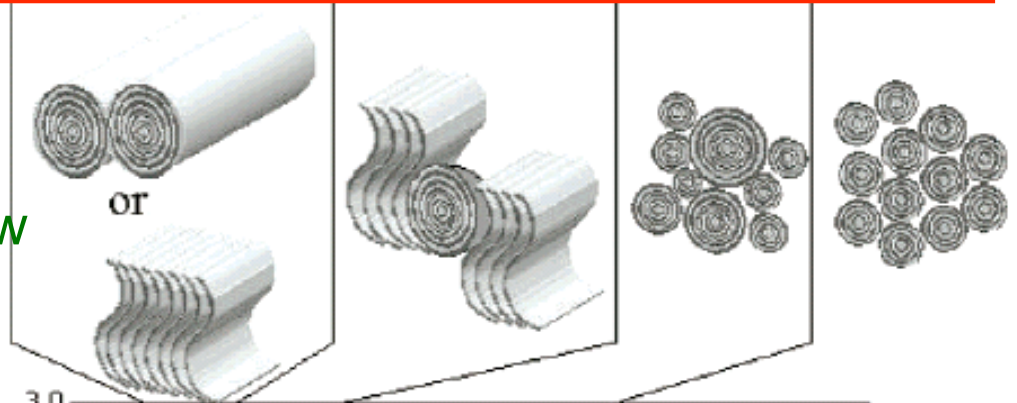
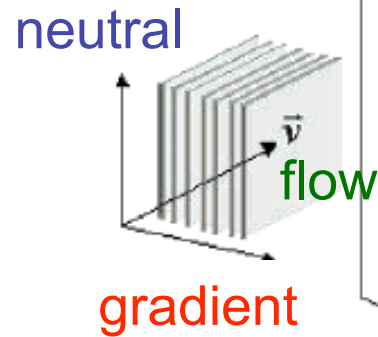
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The orientation of lamellae to the velocity gradient direction is suddenly enhanced

Consistent with our results



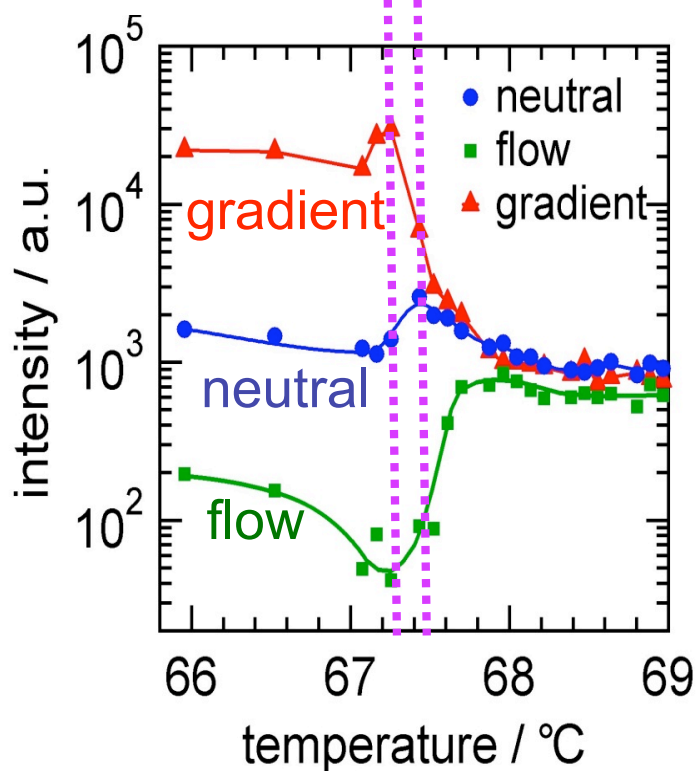
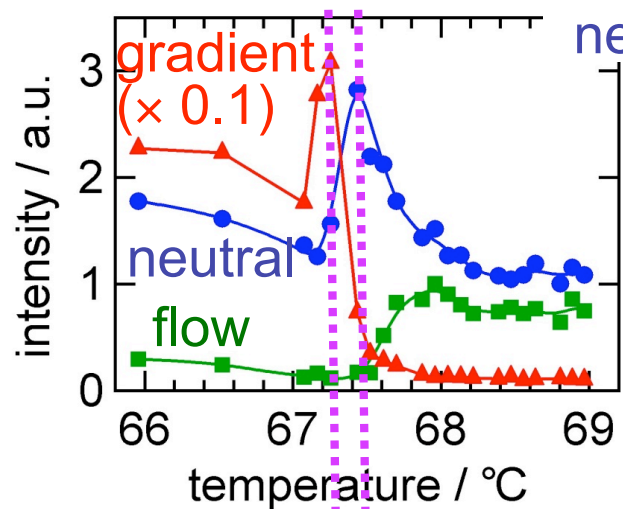
C₁₀E₃ at 25°C at 10 s⁻¹ (SANS)



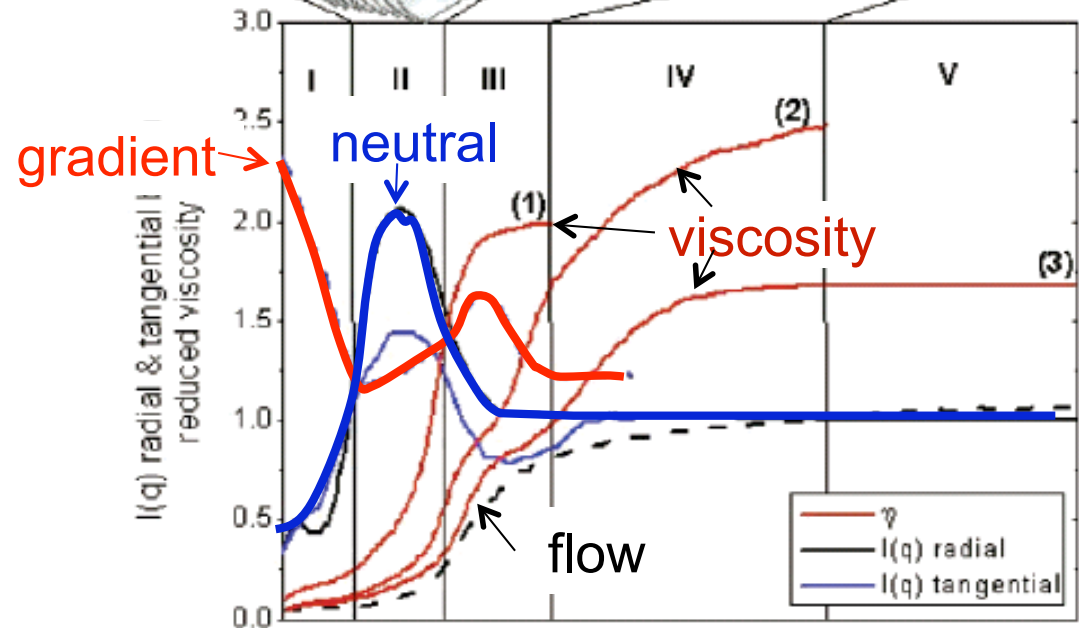
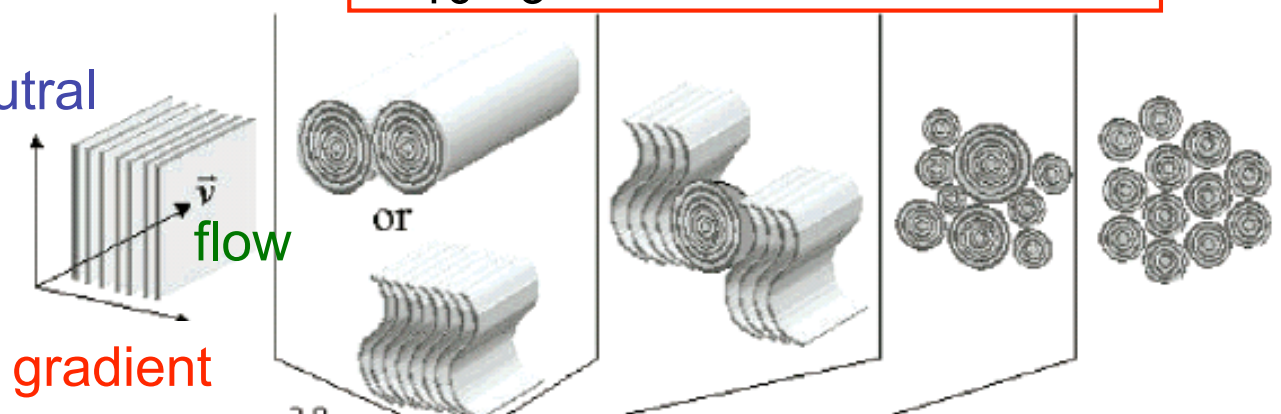
→ Transition coordinate

F. Nettesheim, J. Zipfel, U. Olsson, F. Renth, P. Linder, and W. Richtering, *Langmuir*, **19**, 3618 (2003).

$C_{16}E_7$ at 3 s^{-1}



$C_{10}E_3$ at 25°C at 10 s^{-1}



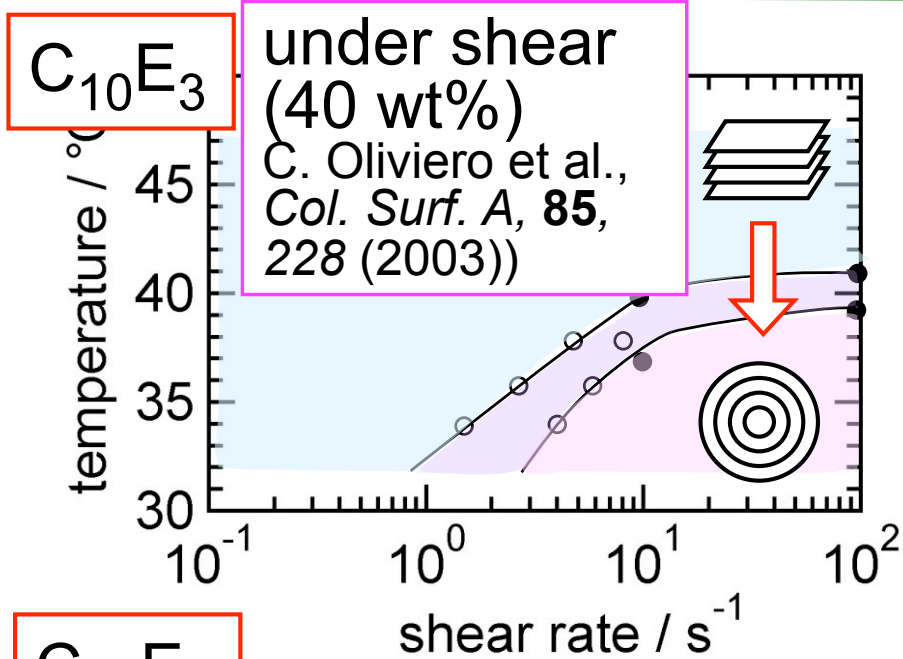
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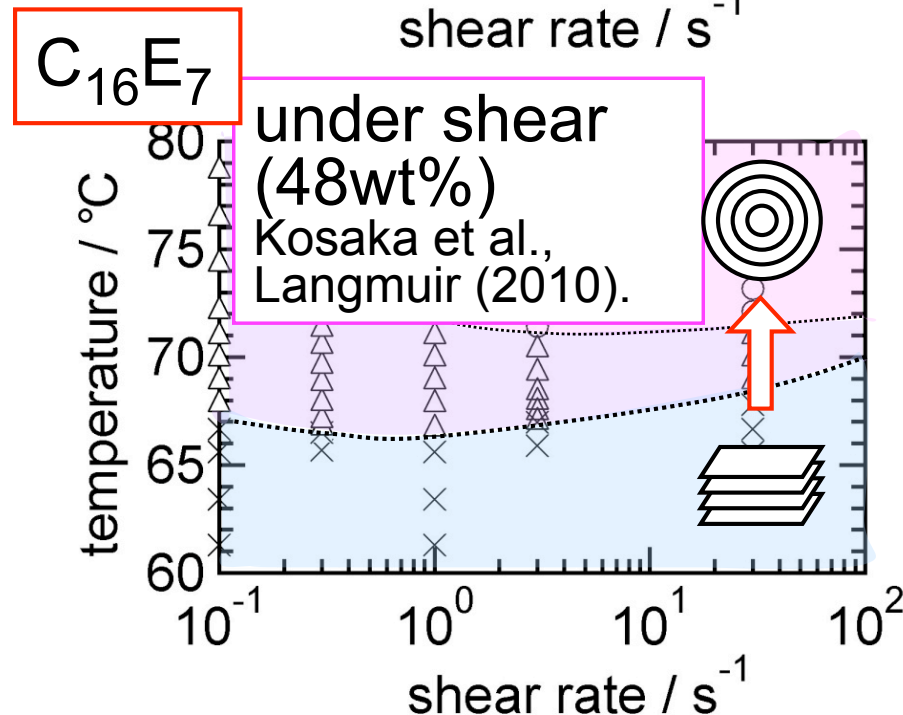


Lamellar-to-onion transition with **decreasing** temperature



Decrease in the saddle play modulus of a bilayer

Is there any system which exhibits both types of transition ?

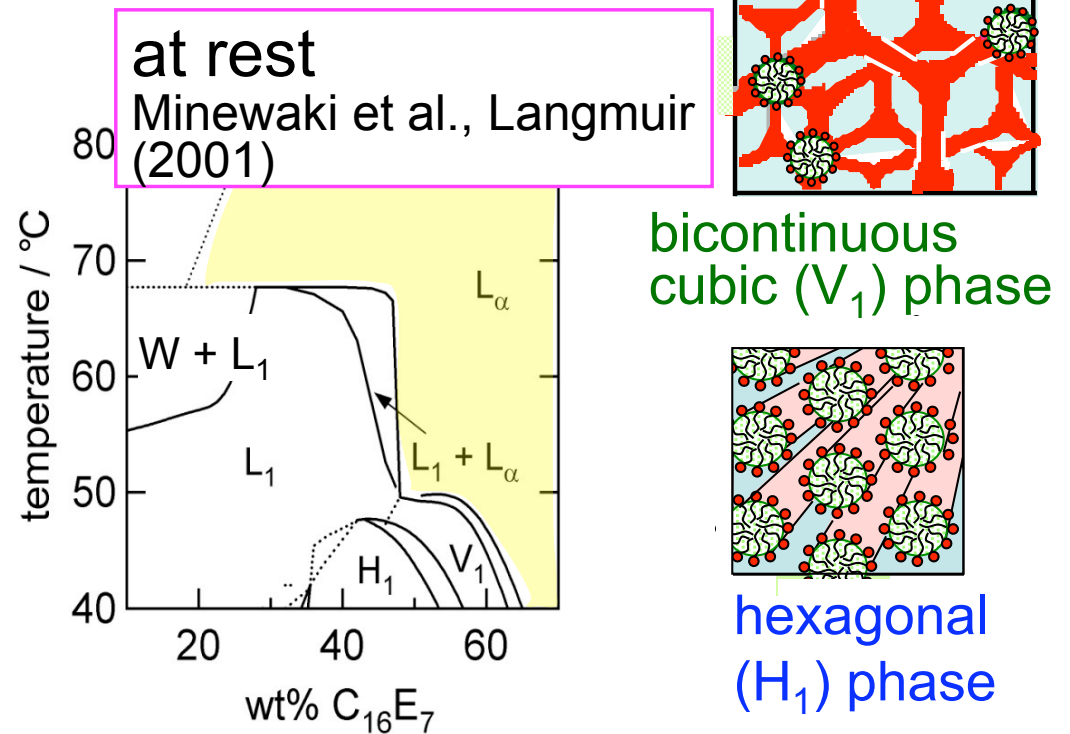
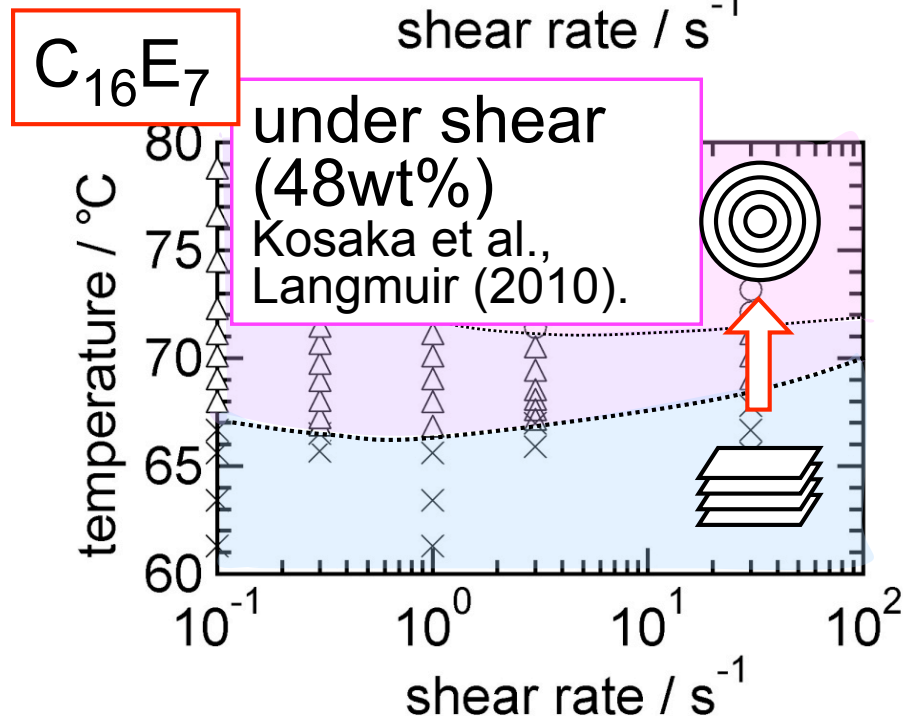
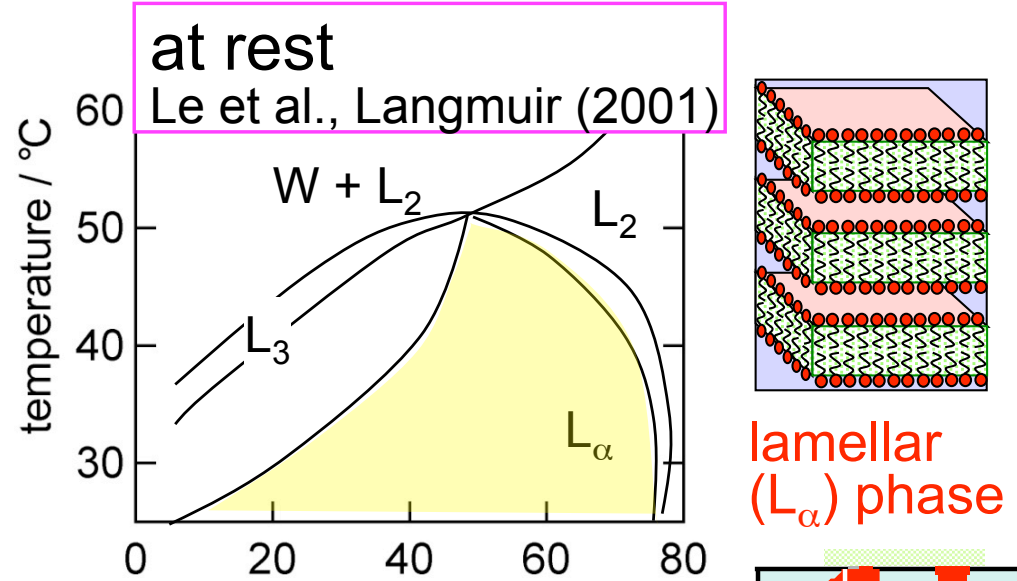
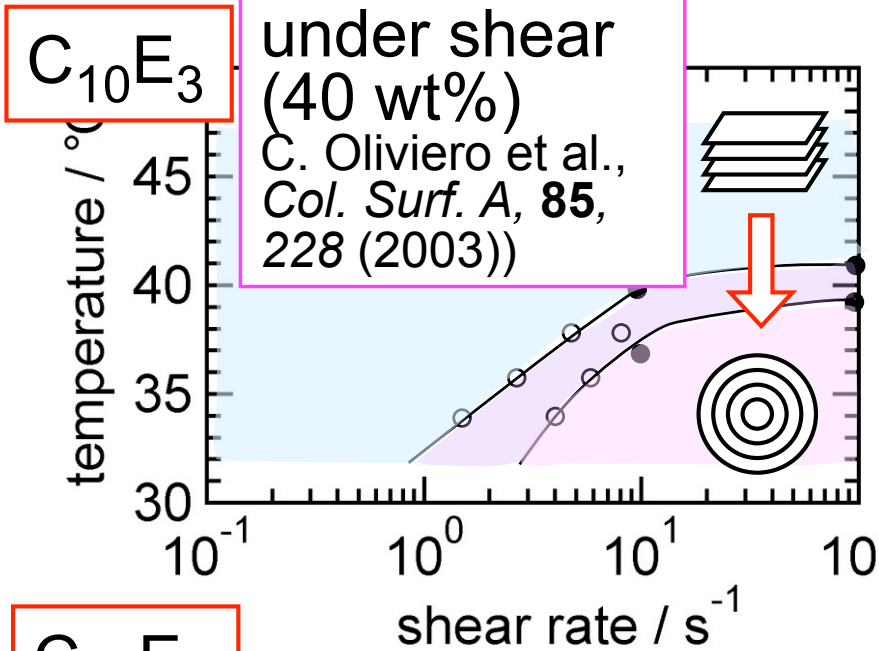


Lamellar-to-onion transition with **increasing** temperature

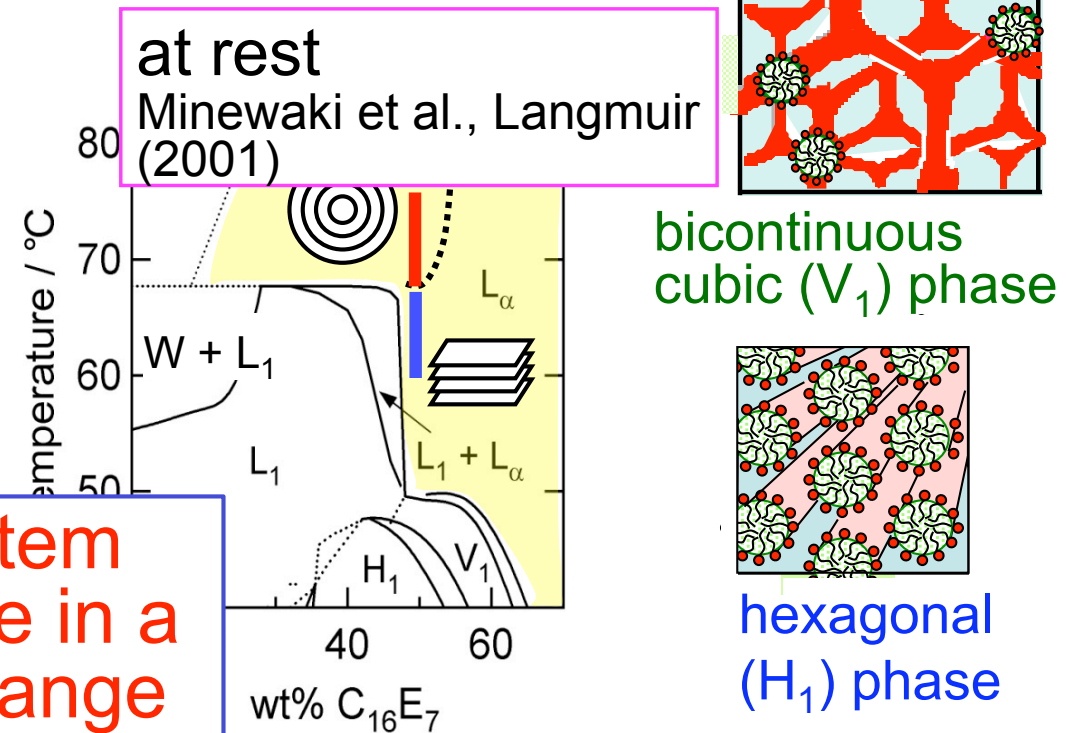
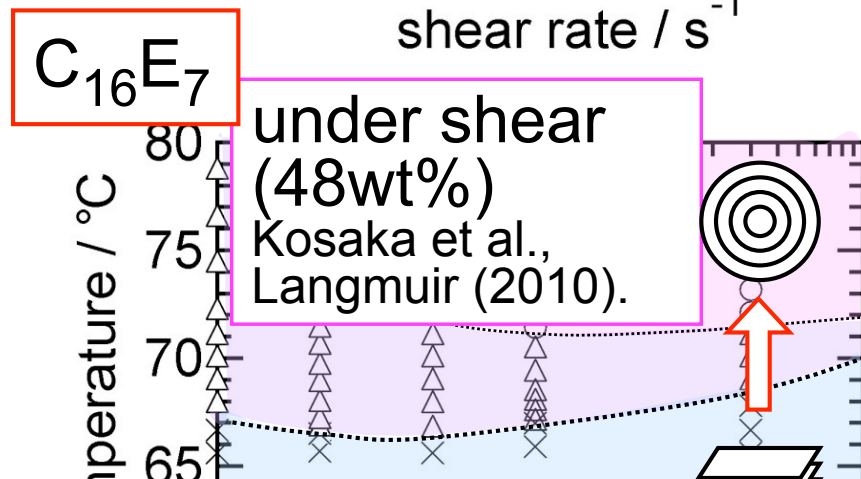
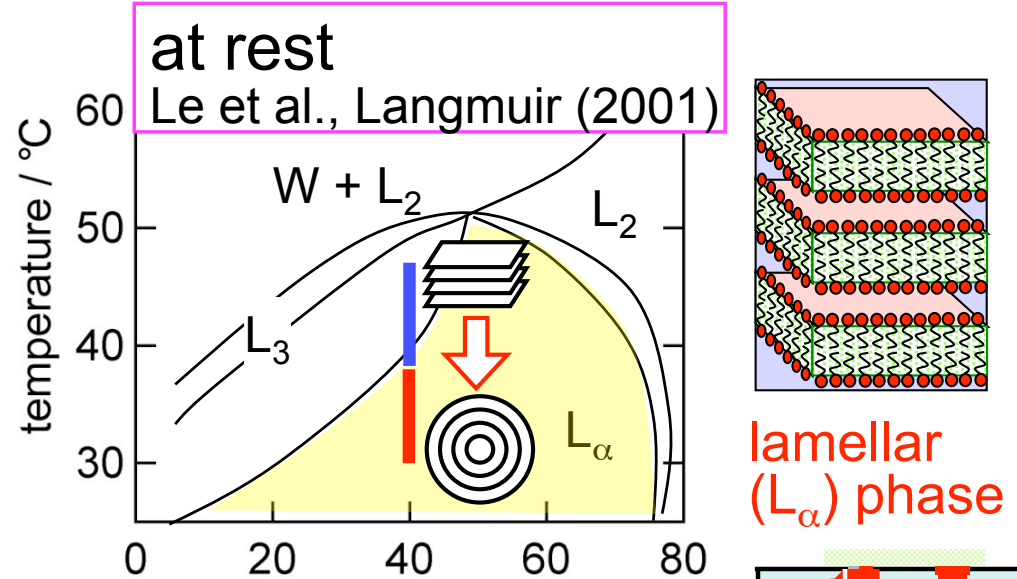
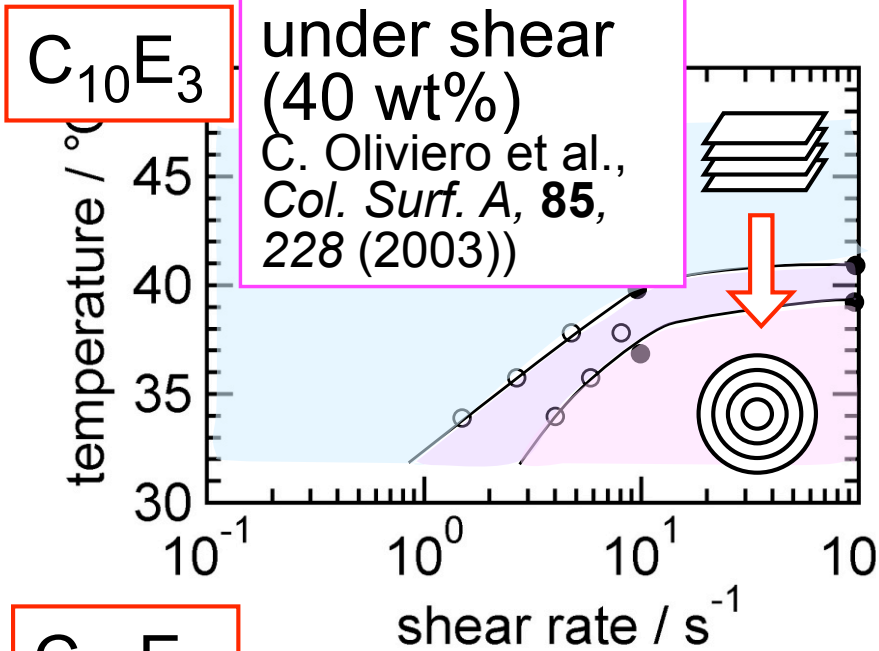


Increase in the repeat distance at rest

Comparison with Phase Behaviors at Rest

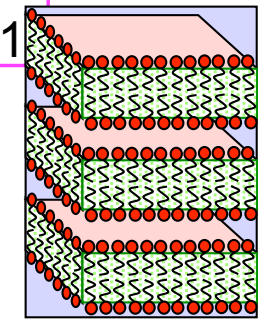
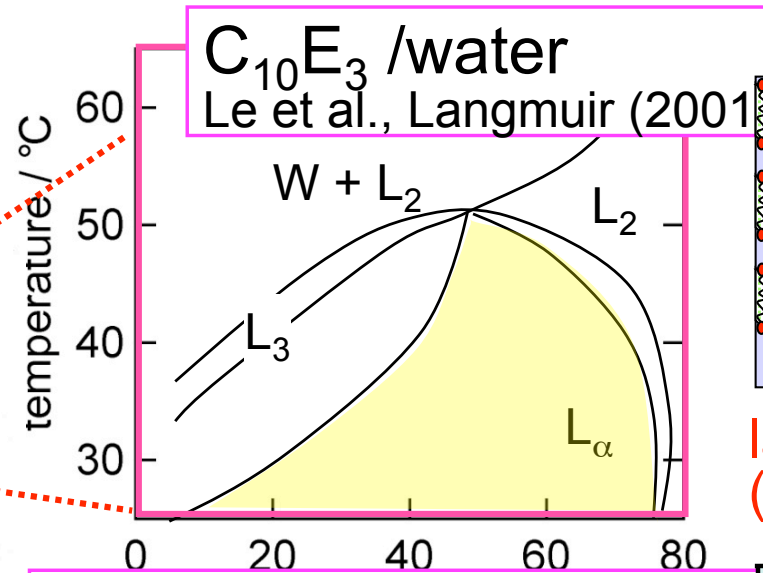
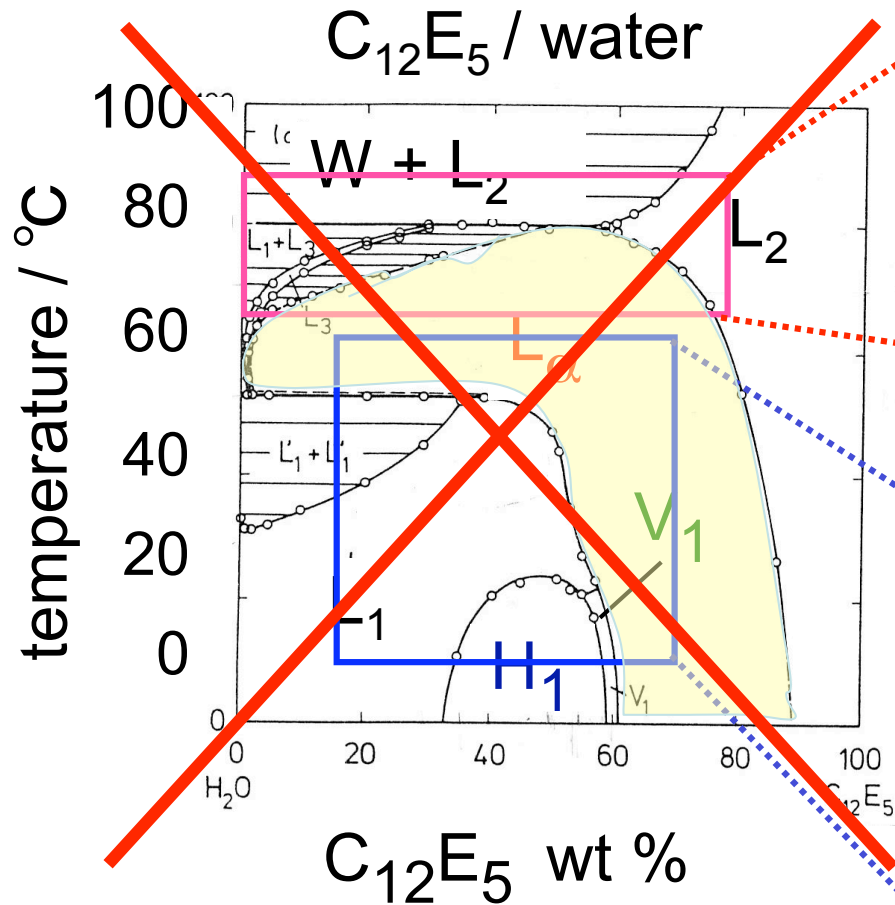


Comparison with Phase Behaviors at Rest

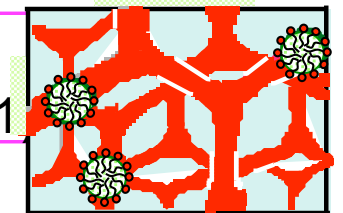
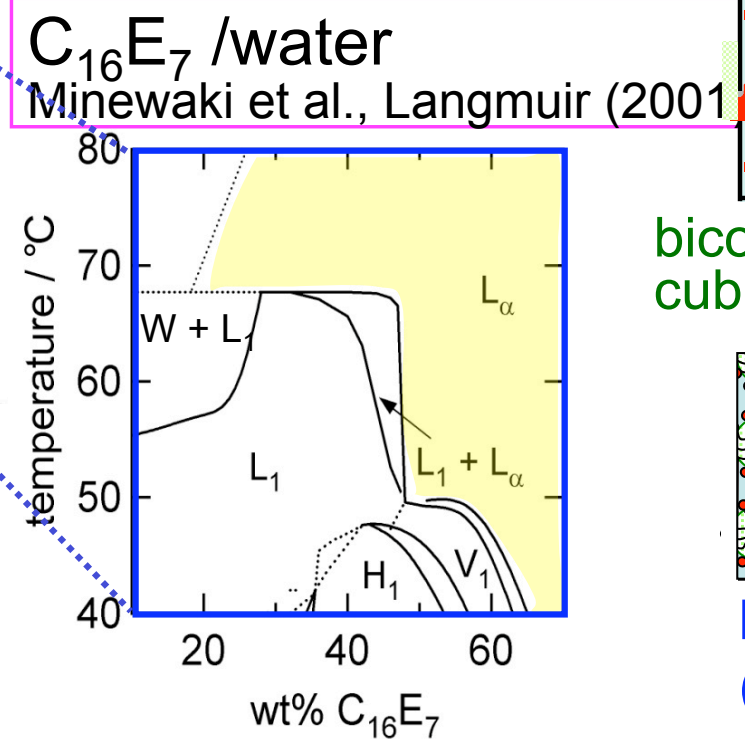


We should look for a system having the lamellar phase in a more wide temperature range

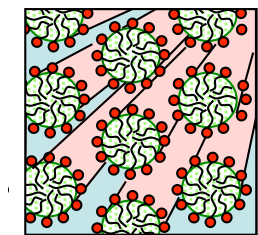
Phase Behaviors at Rest



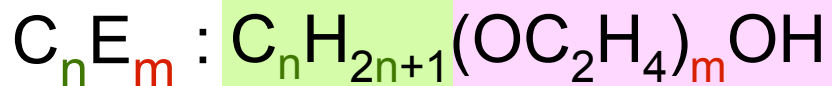
lamellar (L_α) phase



bicontinuous cubic (V₁) phase

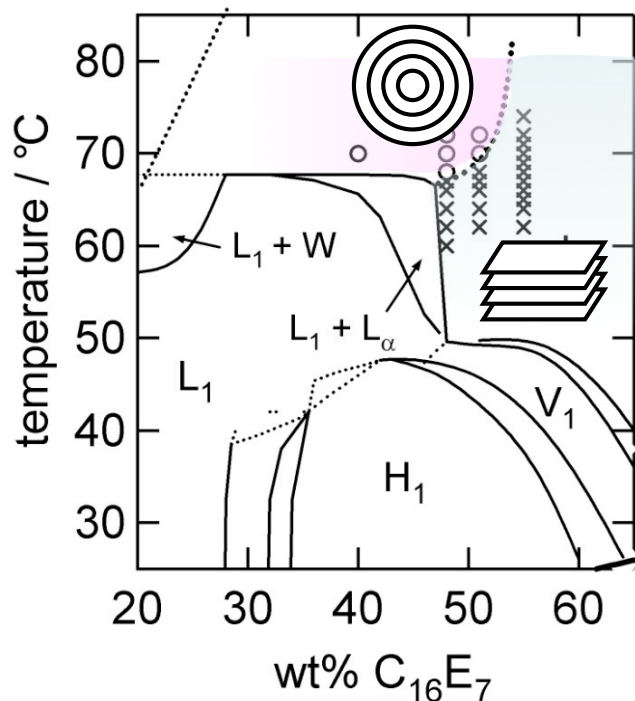


hexagonal (H₁) phase

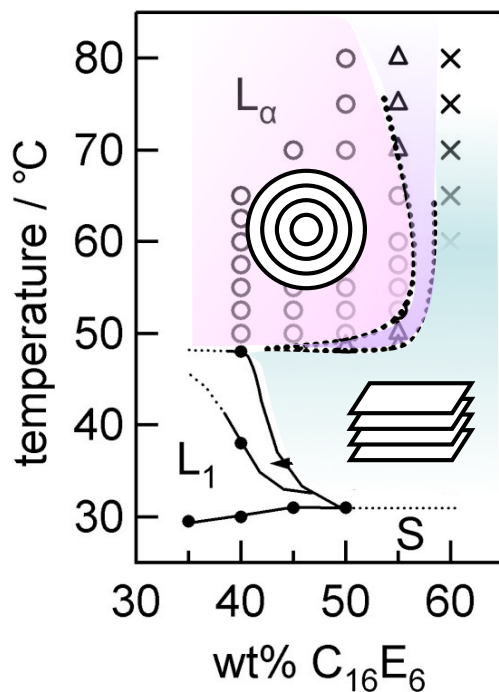


Temperature - Concentration Diagrams at 3 s⁻¹

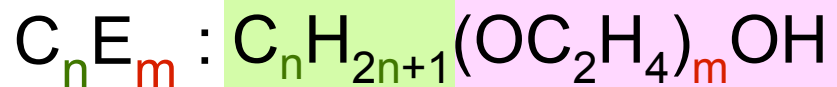
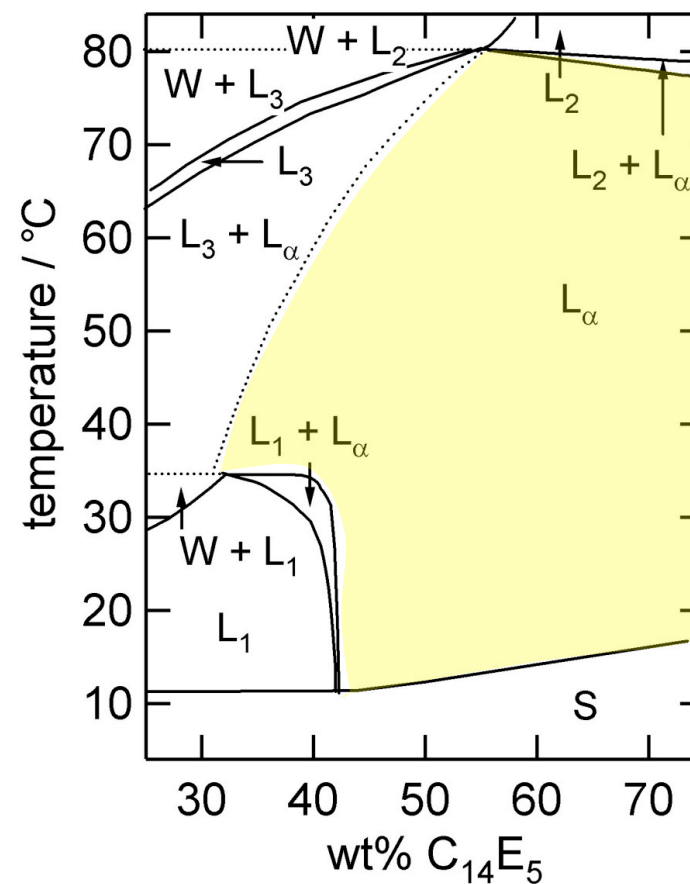
C₁₆E₇ / water



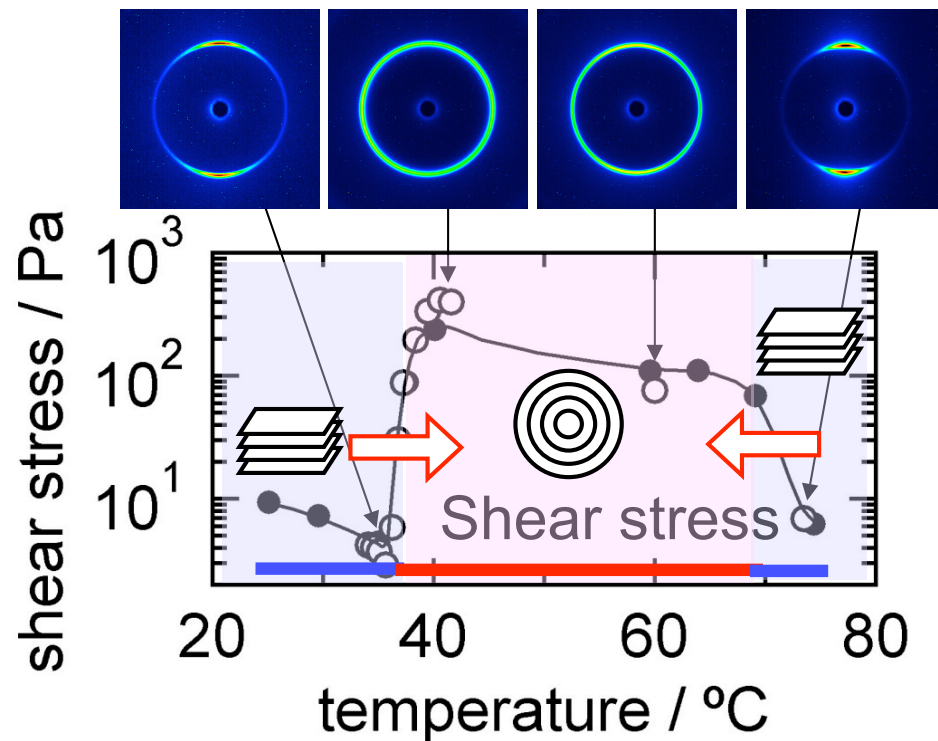
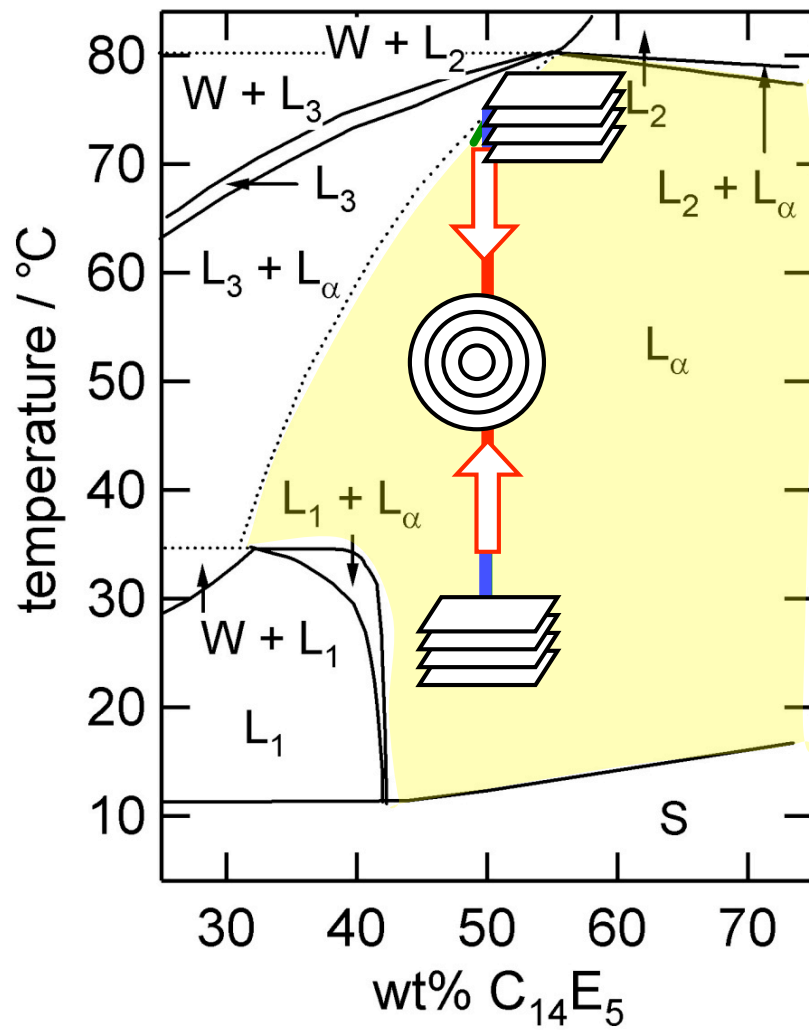
C₁₆E₆ / water



C₁₄E₅ / water

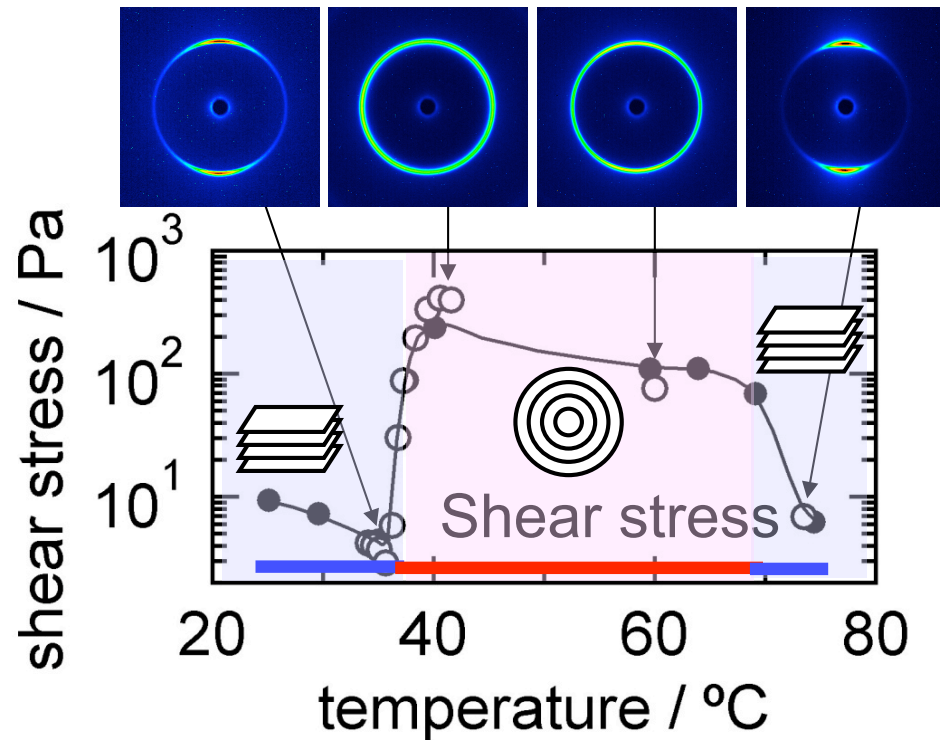
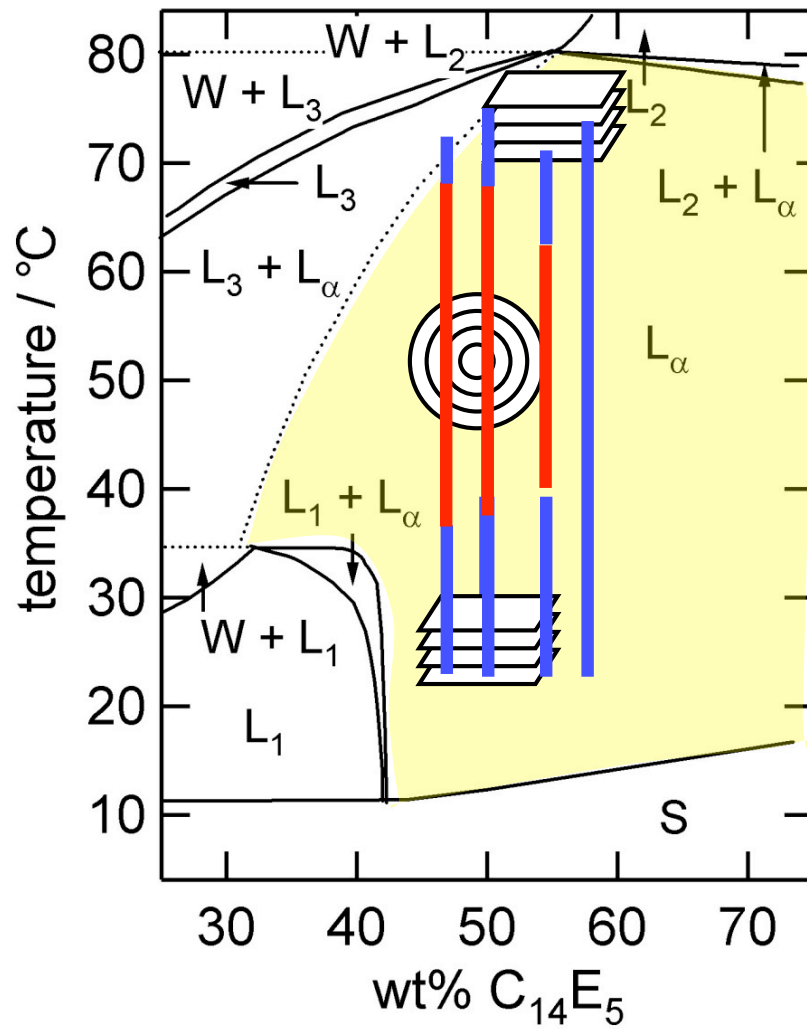


Temperature Dependence of Shear Stress and 2-D SAXS Patterns for $C_{14}E_5$ /Water System at 3 s^{-1}



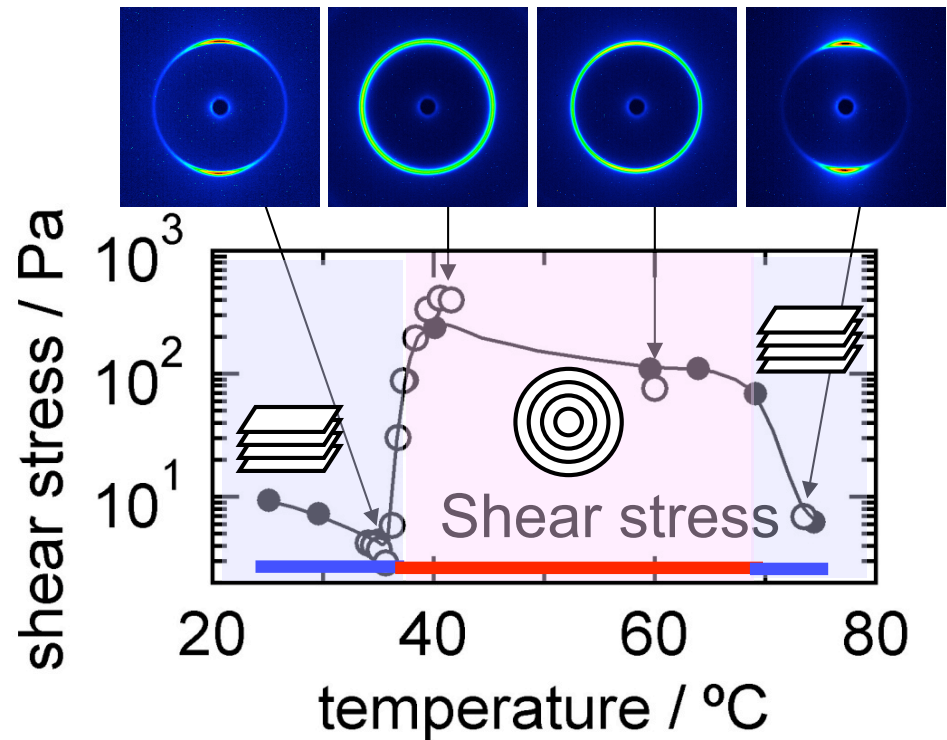
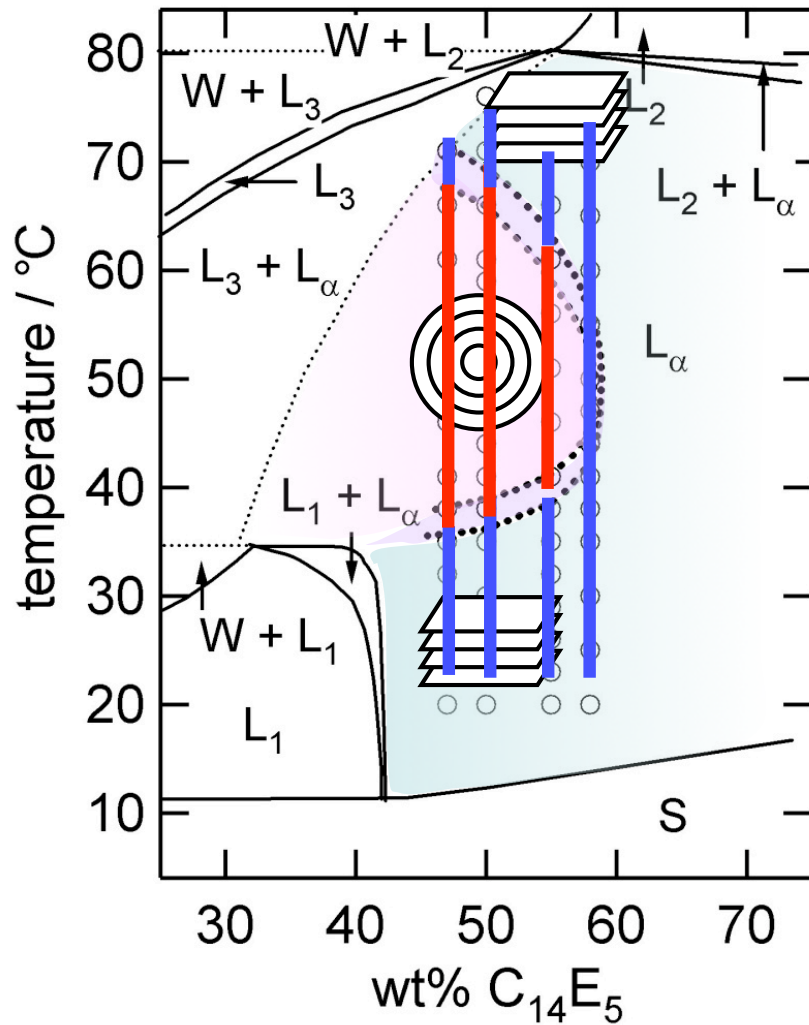
Lamellar-onion-lamellar transition (Reentrant lamellar-onion transition) with varying temperature

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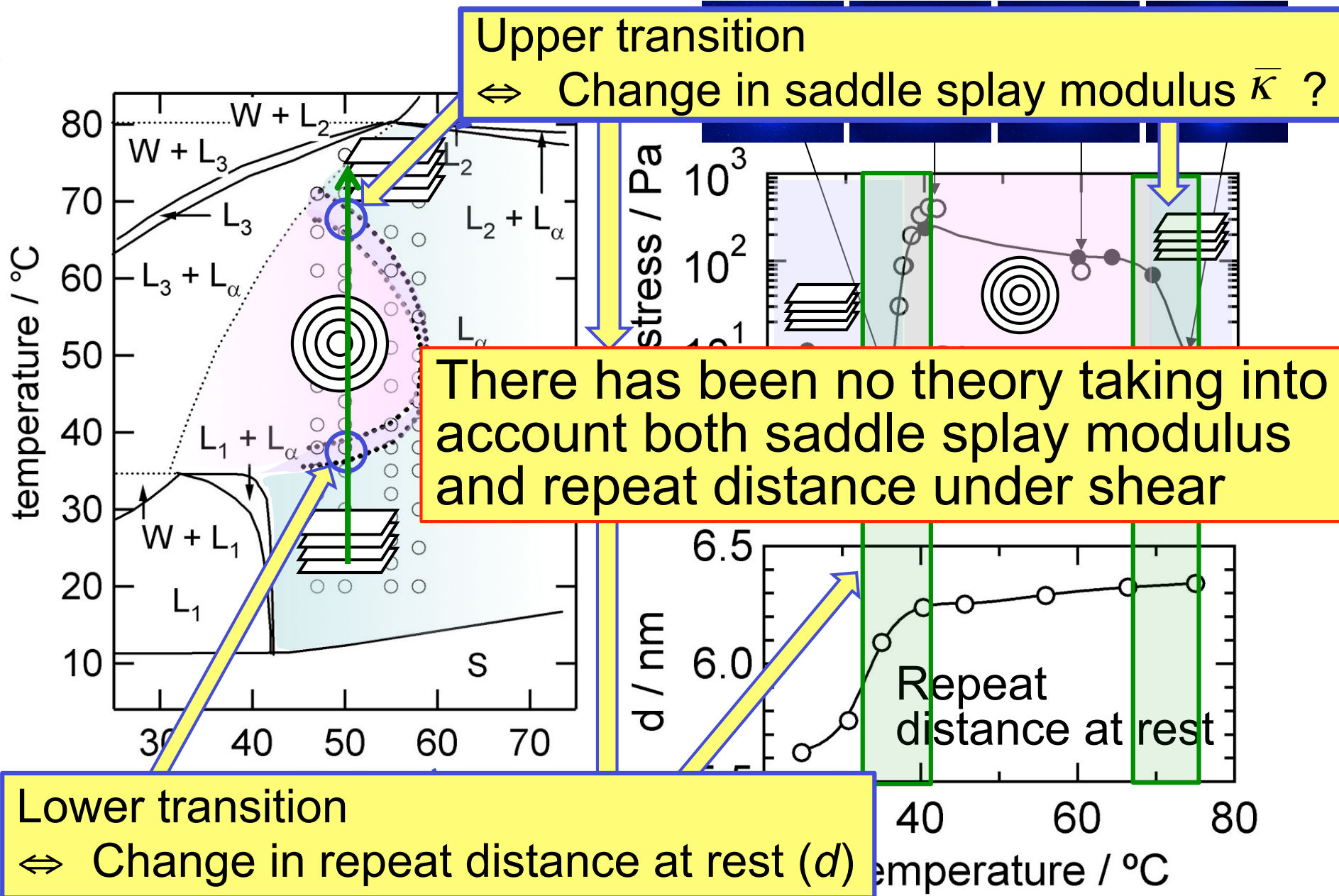
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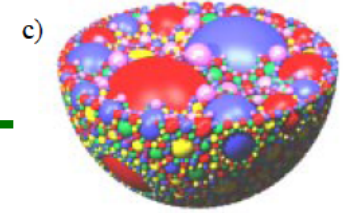


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Theory for Stable Equilibrium Onion Phase



L. Ramos et al., *Europhys. Lett.* **66**, 888 (2004).

Free energy of a symmetric bilayer
(relative to a flat state)

$$\frac{F}{A} = 2\kappa H^2 + \bar{\kappa}G + \frac{1}{4}c_1H^4 + \frac{1}{4}c_2G^2 + 2c_3GH^2$$

A vesicle of radius r

$$F_{\text{shell}}(r) = 4\pi\tilde{\kappa} + \frac{\pi\tilde{c}}{r^2} \quad \tilde{\kappa} \equiv 2\kappa + \bar{\kappa}$$

$$\tilde{c} \equiv c_1 + c_2 + 8c_3$$

$F_{\text{onion}}(k)$ (an onion of radius $R = kd$)

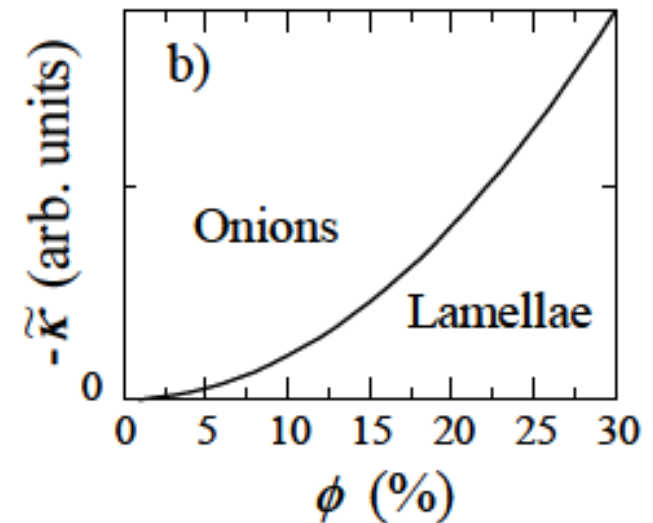
$$= \sum_{j=1}^k F_{\text{shell}}(r_j = jd) = \frac{4\pi\tilde{c}}{d^2} \left(k \frac{\tilde{\kappa}d^2}{\tilde{c}} + \sum_{j=1}^k \frac{1}{j^2} \right)$$

$$\frac{F_{\text{tot}}}{V} = \frac{1}{V} \sum_{k=1}^{k_{\text{max}}} \bar{n}(k) F_{\text{onion}}(k) \rightarrow \text{Minimized over } k_{\text{max}}$$

Apollonian distribution

Condition for the
stable onion phase

$$-\tilde{\kappa} > \frac{0.86\tilde{c}}{d^2}$$



Theory for Stable Equilibrium Onion Phase (at Rest) ¹⁾ and Reentrant Lamellar/Onion Transition under Shear

1) L. Ramos et al., *Europhys. Lett.* **66**, 888 (2004).

$$\tilde{\kappa} \equiv 2\kappa + \bar{\kappa}$$

κ : bending modulus of a bilayer

$\bar{\kappa}$: saddle splay modulus of a bilayer

$$\bar{\kappa} = 2\bar{\kappa}_m - 4H_{0m}\delta_{hc}\kappa_m$$

$\bar{\kappa}_m$: saddle splay modulus of a monolayer

H_{0m} : spontaneous curvature of a monolayer

δ_{hc} : thickness of a hydrophobic part of a monolayer

C_nE_m systems:

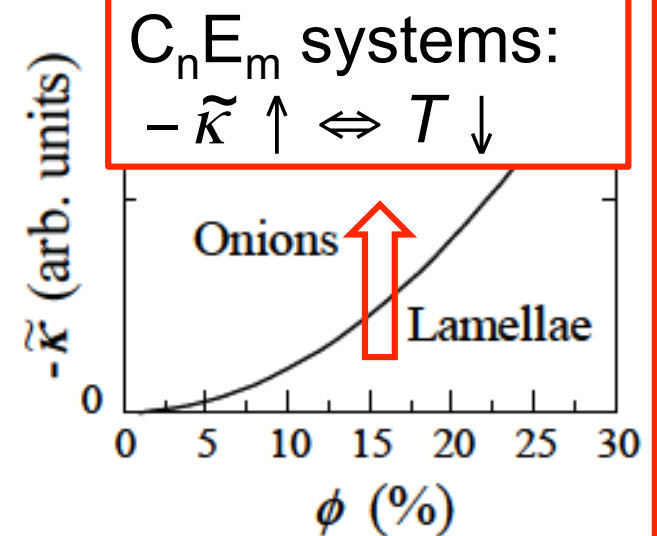
$$T \downarrow \Leftrightarrow H_0^m \uparrow \Leftrightarrow \tilde{\kappa} \downarrow \Leftrightarrow -\tilde{\kappa} \uparrow$$

Condition for the stable onion phase

$$-\tilde{\kappa} > \frac{0.86 \tilde{c}}{d^2} = \frac{0.86 \tilde{c}}{\delta^2} \phi^2$$

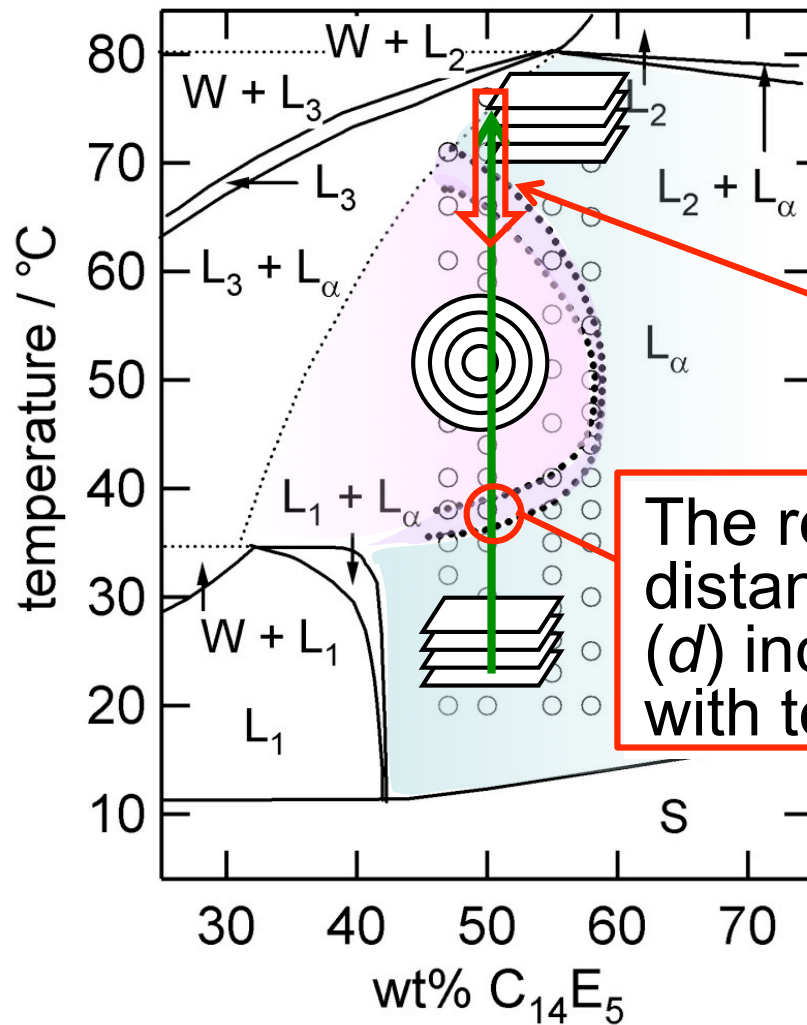
$$\phi = \frac{\delta}{d} \quad \text{volume fraction of bilayers}$$

δ : thickness of a bilayer



Theory for Stable Equilibrium Onion Phase (at Rest) ¹⁾ and Reentrant Lamellar/Onion Transition under Shear

1) L. Ramos et al., *Europhys. Lett.* **66**, 888 (2004).



The repeat distance at rest (d) increases with temperature

Condition for the stable onion phase

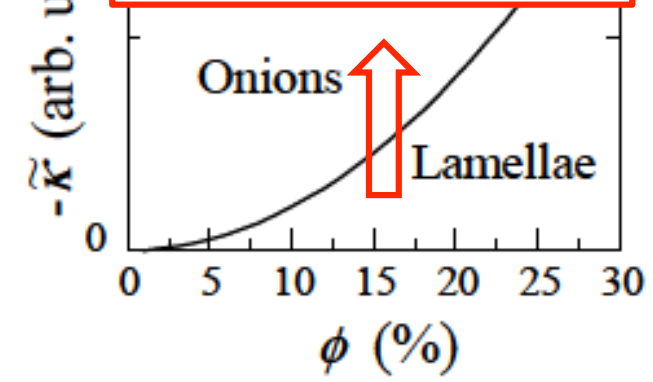
$$-\tilde{\kappa} > \frac{0.86 \tilde{c}}{d^2} = \frac{0.86 \tilde{c}}{\delta^2} \phi^2$$

~~$\phi = \frac{\delta}{d}$~~ volume fraction of bilayers

δ : thickness of a bilayer

$C_n E_m$ systems:

$$-\tilde{\kappa} \uparrow \Leftrightarrow T \downarrow$$



Theory for Stable Equilibrium Onion Phase (at Rest) and Reentrant Lamellar/Onion Transition under Shear

$$-\tilde{\kappa} > \frac{0.86 \tilde{c}}{d^2} \quad \leftarrow \text{L. Ramos et al. (2004)}$$

$$\tilde{c} \approx k_B T \delta^2 \quad \delta : \text{thickness of a bilayer}$$

$$\frac{\tilde{\kappa}}{k_B T} < -c' \left(\frac{\delta}{d} \right)^2$$

c' : numerical factor of order 1

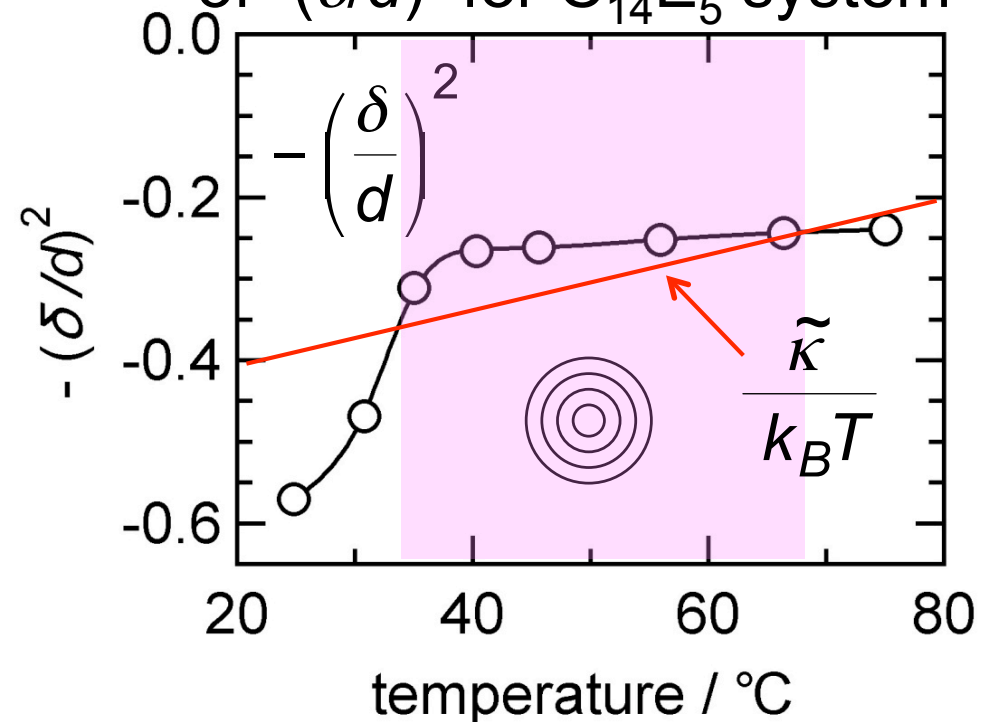
$$\text{C}_n \text{E}_m \text{ systems:}$$

$$\tilde{\kappa} \downarrow \Leftrightarrow T \downarrow$$

$$\tilde{\kappa} \equiv 2\kappa + \bar{\kappa} \quad (\tilde{\kappa} < 0)$$

κ : bending modulus of a bilayer
 $\bar{\kappa}$: saddle spray modulus of a bilayer

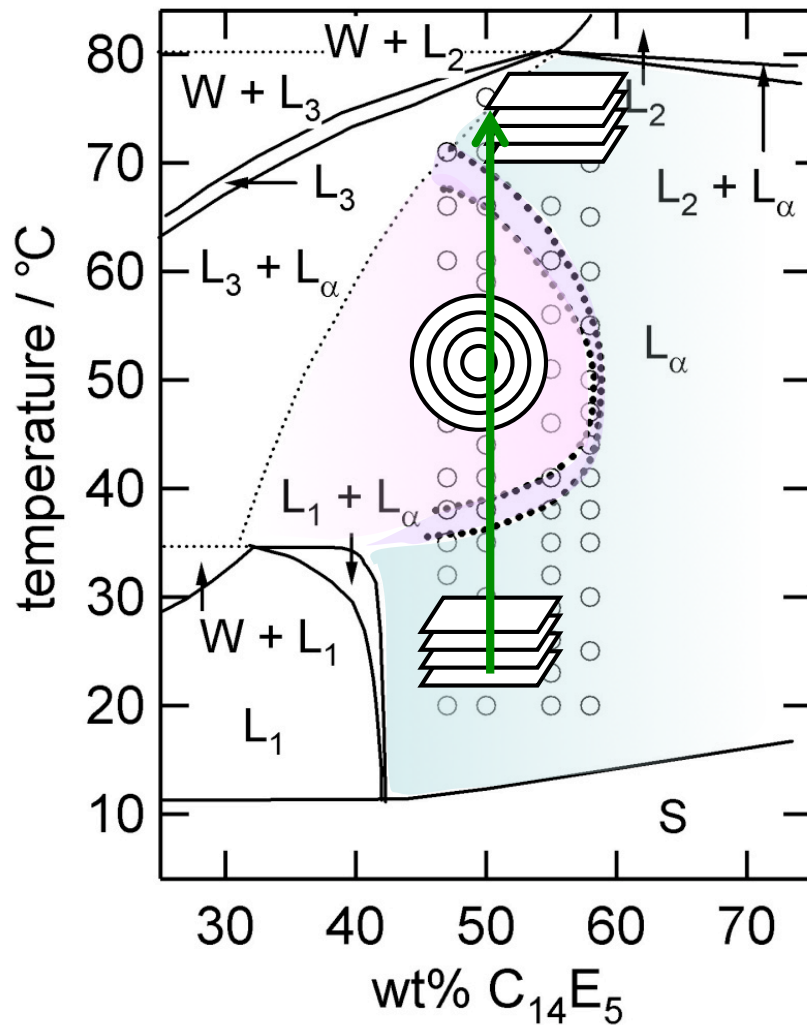
Temperature dependence of $-(\delta/d)^2$ for C_{14}E_5 system



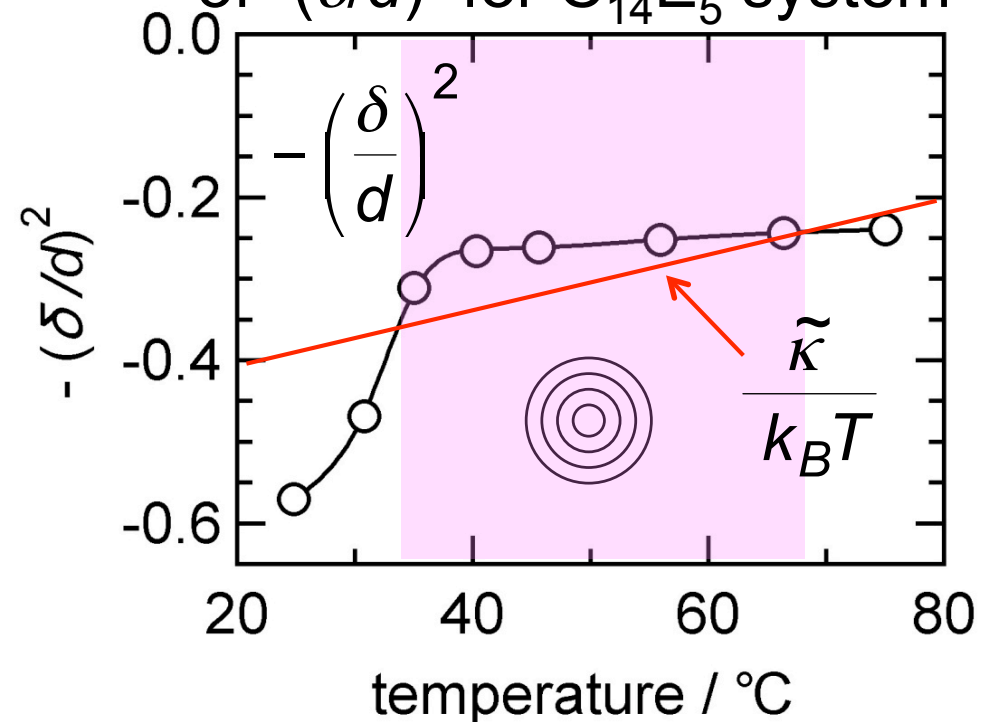
Theory for Stable Equilibrium Onion Phase (at Rest) and Reentrant Lamellar/Onion Transition under Shear

$$\tilde{\kappa} \equiv 2\kappa + \bar{\kappa} \quad (\tilde{\kappa} < 0)$$

κ : bending modulus of a bilayer
 $\bar{\kappa}$: saddle spray modulus of a bilayer



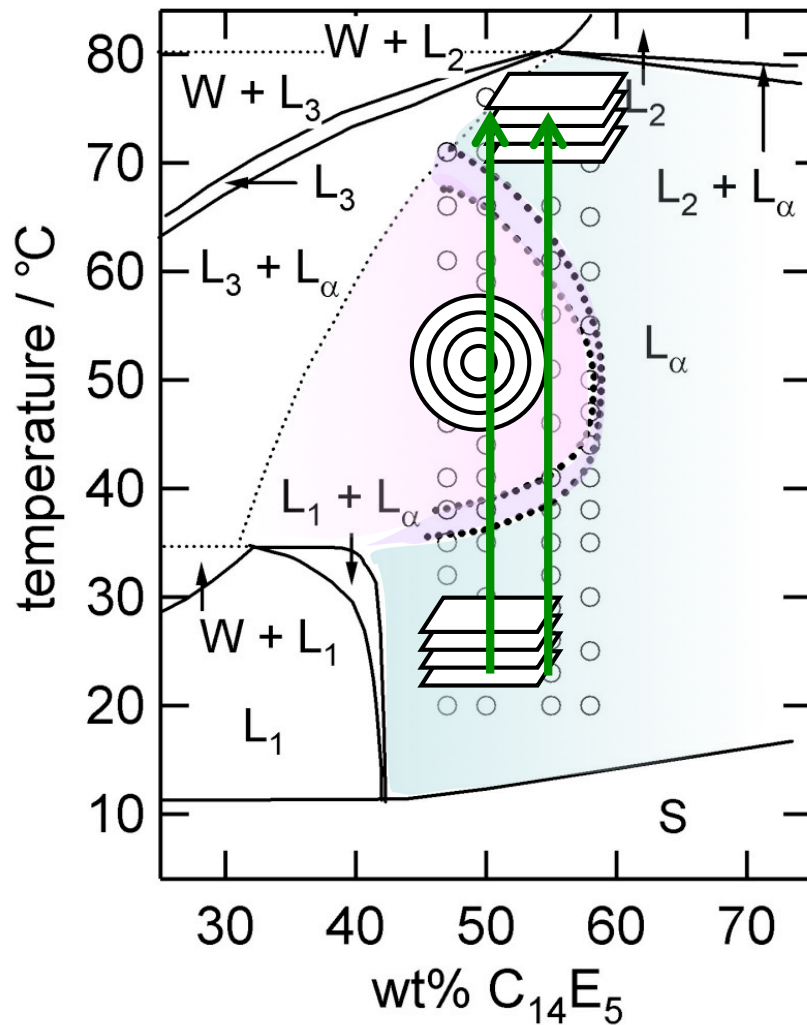
Temperature dependence of $-(\delta/d)^2$ for C₁₄E₅ system



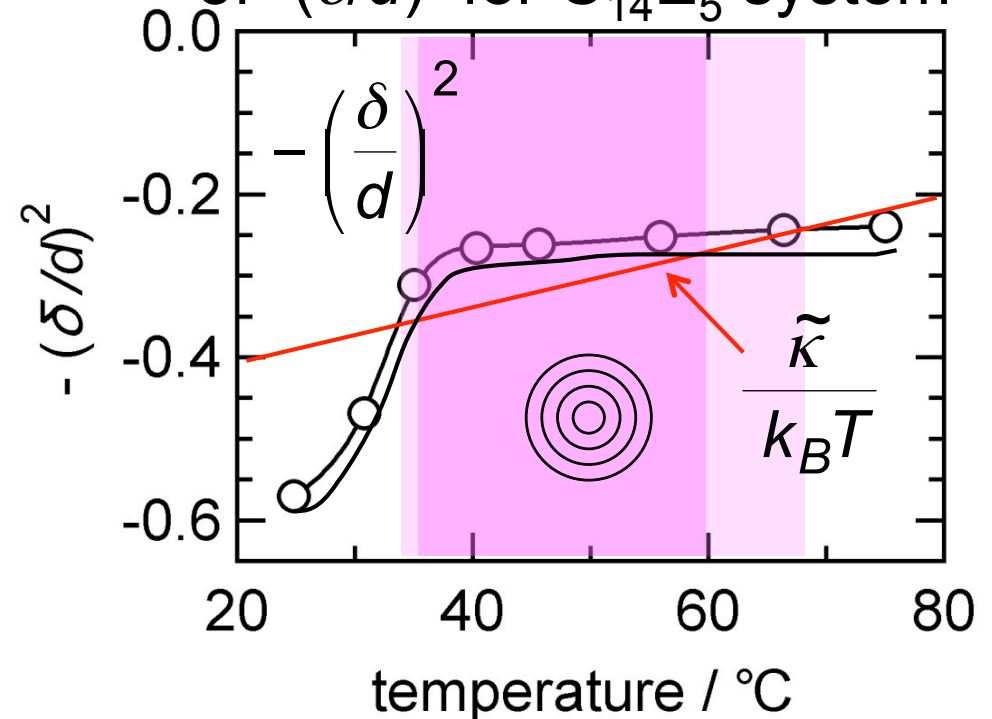
Theory for Stable Equilibrium Onion Phase (at Rest) and Reentrant Lamellar/Onion Transition under Shear

$$\tilde{\kappa} \equiv 2\kappa + \bar{\kappa} \quad (\tilde{\kappa} < 0)$$

κ : bending modulus of a bilayer
 $\bar{\kappa}$: saddle spray modulus of a bilayer

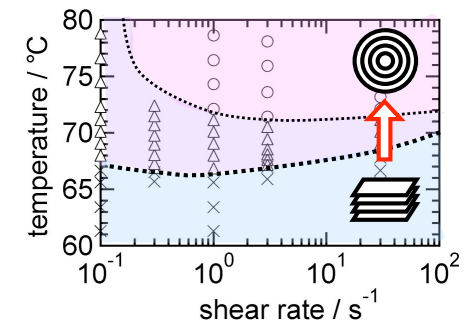


Temperature dependence of $-(\delta/d)^2$ for C₁₄E₅ system

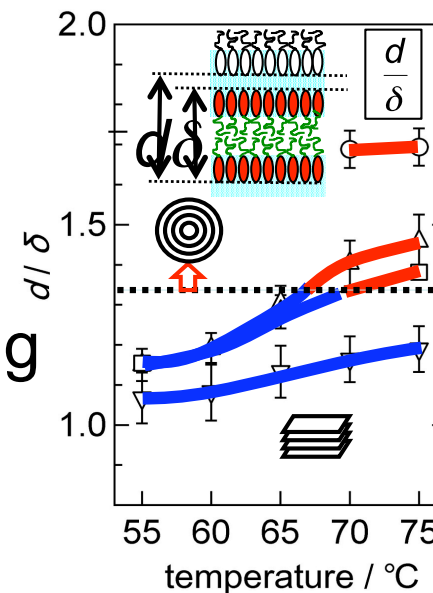


Summary

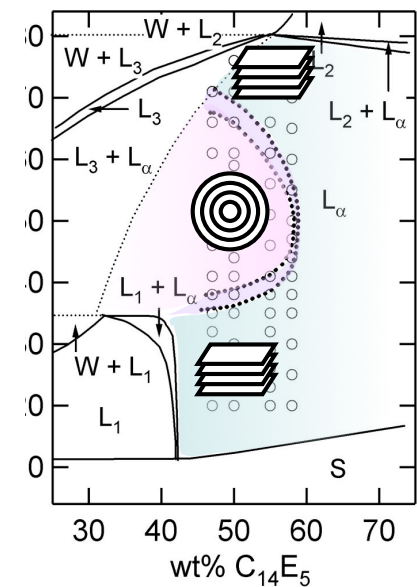
1. Lamellar-to-onion transition with **increasing** temperature has been found at a constant shear rate in nonionic surfactant $C_{16}E_7$ /water system by using Rheo-SALS and Rheo-SAXS.



2. Increase in the repeat distance at rest is necessary for the lamellar-to-onion transition with increasing temperature.



3. The orientation of lamellae along the velocity gradient direction is suddenly enhanced just before the transition.



4. **Reentrant** lamellar-onion transition has been found in $C_{14}E_5$ /water system. This system is considered to be useful to study the conditions and mechanism of onion formation.

